

25 **Abstract**

26 Forced atmospheric teleconnections during 1979-2014 are examined using a 50-member
27 ensemble of atmospheric general circulation model (AGCM) simulations subjected to
28 observed variations in sea surface temperatures (SST), sea ice and carbon dioxide. Three
29 primary modes of forced variability are identified using empirical orthogonal function
30 (EOF) analysis of the ensemble mean wintertime extratropical Northern Hemisphere 500-
31 hPa heights. The principal component time series of the first and second modes are
32 highly correlated with Nino3.4 and Trans-Niño (TNI) SST indices, respectively,
33 indicating mostly tropical sources. Their impacts are largely confined to the Pacific-North
34 American (PNA) sector. The leading mode describes the canonical atmospheric
35 teleconnection associated with El Niño-Southern Oscillation (ENSO) resembling the
36 Tropical/Northern Hemisphere pattern. The second mode describes a wavetrain
37 resembling the classic PNA pattern resulting from atmospheric sensitivity to ENSO
38 asymmetry and from sensitivity to a tropical precursor SST for ENSO development.

39 The third mode is characterized by a hemisphere-scale increasing trend in heights.
40 Based on a comparison with 50-member coupled climate simulations it is argued that this
41 mode is strongly related to radiatively forced climate change, while the other two forced
42 teleconnections are principally related to internal coupled ocean-atmosphere variability.

43 A trend in the leading forced mode is related to ENSO-like decadal variability and
44 dominates the overall observed 500 hPa height trend since 1979. These model results
45 indicate that the trend in the first mode is due to internal variability rather than external
46 radiative forcings.

47

48 **1. Introduction**

49 A long-term topic of research specifically related to climate predictability on a regional
50 scale is to improve our understanding of the sensitivity of atmospheric circulation
51 patterns to forcings, either to surface boundary conditions related to SST variability, or to
52 external radiative forcing (and its associated surface boundary changes). Since recent
53 decades have experienced a substantial increase in anthropogenically caused radiative
54 forcing (IPCC 2013), a question of particular interest is how such forcing has driven
55 variations in atmospheric circulation patterns. The goal of this study is to examine the
56 structure of forced atmospheric teleconnections during Northern Hemisphere (NH)
57 wintertime since 1979 and explore the relative roles of natural variations and external
58 forcings.

59

60 Atmospheric teleconnections are a backbone for North American seasonal climate
61 predictability, especially related to the well-known El Niño-Southern Oscillation (ENSO)
62 phenomenon (Horel and Wallace 1981; Trenberth et al. 1998). Posed in the context of
63 seasonal climate prediction, the issue is whether different North American wintertime
64 conditions during El Niños can be anticipated on the basis of particular characteristics of
65 the SST forcing. To tackle the question of whether ENSO could be used as predictor for
66 seasonal climate, but especially to assess the relevance of ENSO diversity (Capotondi et
67 al. 2015), numerous groups have conducted atmospheric general circulation model
68 (AGCM) experiments over the last 30 years. Early experiments produced conflicting
69 results, with Geisler et al. (1985) finding a single geographically fixed pattern of NH
70 response to different patterns and magnitudes of warm tropical Pacific SSTs, and Palmer

71 and Mansfield (1986b) finding the 1982-83 El Niño forcing to induce a different
72 atmospheric response than that associated with composite El Niño forcing. A strong
73 dependency of responses upon the quality of a model's climatology was shown to exist
74 (e.g. Palmer and Mansfield 1986a), and early results using coarse resolution climate
75 models, often performed in idealized perpetual January mode, need to be interpreted with
76 great caution.

77

78 A particular limitation that has plagued most modeling studies to date is the small
79 ensemble sizes available from which to reliably extract the atmospheric responses for
80 individual events. Thus, while Hoerling and Kumar (2000) found observed inter-El Niño
81 variability in atmospheric circulation to be mostly unrelated to event-to-event differences
82 in SSTs, the strength of their conclusion was undermined by their reliance on a relatively
83 small 12-member ensemble. In a subsequent study, Hoerling and Kumar (2002)
84 attempted to overcome the sampling problem by pooling simulations across four different
85 models. The analysis of that multi-model ensemble revealed different response patterns
86 depending on the characteristics of the tropical SST forcing, though much of the ENSO
87 response manifested as a single spatial pattern. Whereas the larger ensemble size was
88 evidently important for detecting additional atmospheric response patterns, the use of a
89 multi-model approach introduced a new uncertainty in the interpretation. Recalling the
90 lessons from early AGCM intercomparisons that revealed substantial model dependency
91 in atmospheric responses, the possibility existed that the additional patterns found in
92 Hoerling and Kumar (2002) were not signatures of a robust sensitivity. Furthermore,
93 similar to the problem of potentially blurring sharper images of atmospheric responses to

94 ENSO when constructing an observed composite, the process of multi-model averaging
95 may likewise obscure such patterns.

96

97 To overcome these limitations, Kumar et al. (2005) diagnosed an 80-member ensemble of
98 AGCM historical runs using a single model. Their approach took advantage of a lagged
99 ensemble of hindcast experiments that were being routinely conducted as part of the
100 National Centers for Environmental Prediction (NCEP) seasonal forecast system.
101 Applying empirical orthogonal function (EOF) analysis to the ensemble mean as in
102 Hoerling and Kumar (2002), they found a leading atmospheric response pattern bearing
103 considerable resemblance to the ENSO teleconnection pattern determined by Horel and
104 Wallace (1981), but importantly explaining only about half of the magnitude in overall
105 wintertime SST forced variability. The second response pattern exhibited strong zonal
106 symmetry, whose time series was a trend during the 1980-2000 period of study. A third
107 pattern again revealed strong regional variability, resembling some features of the third
108 EOF pattern in Hoerling and Kumar (2002).

109

110 In sum, the two large ensemble model studies on SST-forced wintertime atmospheric
111 circulations---one from a multi-model approach and a second from a particular model---
112 indicate that a single teleconnection pattern resembling the ENSO composite determined
113 by Horel and Wallace (1981) is indeed a robust and a dominant structure of the
114 atmospheric circulation sensitivity to SST variability. To first-order, the magnitude and
115 phase of this pattern can be understood to vary linearly with the magnitude and phase of
116 ENSO-related tropical Pacific variations. This view of the atmospheric sensitivity

117 appears to account for roughly half of the wintertime NH variability in *SST forced*
118 *circulation variability*, though a greater portion over select regions such as the central
119 North Pacific. Higher-order patterns are less well understood, and currently lack clear
120 physical interpretation. For instance, the third leading pattern has been argued to be
121 linked to SST-driven teleconnections during non-ENSO years in Hoerling and Kumar
122 (2002), while a similar pattern in Kumar et al. (2005) was believed to result from the
123 nonlinear atmospheric response to extreme opposite phases of ENSO. Also unresolved is
124 the physical explanation for a more zonally symmetric response pattern that appears to be
125 emergent in the time series of the forced solutions.

126

127 In so far as prior analyses have ended in either 1999 or 2000, it is important to update the
128 study to include a period in which external radiative forcing of climate has led to a
129 detectable effects on sea surface temperatures (IPCC 2013), though effects on
130 atmospheric circulation remain unclear. Further, it is important to understand whether
131 the dominant ENSO-related teleconnection pattern has changed during this period of
132 anthropogenic climate change.

133

134 This study addresses the nature and causes of boundary and externally forced modes of
135 extratropical Northern Hemisphere circulation variability over the recent period (1979-
136 2014) by utilizing large ensemble sized AGCM and coupled atmosphere-ocean model
137 simulations. The model and analysis methods are described in Section 2. Section 3 then
138 focuses on a physical interpretation of the December-February atmospheric sensitivity to
139 SST variability for the period 1979-2014 using both observations and model data. To

140 identify the role of different physical processes, both observed SST forced Atmospheric
141 GCM simulations and radiatively forced coupled ocean-atmospheric GCM simulations
142 are intercompared. Section 4 summaries our physical understanding of the forced
143 atmospheric teleconnections during 1979-2014.

144

145 **2. Data and Methods**

146 a. Observed and model data

147 The characteristics of atmospheric variability are diagnosed from analysis of 500 hPa
148 geopotential height fields conducted over the region 20°N-90°N. The study is of the
149 Northern Hemisphere (NH) December-January-February (DJF) winter season and
150 focuses on the recent 1979-2014 period. Estimates of the observed variability are derived
151 from the National Centers for Environmental Prediction (NCEP)–National Center for
152 Atmospheric Research (NCAR) reanalysis product (*Kalnay et al.* 1996). Analysis using
153 other reanalysis products (ERA-Interim, Dee et al. 2011) is found to yield very similar
154 results.

155

156 In order to determine the physical factors responsible for the observed 500 hPa height
157 variability, we utilize atmospheric models (also called Atmospheric Model
158 Intercomparison Project (AMIP) experiments) and coupled ocean-atmospheric models
159 (also called Coupled Model Intercomparison Project (CMIP) experiments). For the
160 former, the study primarily uses the National Centers for Environmental Prediction
161 (NCEP) Global Forecast System model version 2 (GFSv2), the atmospheric component
162 of the Climate Forecast System (CFS) version 2 (*Saha et al.* 2014). The model is run at

163 T126 horizontal resolution with 64 vertical levels, and forced with specified observed
164 monthly varying sea surface temperatures, sea ice (Hurrell et al. 2008), and carbon
165 dioxide concentrations for 1979-2014. Climatological values are specified for other
166 greenhouse gases (e.g. CH₄, NO₂, O₃, CFCs), aerosols, solar, and volcanic aerosols. A
167 50-member ensemble is conducted, each member forced identically but differing only by
168 its initial atmospheric condition. The time evolving forced signal is derived from
169 analysis of the 50-member ensemble average. To assess the robustness of key features in
170 the GFS forced responses, we also diagnosis the time evolving signal from an 85-member
171 ensemble of the European Center-Hamburg Max Planck Institute for Meteorology model
172 4.5 (ECHAM4.5; Roeckner et al. 1996) that spans January 1950 through February 2003.
173 The model was run at T42 horizontal resolution with 19 vertical levels.

174

175 The signal in these AMIP experiments is further diagnosed to assess the component
176 linked to changes in external radiative forcing, which may affect variability in 500 hPa
177 heights through its influence on lower boundary conditions such as SSTs and sea ice (e.g.
178 related to the ocean's response to long term global warming) and through direct
179 atmospheric effects of changes in radiative forcing. To isolate the role of the external
180 radiative forcing alone, we use a multi-model, 50-member ensemble of historical CMIP
181 simulations. A 20-member ensemble is based on the Community Climate System Model,
182 version 4 (CCSM4; Gent et al. 2011) whose atmospheric component is Community
183 Atmospheric Model version 4 (CAM4; Neale et al. 2010), and a 30-member ensemble
184 (Kay et al. 2015) is based on the Community Earth System Model version 1 (CESM1;
185 Meehl et al. 2013) whose atmospheric component is CAM5 (Neale et al. 2012). Both

186 atmospheric components are run at $\sim 1^\circ$ horizontal resolution, with 26 vertical levels in
187 CAM4 and 30 levels in CAM5. Each member of these two coupled model runs is
188 similarly driven by changes in greenhouse gases, anthropogenic aerosols, solar and
189 volcanic aerosols, with starting from different initial conditions. The radiatively forced
190 atmospheric signals are derived from the ensemble-mean of 50 coupled runs, in order to
191 effectively separate the atmospheric response pattern from those arising from unforced
192 internal coupled ocean-atmospheric variability alone.

193

194 b. Diagnostic methods

195

196 The observed leading structures of the NH wintertime circulation patterns are obtained by
197 applying empirical orthogonal function (EOF) analysis to DJF seasonally averaged 500-
198 hPa heights for the 35 years of data during 1979-2014 period. The EOF analysis is based
199 on the covariance matrix for 20°N - 90°N latitude bands, and a latitudinal weighting prior
200 to the EOF analysis is used. The EOF patterns are presented as regressions against the
201 principal component (PC) time series. Note that unrotated EOFs utilized here are
202 constructed to be both spatially and temporally uncorrelated to each other.

203

204 In this study, we determine the leading EOF modes of ensemble mean AMIP and CMIP
205 data, which allows us to isolate the forced signals. By comparison, leading EOF modes of
206 the observational record, or concatenated from individual ensemble members will involve
207 unforced internal atmospheric (or coupled ocean-atmospheric) variability.

208

209 In Appendix A, we provide a comparison of the patterns of the observed and GFS
210 simulated first three leading modes of variability of DJF 500 hPa geopotential heights.
211 This comparison demonstrates that the model is capable of capturing the observed two
212 leading modes of variability when concatenating the individual members of the GFS
213 AMIP simulations. These modes can also be largely reproduced in a long climatological
214 run driven with climatological lower boundary conditions, suggesting that the internal
215 atmospheric variability may play a dominant role in Northern Hemisphere wintertime
216 height variability. Section 3 will present the analysis of the fraction of the forced
217 atmospheric variability versus the total wintertime height variability to further quantify
218 the role of internal atmospheric variability.

219

220 As mentioned above, EOFs are calculated of the ensemble mean 500 hPa heights of the
221 AMIP and the CMIP data. For the AMIP model suite, the resulting patterns are of the
222 atmospheric sensitivity to the specified SST, sea ice, and radiatively forcing during 1979-
223 2014. These forcings commingle both internal ocean variations (e.g., ENSO) and
224 external variations related to anthropogenic climate change. For the CMIP model suite,
225 the resulting patterns are of the atmospheric sensitivity to specified radiative forcing
226 alone. While the coupled models produce internal ocean variations such as ENSO, these
227 are not temporally coherent among the individual ensemble members in the manner that
228 they are (by specification) in AMIP experiments. Thus, any SST-forced component of
229 height variability in the CMIP analysis will be principally related to the trend component
230 of global SST change that is coherent with the time series of radiative forcing.

231

232 We also carry out a composite analysis for extreme phases of the EOF patterns and
233 determine the associated anomaly patterns of 500-hPa heights, sea surface temperatures,
234 and North American surface temperature and precipitation.

235

236 **3. Results**

237 *a. Principal time-varying forced signals*

238

239 Figure 1 shows wintertime (DJF) 500 hPa height structures based on the two leading
240 EOFs of the ensemble-averaged AMIP simulations. Together these explain 79% of the
241 total boundary forced height variance. Contours in the left panels are the ensemble-mean
242 500 hPa heights regressed against each eigenvector's PC time series shown in the right
243 panels for 1979-2014.

244

245 A wave train having principal centers over the Pacific-North American sector describes
246 the height pattern maximizing variance in the extratropical NH forced solutions. Its
247 structure is well-known, resembling the observed height anomalies that are linearly
248 related to Nino3.4 SST variability (see Figure 3). This anomaly pattern, also called the
249 Tropical/Northern Hemisphere (TNH) pattern (Mo and Livezey, 1986), resembles the
250 configuration related to the leading EOFs of wintertime heights in observations (see
251 Figure A1). The time series for this leading mode shows clear co-variability with ENSO,
252 having positive polarity (i.e., the phase as shown in Fig. 1) during warm events (e.g.
253 1982/83, 1991/92, 1997/98, 2002/03, 2009/10) and negative polarity (i.e., the opposite
254 phase to that shown in Fig. 1) during cold events (e.g. 1988/89, 1998/99, 1999/2000,

255 2007/08, 2011/12). This pattern alone explains 56% of total boundary forced component
256 of extratropical NH wintertime height variability.

257

258 The height pattern associated with the second mode of forced AMIP solutions also
259 describes a wavetrain, but resembles the classic PNA pattern. Explaining 23% of the
260 total boundary forced height variability over the NH extratropics, its centers-of-action are
261 in spatial quadrature with the leading forced solution. Hartmann (2015) found a similar
262 mode of height variability by regressing winter 500 hPa heights onto the PC time series
263 of the second EOF of global 1979-2014 SST. This pattern arises from several
264 configurations of tropical Pacific SST variability. During strong El Niño events, both
265 PC1 and PC2 have large values, with the second EOF pattern acting to modify the overall
266 forced response by effectively shifting the centers-of-action of the first EOF pattern
267 eastward. In this sense, various magnitudes of PC1 and PC2 during warm events
268 describe so-called “flavors of El Niño” (e.g. Trenberth 1993; Hoerling and Kumar 2002;
269 Capotondi et al. 2015). The second EOF also describes the asymmetry in teleconnections
270 between El Niño and La Niña events, and is thus the spatial manifestation of the
271 nonlinearity in atmosphere responses to ENSO’s opposite phases. Finally, this pattern
272 can arise in the absence of ENSO, being the dominant forced solution at times when
273 NINO3.4 SSTs are “ENSO-neutral”. Thus, while the stronger amplitudes in the
274 corresponding PC-2 time series (Fig. 1, lower right) tend to occur during ENSO events
275 (e.g. 1982/83, 1991/92, 1997/98 warm events, and 1988/89, 1998/99, 2007/08, 2010/11,
276 2011/12 cold events), large projections also occur during several ENSO-neutral years
277 (1985/86, 1996/97, 2001/02, 2013/14). At such times, the forced solution is materially

278 different from the canonical ENSO teleconnection and has different impacts on North
279 American surface temperature and precipitation than the canonical ENSO signals, as
280 described further in section 3b.

281

282 We have utilized the large ensemble AMIP simulation from a different atmospheric
283 circulation model to repeat the analysis and found that the results in Figure 1 are robust
284 (Appendix B). This intercomparison indicates that the atmospheric sensitivities are
285 unique to the nature of the boundary forcing to which each atmospheric model was
286 subjected, rather than depending on the selection of a particular model. Our subsequent
287 analysis is thus based on the GFS data.

288

289 We diagnose the scatter relationship between the PC indices shown in Figure 1 and
290 various tropical Pacific SST indices to better understand the linkage between the first two
291 EOF modes and oceanic forcing during 1979-2014. The top panel of Fig. 2 shows the
292 relationship between the Nino3.4 standardized SST index, which is commonly used to
293 monitor ENSO, and the PC time series for the EOF1 500 hPa height mode (PC1). The
294 bottom panel of Fig. 2 shows the relationship between the Trans-Niño standardized SST
295 index (TNI), which measures the contrast in SSTs across the equatorial Pacific and helps
296 to capture the evolution of ENSO during its transition period (Trenberth and Stepaniak
297 2001), and the PC time series for the EOF2 500 hPa height mode (PC2). The correlation
298 between PC1 and Nino3.4 indices is 0.90, confirming that the height pattern associated
299 with the leading mode of forced AMIP solutions is the atmospheric expression of ENSO
300 forcing; the so-called canonical ENSO teleconnection. The correlation between PC2

301 index and TNI index is also high (0.74), indicating that the height pattern associated with
302 the second mode of forced AMIP solutions is linked to the evolution of ENSO.

303

304 To further confirm the linkage between the PC indices and tropical Pacific SST indices,
305 we have regressed the wintertime 500 hPa height from observations and the ensemble-
306 averaged AMIP simulations onto the Nino3.4 variability and the TNI index, respectively
307 (Figure 3). The results show that the model reproduces well the observed atmospheric
308 circulations associated with each ocean forcing. The similarities between the patterns in
309 Figure 3 and Figure 1 demonstrate that the height patterns associated with the first two
310 modes of forced AMIP solutions represent tropical SST forced teleconnections.

311

312 Whereas the overall temporal correlation between the PC1 and PC2 time series is zero,
313 there is nonetheless a strong physical relationship between these two as mentioned
314 previously. To explore the connection between the PC1 index and PC2 index, Figure 4
315 shows the scatter relationship between them. While there is no linear correlation between
316 these two PC time series by statistical construct, a nonlinear relationship is evident. For
317 the extremes values of PC1, PC2 is always positive. Further, the extreme positive
318 occurrences of PC2 arise solely in concert with strong PC1 states. Recalling that the
319 latter is a proxy for NINO3.4 SST variability, this result illustrates the nonlinearity of
320 atmospheric teleconnections through which the second response pattern is of the same
321 phase during both strong El Niño and La Niña events. This non-linear relation between
322 the PC1 and PC2 circulation patterns is mirrored by a similar non-linear relation between
323 the two leading EOF modes of tropical Pacific SSTs (Dommenget et al. 2013), with those

324 SST patterns being well described by the NINO3.4 and TNI indices, respectively.
325 Affirmed hereby is that the difference between the oceanic expressions of El Niño and La
326 Niña events is responsible for a difference in atmospheric teleconnections between
327 ENSO's extreme states.

328

329 An interesting feature of the scatter relation is that the strong negative phases of PC2
330 occur almost exclusively when PC1 is near-normal, namely only during ENSO-neutral
331 conditions during 1979-2014. It is unclear whether this is also true for the forced
332 atmospheric teleconnections for other periods before 1979. Nonetheless, the result
333 isolates the existence of a forced atmospheric teleconnection that is distinct from the
334 well-known teleconnection occurring during mature ENSO conditions. The forced
335 atmospheric circulation described by this PC2 is thus not a mere modifier of the
336 canonical ENSO patterns, but can also exist as a unique stand-alone sensitivity pattern
337 given particular states of the tropical ocean. As will be subsequently shown in composite
338 analyses, this wintertime teleconnection emerges in the interlude between tropical SST
339 evolutions from a recently completed La Niña to a subsequent El Niño. Within this
340 transition toward El Niño, warm SSTs are often present in the tropical west Pacific and
341 cool conditions are lingering in the tropical east Pacific, a pattern resembling the optimal
342 structure for El Niño development 6-9 month later (Penland and Sardeshmukh 1995).
343 These results indicate that there is a distinct atmospheric teleconnection (the negative
344 phase of PC2) that accompanies such a precursor state to El Niño development.

345

346

347 *b. Composite forced signals*

348

349 Various characteristics of wintertime global climate associated with the leading forced
350 atmospheric teleconnection are illustrated in Fig. 5. Shown are the composite patterns of
351 Northern Hemisphere 500-hPa height, tropical SST and precipitation, North American
352 surface temperature, and North American precipitation based on the upper and lower
353 quintile of the PC1 time series during 1979-2014. Each composite consists of a 350-
354 wintertime average constructed from the 7 strongest cases averaged across the 50-
355 member model simulation.

356

357 For positive PC1 (Fig. 5, left), tropospheric circulation anomalies (top panel) resemble
358 the Tropical/Northern Hemisphere (TNH) pattern (Mo and Livezey 1986). This positive
359 phase occurs in concert with warm equatorial Pacific SSTs during the mature phase of El
360 Niño (second panel). The well-known southeastward shift of low pressure from the
361 Aleutians into the Gulf of Alaska and a development of anomalously high pressure over
362 central Canada are part of a hemispheric wave train that brings above-normal
363 temperatures to central and eastern North America (third panel) and above-normal
364 rainfall to the southwestern United States (bottom panel). For negative PC1 values (Fig.
365 5, right), the global climate conditions are almost a mirror image, affirming the dominant
366 linearity in atmospheric response to the extreme values of the Nino3.4 index. Some
367 asymmetries are evident, however. For instance, the 500 hPa height anomaly centers are
368 shifted 20-30° longitude west in the PC1 negative composite compared to PC1 positive
369 composite, and resemble the Pacific-North American circulation pattern (Barnston and
370 Livezey 1987) rather than the TNH pattern. Such asymmetry in the model's response is

371 consistent with observational evidence of nonlinearity in atmospheric teleconnections
372 associated with El Niño and La Niña (e.g. Hoerling et al. 1997).

373

374 The asymmetry in global climate conditions between extreme phases of PC1 is diagnosed
375 in Fig. 6, which presents the sum of composite anomalies for extreme positive PC1 (left
376 panels, Figure 5) and negative PC1 (right panels, Figure 5). The asymmetric height
377 pattern (top) is symptomatic of the aforementioned phase shift in teleconnections, a
378 structure very similar to the second EOF pattern of forced atmospheric teleconnections
379 (see Fig. 1). Consistent with the scatter relation of PC1 and PC2 time series, this result
380 again demonstrates a physical relationship between the two leading EOFs of forced
381 circulation, even though their respective time series are uncorrelated.

382

383 It is principally the difference in tropical forcing distinguishing strong El Niño from
384 strong La Niña events that causes the asymmetry in teleconnections related to PC1 (e.g.
385 Hoerling et al. 2001). Strong El Niños acquire larger SST amplitudes in the eastern
386 equatorial Pacific whereas strong La Niñas acquire larger SST amplitudes in the western
387 equatorial Pacific (Dommenget et al. 2013), the signature of which is captured in Fig. 6
388 (second panel). The resulting positive skew in the Nino3 index is further indication for
389 nonlinearity in SST forcing (Burgers and Stephenson 1999; An and Jin 2004; Zhang et al.
390 2009; Zhang and Sun 2014), which could be mainly caused by the extraordinary 1982-83
391 and 1997-98 El Niño events (Takahashi et al. 2011). Tropical convection is sensitive to
392 such differences, with strong El Niños exhibiting more enhanced rainfall in the eastern
393 Pacific, whereas strong La Niñas have less enhanced rainfall in the western Pacific. As

394 will be subsequently shown, this dipole in tropical forcing is not solely a residual of
395 ENSO variance, but also arises during non-ENSO winters when the tropics can likewise
396 act to force a teleconnection pattern resembling EOF2.

397

398 The asymmetric component of US climate conditions is physically consistent with those
399 expected from the circulation asymmetry, the physical linkages of which have been
400 examined in detail in Zhang et al. (2014). Here we add the interpretation that the skew in
401 ENSO SST distributions implants a tendency toward non-Gaussian statistics in North
402 American surface temperature. Over eastern North America especially, an outcome of
403 asymmetry in the tropically forced teleconnection is that warm conditions, which appear
404 during both strong El Niño and La Niña, would occur with greater frequency than
405 expected from assumptions of normality (see Fig. 6, third panel). The asymmetric
406 component of precipitation (Fig. 6, bottom) has a somewhat different interpretation. The
407 widespread wet conditions over the West Coast result from the fact that the strong El
408 Niño wet signal in central-northern California is appreciably more intense than the La
409 Niña dry signal. Further, strong El Niños do not yield substantial wintertime dryness over
410 Oregon/Washington, whereas those areas are considerably wet during La Niña. Overall,
411 these indications for asymmetry in US climate impacts, originating from teleconnections
412 driven by ENSO extremes, reveal a rectified effect comprised of a wetter far western
413 North America and a warmer eastern North America, than would prevail in a climate
414 lacking extreme ENSO variability.

415

416 Figure 7 presents the various characteristics of wintertime global climate associated with
417 the second EOF of forced atmospheric teleconnection. Noteworthy here is that the
418 composite maps for positive PC2 (left panels) are virtually identical to those associated
419 with the asymmetric component of PC1 (cf. Figure 6). This follows directly from the
420 scatter relation of the two PC time series (Fig. 4) where it was shown that most of the
421 extreme positive states of PC2 occur in concert with the extreme PC1 positive and
422 negative states. Thus, the simulation years comprising the composite of 7 extreme
423 positive PC2 events, used to construct the left panels in Fig. 7, consist of a sum of
424 extreme positive and negative PC1 cases from which the results of Fig. 6 were
425 constructed. Physically, this positive PC2 phase and its associated global climate
426 conditions are principally linked to ENSO.

427

428 On the other hand, the composite maps for negative PC2 (Fig. 7, right panels) are
429 identified with SST forcing that is distinct from the mature states of ENSO. This phase
430 of the teleconnection, consisting of anomalous low pressure over the central Pacific and
431 anomalous high pressure along western North America, occurs in association with warm
432 equatorial SST anomalies located slightly west of the Dateline, and cold SST anomalies
433 along the equatorial east Pacific. The structure and phase of this teleconnection can be
434 physically understood as resulting from tropospheric wave driving by enhanced
435 convection initiated over the warm waters of the western Pacific, as demonstrated in
436 dynamical model studies (e.g. Ting and Sardeshmukh 1993) and in idealized SST-driven
437 climate simulations (Hoerling and Kumar 2002). The SST pattern is analogous to a
438 pattern that is the precursor to El Niño development (e.g. Penland and Sardeshmukh,

439 1995). The principal North American impact of this SST pattern is dry/warm across the
440 western United States. Vimont et al. (2001, 2003a,b) and Alexander et al. (2010)
441 provided a physical mechanism, the so called “seasonal footprinting mechanism” (SFM)
442 for such a precursor mode to induce El Niño, by which North Pacific atmospheric
443 variability during the preceding winter affects tropical Pacific SST anomaly and the
444 development of ENSO in the following year.

445

446 An application of this result concerning PC2 is that it clarifies and supports recent
447 interpretations on causes for California drought in 2013-14, in particular the possible role
448 of tropical forcing (e.g. Wang et al. 2014; Seager et al, 2014). We note that the largest
449 negative PC2 loading since 1979 occurred during the 2013-14 winter (see Fig. 1)
450 indicating that this non-ENSO, tropically forced teleconnection was a candidate
451 mechanism in the severe drought and heat over California and the Far West. The results
452 of AMIP simulations using 7 different climate models also have found a wave pattern of
453 the type described by this negative phase of PC2 during winter 2013-14 (Seager et al.
454 2014)

455

456 *c. Radiatively forced time-varying atmospheric signals and trends*

457 Results in the previous sections indicate that the two leading forced teleconnections are
458 strongly linked to interannual states of tropical Pacific SST forcing, either mature ENSO
459 conditions or pre-cursor conditions preceding El Niño development. The PC1 time series
460 also exhibits a downward trend during 1979-2014 (see Fig. 1), which may reflect an
461 increased frequency of cold ENSO states in the recent decade compared to the first

462 decade, or may be suggestive of other low frequency forcing. The question arises in
463 particular whether the trend in this leading teleconnection during 1979-2014, or trends in
464 other manifestations of the model's forced responses, is symptomatic of atmospheric
465 sensitivity to time variations in external radiative forcing.

466

467 To address this question, we begin by diagnosing the third EOF of the AMIP ensemble
468 mean 500 hPa wintertime height variability (Fig. 8, top left). While explaining only 6%
469 of the variance in forced height variability (the first two EOFs explain 80% of the
470 variance), its pattern and temporal variability suggests a plausible physical interpretation
471 in a framework of global warming. First, EOF3 primarily describes a monopole structure
472 over the NH as a whole, a hemisphere-wide pattern distinct from the regional wave
473 structures of EOF1 and EOF2 that were each confined to the Pacific-North American
474 sector. Second, the PC3 time series (Fig. 8, top right) has a distinct upward trend that
475 describes a tendency for NH heights to rise since 1979 as would be expected from the
476 effects of anthropogenic greenhouse gas forcing.

477

478 The pattern of the third EOF and its temporal trend of the PC alone are however not
479 sufficient for a physical interpretation related to climate change because this higher mode
480 of variability is constrained by temporal and spatial orthogonality in relation to the
481 leading two modes. Therefore, we utilize a 50-member ensemble of CMIP simulations.
482 Recall that the only forcing that is temporally synchronized among every CMIP model
483 realization is anthropogenic greenhouse gases, anthropogenic aerosols, solar and volcanic
484 variability. The CMIP EOF1 regression pattern and the related PC are shown in the

485 bottom panels of Fig. 8. The leading 500 hPa height pattern associated with such forcing
486 describes a hemisphere-wide monopole whose time series consists of an upward trend.
487 These characteristics reproduce many features of the space-time variability of EOF3 from
488 the AMIP analysis, including also the magnitude of variations, which are typically about
489 5 meters in both. Specifically, the CMIP EOF1 has a strong spatial congruence ($r=0.79$)
490 with the EOF3 of the AMIP model. By comparison, there is no appreciable spatial
491 agreement of the externally forced solution with the EOF1 and EOF2 of the AMIP model
492 ($r=0.40$ and $r=0.03$, respectively). We also compared the root mean square (RMS) of the
493 magnitude of three EOF patterns from AMIP analysis with that of EOF1 pattern from
494 CMIP simulations. The results show that compared to EOF1 and EOF2 modes, the
495 magnitude of EOF3 pattern from AMIP analysis is much closer to that of EOF1 pattern
496 of CMIP simulations. The results, from several lines of evidence, thus indicate radiative
497 forcing to be instrumental in understanding the third EOF of AMIP responses.

498

499 Considering the trend in the leading EOF of the AMIP response, our interpretation is that
500 this is unlikely a symptom of sensitivity to time variations in external radiative forcing.
501 We have calculated the ensemble mean of the leading EOFs derived from the individual
502 historical coupled simulations (See Appendix C). The ensemble mean pattern is spatially
503 similar to the leading EOF (EOF1) of the AMIP response (and also EOF1 of observed),
504 and captures the dominant structure of the ENSO teleconnection (see Fig. C1, top left).
505 However, unlike the time series of the AMIP EOF1, the time series of the EOF1 of the
506 average of individual coupled runs exhibits no appreciable trend (Fig. C1, top right).

507 These results indicate that external radiative forcing has no significant effect on the trend
508 in the leading ENSO teleconnection pattern, in the models studied herein.

509

510 We also note that the time series of EOF1 derived from individual coupled model
511 samples can have a strong positive (or negative) trend, the magnitude of which was found
512 to be at least as large as the trend in the AMIP EOF1 (not shown). There is thus a large
513 range of internal variability on multi-decadal time scales in the coupled models. This
514 result, together with the evidence that the leading ENSO teleconnection is not materially
515 affected by external radiative forcing during 1979-2014, supports an interpretation that
516 the trend in the forced ENSO teleconnection of AMIP response has resulted principally
517 from internal coupled variability. We can not exclude the possibility that ENSO itself
518 may be changing in response to external forcing, although CMIP model simulations do
519 not show consensus on the response of ENSO variability to climate change (Guilyardi
520 2006; Collins et al. 2010; Stevenson 2012; Kim et al. 2014). Furthermore, long coupled
521 runs for pre-industrial conditions yield decadal to centennial modulations of ENSO
522 (Wittenberg, 2009; Yeh et al. 2011), and thus one would expect corresponding multi-
523 decadal variations in forced atmospheric teleconnections. Due to the high correlation
524 between AMIP PC1 time series and a N3.4 index, it is quite plausible that the trend in
525 AMIP EOF1 is symptomatic of such internal ENSO-like multi-decadal variability.

526

527 How large is the contribution of radiative forcing changes to the total circulation
528 variability during 1979-2014? To address this question, Figure 9 presents the ratio of
529 wintertime 500 hPa height variances calculated for the AMIP (top) and CMIP (bottom)

530 simulations. The total variance, which appears in the denominator of the ratio,
531 commingles forced variations and internally driven variations (either of the atmosphere
532 alone in AMIP or of the coupled ocean-atmosphere in CMIP). Its magnitude and spatial
533 pattern is very similar in the two model configurations (not shown). The forced
534 components, which appear in the numerator of the ratio, are very different however. The
535 forced component in CMIP represents the physical effects of external radiative forcing
536 arising from both the atmosphere's sensitivity to lower boundary changes (e.g., a broad
537 oceanic warming in response to increasing radiative forcing), and the direct effects of
538 radiative forcing on the atmosphere alone. As shown in the lower panels of Fig. 9, this
539 forced component is a small fraction of total variability, generally less than 5% poleward
540 of 30°N. A similar pattern of variance ratios is found when using the AMIP EOF3 forced
541 signal in the numerator (Figure 10), thus providing further evidence that radiative forcing
542 is unlikely to be a substantial factor in driving year-to-year variability of wintertime
543 extratropical circulation.

544

545 The results support the interpretation that radiative forcing has limited explanatory power
546 for height variations during 1979-2014. As a consequence, knowledge of the time series
547 of radiative forcing offers little potential for predicting the overall interannual variability
548 in wintertime atmospheric circulation.

549

550 By contrast, the forced component in AMIP incorporates the atmosphere's sensitivity to
551 the particular history of observed ocean variability, including especially ENSO variations
552 observed during 1979-2014, in addition to the aforementioned physical effects related to

553 radiative forcing. Strong atmospheric forcing by the particular history of SST variations
554 explains why the ratio of variances in the AMIP simulation, shown in the upper panels of
555 Fig. 9, is much larger than in CMIP. The magnitude is nearly an order of magnitude
556 greater in portions of the Pacific-North American region in AMIP versus CMIP. It is in
557 that region especially where atmospheric responses to observed tropical Pacific SST
558 variations that occurred during 1979-2014 dominates the overall boundary forced
559 variance in AMIP.

560

561 The results of the AMIP analysis thus indicate the particular history of tropical Pacific
562 SST variability to be key for understanding the nature of forced atmospheric
563 teleconnections during 1979-2014. These SST forcings render appreciable explanatory
564 power for PNA-sector height variations, and knowledge of the time series of ENSO and
565 related internal states of the tropical Pacific Ocean offers substantial potential
566 atmospheric predictability, whereas the radiative forcing alone explains little variance.
567 The same distinction does not hold elsewhere. Both AMIP and CMIP variance ratios are
568 small over the North Atlantic, Europe, and Asia. In those regions, the results of Fig. 9
569 indicate that neither radiative forcing alone, nor the additional knowledge of the sequence
570 of observed SST variations, contributes appreciably to circulation variability thereby
571 limiting overall interannual predictability.

572

573 Figure 11 reveals that it is principally EOF1, and not EOF3, that explains most of
574 wintertime NH 500 hPa height trend occurring in the AMIP ensemble. The left panels of
575 Fig. 11 compare the 1979-2014 trend in 500 hPa heights associated with EOF1 (top) to

576 the total AMIP ensemble mean trend (bottom). These are almost indistinguishable in
577 pattern and magnitude indicating that irregularity in Nino3.4 SST variability during this
578 period, with greater frequency of La Niña events in later years, is mainly responsible for
579 trend in forced circulations. Incorporating the trend contribution from EOF3 doesn't
580 materially change the trend derived from EOF1 alone (see Fig 11, top right). Most of the
581 regional patterns of NH wintertime height change since 1979 thus appear unrelated to the
582 radiative forcing time series, consistent with the findings of Perlwitz et al. (2015). We
583 note, however, that the possibility can not be excluded that the CMIP models have biases
584 relevant to this interpretation. In particular, a post-1979 trend toward cold states of the
585 tropical eastern Pacific is a very low probability of CMIP models (e.g. Hoerling et al.
586 2010), which could indicate a different sensitivity to radiative forcing in nature in
587 addition to the more likely possibility that this has been an extreme case of internal
588 variability.

589

590 It is instructive to compare the AMIP ensemble mean height change since 1979 with the
591 observed height change pattern. Agreement is strong, principally over the North Pacific
592 where both indicate trends toward increased anticyclonic circulation (compare bottom
593 panels of Fig. 11). The agreement over the North Pacific is not surprising given the high
594 signal-to-noise ratio for interannual variability found in the AMIP simulations (Fig. 9)
595 Physically, this observed trend toward anticyclonic circulation is consistent with
596 atmospheric sensitivity to a change in ENSO statistics, with La Niña events more
597 common during 1999-2014 while El Niños dominated the 1980s and 1990s. The ratio of
598 variance indicates that detectability of such a North Pacific circulation change in

599 observations is expected to be high, and thus the fidelity of the simulated trend is not
600 surprising. The interpretation is not particularly dependent on whether one interprets the
601 change in Pacific basin SSTs during 1979-2014 as symptomatic of ENSO-like
602 interdecadal variability (e.g. Zhang et al. 1997), or indicative of a Pacific decadal climate
603 oscillation (e.g. Mantua et al. 1997). By contrast, an observed circulation trend over the
604 North Atlantic basin, which projects onto a negative phase of the North Atlantic
605 Oscillation (NAO), is not reconcilable with forcing in the AMIP (or CMIP) simulations.
606 Here the ratio of variances analysis showed forcing to be ineffective in driving
607 interannual variability of atmospheric circulation, and it is plausible that the recent trend
608 toward the negative NAO phase is largely a symptom of internal atmospheric variability
609 (see also Perlwitz et al. 2015).

610

611 **4. Summary and discussion**

612

613 In this study, the forced modes of NH winter 500-hPa heights for the period of 1979 to
614 2014 were determined by utilizing a 50-member ensemble of atmospheric general
615 circulation model (GFSv2) simulations and by carrying out an EOF decomposition of the
616 ensemble mean 500-hPa height anomalies. We identified three main modes that together
617 explain about 85% of the forced variability of 500 hPa wintertime height variability
618 poleward of 20°N.

619

620 The first two leading modes, which together explain 79% of the forced height variance,
621 are associated with tropical SST forcing. The first EOF describes the canonical tropical-

622 extratropical teleconnection pattern (TNH) that has historically been interpreted as the
623 linear response to ENSO's extreme opposite phases. That is, the positive (negative) phase
624 (indicated by PC values) of the first EOF mode is linked to the response during warm
625 (cold) ENSO events. A high correlation (~ 0.9) between the principal component of this
626 EOF and the Nino3.4 index therefore exists. The second forced mode describes the
627 classic PNA circulation pattern, and is closely linked to the Trans-Nino index—a
628 correlation coefficient of 0.74 is found between the TNI and the principal component
629 time series of this mode.

630

631 Our analysis of the scatter relationship between the PCs of these first and second AMIP
632 modes, together with composite analyses, offers new insights into the physics of this
633 second forced teleconnection pattern. Its positive phase is shown to be an expression of
634 the asymmetry in ENSO teleconnections between their extreme opposite warm and cold
635 phases. This arises most strongly during the intense El Niño events of 1982/83 and
636 1997/98. Consistent with this asymmetry in ENSO teleconnections, we demonstrate that
637 wet conditions occur over far western North America and warm conditions over eastern
638 North America during both strong ENSO phases. Its negative phase is shown to be an
639 expression of atmospheric responses that occur mostly during ENSO-neutral winters
640 when a distinctive tropical SST pattern having warmth in the far western Pacific and
641 coolness in the far eastern Pacific can arise. This forcing resembles a precursor state that
642 often is followed by a mature El Niño event in 6-9 months. The principal climate impact
643 related to this forced teleconnection is an anomalously dry and warm climate across the
644 western United States.

645

646 It should be noted that these two leading teleconnection modes are not particularly
647 dependent upon just two strong warming events (1982/1983 El Niño and 1997/1998 El
648 Niño) or the 1979-2014 period. We have recalculated the AMIP EOFs of 500 hPa heights
649 by considering two separate periods (1984-1997 and 1999-2014), neither of which
650 includes these two strong events. The two leading EOFs emerge with little change in
651 structure in these sub-periods, with the principal difference being a reduced explained
652 variance of EOF2. Based on the available ECHAM4.5 simulations spanning 1950-2003,
653 we have compared the leading two EOF patterns of that model's forced teleconnections
654 during 1950-1978 to the subsequent period, and found little appreciable difference. In
655 light of the high consistency in the first two EOFs among models and for different
656 periods of analysis, it is suggested that our analysis of the 1979-2014 period is robust and
657 is likely representative of a longer period of study.

658

659 By comparing the AMIP (GFSv2) EOF analysis results with CMIP (CCSM4 and
660 CESM1) EOF analysis we argue that the third AMIP EOF mode is associated with
661 radiatively forced climate change. This mode explains 6% of the forced variance in the
662 AMIP ensemble mean and is thus much weaker than the contribution from the first two
663 modes. The time series of this third EOF consists mostly of a trend pattern that primarily
664 describes increasing geopotential heights in the Northern Hemisphere, consistent with a
665 global warming signal. However, a trend in the PC time series of AMIP EOF1 is found to
666 dominate the overall trend in the AMIP ensemble mean and observations during 1979-
667 2014. Our analysis therefore suggests that the observed trend of winter heights during the

668 recent period is more determined by the decadal variability associated with low frequency
669 fluctuations in ENSO than by the external radiative forcing.

670

671 Our results have some relevance to the question of the atmospheric sensitivity to different
672 “Faces of El Niño” (e.g. Capotondi et al. 2015). Studies have shown that, distinct from
673 the canonical El Niño with warming in the eastern equatorial Pacific ocean (EP- El
674 Niño), a different expression of SST warming confined to the central Pacific region also
675 occurs. This latter warming pattern is referred to variously as the central Pacific (CP) El
676 Niño (Yu and Kao 2007; Kao and Yu 2009), Date Line El Niño (Larkin and Harrison
677 2005), El Niño Modoki (Ashok et al. 2007), or warm pool El Niño (Kug et al. 2009). The
678 central Pacific events have been interpreted as a nonlinear manifestation of the canonical
679 ENSO (Takahashi et al. 2011; Dommenges et al. 2013; Johnson 2013). The question has
680 been explored whether these two types of El Niño have different impacts on wintertime
681 extratropical climate (e.g. Yeh et al. 2009; Yu et al. 2012). Our analysis indicates that
682 there indeed exist additional forced atmospheric response patterns beyond a single
683 canonical (linear) ENSO response, though the EOF approach is not suitable to clarifying
684 the different structures of atmospheric responses across a continuous spectrum of El Niño
685 warming patterns. A better understanding of these different structures is a subject of
686 ongoing research.

687

688 Future work is also needed to better understand the predictive value implicit in the
689 knowledge of these forced teleconnections for US climate. For instance, is the potential
690 predictability implied by the three leading teleconnections being realized in current

691 climate prediction systems? What is the contribution of the different modes to US
692 seasonal forecast skill? And, at what lead times are the different forcings of these
693 teleconnections themselves predictable?

694

695 The PC time series of the forced teleconnections describe distinct “forecasts of
696 opportunity”. Some are well known, such as the strong El Niño events in 1982-83 and
697 1997-98. Others are less well known, and deserving additional study. For example,
698 during winter of 2013-14 the negative phase of the second mode was strongly enhanced,
699 an important indicator for a possible role of tropical forcing in that winter and its impact
700 on California precipitation deficits and high temperatures during that winter (see also
701 Seager et al. 2014). Hartmann (2015), using the same model data, also identified a
702 coherent atmospheric teleconnection for 2013-14 that includes a warm West-cold East
703 surface temperature dipole.

704

705 Our analysis focuses on the 1979-2014 period, which may not very well contain all the
706 “Faces of ENSO”, among other modes of SST variations to which forced teleconnections
707 may be sensitive. In addition, the time-dependent volcanic aerosols forcing is not
708 included in the present AMIP simulations. In a next step we plan to carry out a parallel
709 analysis of large historical simulations spanning the post-1900 period using a large
710 ensemble AMIP and large ensemble CMIP data set, in which identical AGCM
711 components forced with the changes in volcanic aerosols and anthropogenic greenhouse
712 gases are involved. This may provide a clearer separation of the sensitivity of forced

713 atmospheric teleconnections arising from external forcing alone versus from the
714 additional effect of the particular trajectory in observed SST conditions.

715

716

717

718

APPENDIX A

719 **Comparison of leading modes of variability of 500hPa heights in reanalysis and GFS**

720

model simulations

721 The EOF patterns between the reanalysis data and GFS model simulations are compared

722 to examine the model fidelity in simulating the leading modes of variability of Dec-Feb

723 mean 500hPa heights. Figure A1 (left panels) shows the regression patterns

724 corresponding to the first 3 EOFs of reanalysis data, which explain a combined 54.8% of

725 the wintertime height variability poleward of 20°N. The same EOF procedure is applied

726 to the climate simulations. In this application, we concatenate the individual members of

727 the AMIP simulations, which for the GFS data consist of 1750 winter seasons. Figure A1

728 (middle panels) shows the regression patterns corresponding to the first 3 EOFs of GFS

729 simulations, which explain a combined 46.8% of the model's wintertime height

730 variability poleward of 20°N. The model is seen to replicate the leading observed pattern

731 of 500 hPa height variability which consists of a prominent wave train over the Pacific-

732 North American region. The observed second EOF pattern is likewise part of the

733 model's leading modes of variability, though ranked third in its EOF decomposition and

734 explaining less overall height variance. We note that there exists considerable sampling

735 variability in the EOF rankings and detailed structures based on separate samples of only

736 35 winters (not shown). We have quantified the range of pattern similarity between

737 model simulations and observations for the first 3 EOFs. The EOF1 pattern congruence
738 between individual members of the AMIP simulations and observations based on 35
739 winters ranges from 0.59 to 0.95. However, the range of EOF2 and EOF3 pattern
740 congruence is more scattered, with values from 0.00 to 0.89 for the former and from 0.02
741 to 0.88 for the latter. This large uncertainty is expected because of the considerable
742 sampling variability in the EOF rankings in the model simulations, in addition to the
743 possible model biases.

744 The leading patterns of the GFS 500-hPa height variability can be largely reproduced in a
745 climate simulation having no interannual variability in boundary conditions or external
746 radiative forcing. A 100-yr long climatological run of GFS has been performed using
747 repeating seasonal cycle SSTs and carbon dioxide concentrations corresponding to the
748 mean of 1979-2014. Figure A1 (right panels) shows the regression patterns
749 corresponding to the first 3 EOFs of this climatological run, which explain a combined
750 43.8% of the wintertime height variability poleward of 20°N. The spatial structures are
751 very similar to the AMIP version of the model, and it is evident that internal atmospheric
752 variability is a major source of NH wintertime height variability.

753

754

755

APPENDIX B

756 **Comparison of forced modes of variability between GFS and ECHAM4.5 models**

757 The robustness of the boundary forced atmospheric circulation sensitivities shown in Fig.
758 2 is evaluated by repeating the EOF analysis using different model data. Important in
759 such intercomparison is the use of large-sized ensemble simulations so as not to confound

760 forced patterns with internal atmospheric variability that may dominate an EOF analysis
761 based on small-sized ensembles. We have therefore used the data from an 85-member
762 ensemble of similarly designed AMIP experiments derived from the ECHAM4.5 model
763 (Roeckner et al. 1996; data provided by IRI, see
764 <http://iridl.ldeo.columbia.edu/SOURCES/.IRI/.FD/.ECHAM4p5/.History/.ensemble85/>).
765 Differences in parameterizations and spatial resolutions (e.g., ECHAM4.5 is run at T42
766 scale) permit a meaningful evaluation of whether these large spatial modes of
767 atmospheric sensitivity derived from GFS data are robust to model formulation. Figure
768 B1 compares the height structures corresponding to the first two EOFs of wintertime 500
769 hPa heights for a common simulation period of 1979-2003. The spatial patterns of the
770 models' corresponding EOFs are very similar (spatial correlation exceeds 0.9 over the
771 map domain), as are the temporal variations in their PC time series (temporal correlation
772 of forced solutions exceeds 0.9 during 1979-2003). This intercomparison reveals that the
773 atmospheric sensitivities do not depend on the selection of a particular model. They are
774 more determined by the nature of the boundary forcing used for each atmospheric model
775 instead.

776

777

778

APPENDIX C

The leading mode of variability of 500hPa heights in individual coupled runs

780

781 The leading EOF (EOF1) mode of variability of winter (DJF) season 500-hPa heights in
782 50 individual coupled runs (30 CESM1 runs and 20 CCSM4 runs) is examined to explore

783 the role of external radiative forcing on the trend in the leading ENSO teleconnection
784 pattern. Figure C1 (bottom) provides a validation of the realism of the coupled models, in
785 so far as this "recurrent" EOF1 pattern of each member is spatially very similar to the
786 observed EOF1 height pattern shown in Figure A1 (The EOF1 pattern congruence
787 between individual coupled runs and observations ranges from 0.66 to 0.92 and the
788 dominant congruence values are within 0.8~0.9). The ensemble mean of EOF1 pattern
789 averaged over 50 individual coupled runs has a strong spatial congruence ($r=0.88$) with
790 observations (Figure C1, top left), and the time series of this mode of coupled variability,
791 on average, has no significant trend during 1979-2014 (Figure C1, top right). The results
792 indicate that external radiative forcing has no significant effect on the trend in the leading
793 ENSO teleconnection pattern. We also note that the time series of EOF1 from the
794 individual coupled model samples can have a strong positive (negative) trend, ranging to
795 as much as 1.51 (and -1.36) standardized units, showing a large range of internal
796 variability effects on this multi-decadal time scale. This result supports the argument that
797 the trend in EOF1 pattern of AMIP (GFSv2) 500-hPa height (see Figure 1 and Figure 11
798 top left) is not appreciably due to climate change forcing. Instead, the trend in this AMIP
799 EOF1 is a symptom of internal variability.

800

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975 **Figure Captions**

976

977 Figure 1. (left) The spatial pattern and (right) standardized PC time series of the leading
978 two EOFs of GFSv2 simulated 50-member ensemble mean winter (DJF) season 500-hPa
979 heights. The analysis is computed over the 20°N-90°N domain for 1979/80 through
980 2013/14. The EOF patterns are shown as the regressions of the heights onto the
981 standardized PC time series, and drawn at the interval of 5 meter for a 1 standardized
982 departure of PC index.

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984 Figure 2. The scatter relationship between observed tropical Pacific SST indices and the
985 PC indices from GFSv2 simulations shown in Figure 1. The top panel shows the
986 relationship between N3.4 (SST anomalies in the Niño 3.4 region) standardized index and
987 PC1 index, and the bottom panel shows the relationship between TNI (Trans-Niño Index)
988 standardized index and PC2 index. The observational SST data used in the calculations
989 are from Hurrell et al. (2008).

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991 Figure 3. (left) The observed regression patterns of winter (DJF) season 500-hPa heights
992 onto (top) the Niño3.4 SST anomalies and onto (bottom) TNI index over the 20°N-90°N
993 domain for the period of 1979-2014. (right) Corresponding regression patterns of GFSv2
994 simulated 50-member ensemble mean winter (DJF) season 500-hPa heights. The
995 observational estimates are based on NCEP/NCAR height fields and Hurrell et al. (2008)
996 SST data. The contour interval is 5 meter per degree Celsius for top panels and 5 meter
997 per standard unit for bottom panels.

998 Figure 4. The scatter relationship between PC1 index and PC2 index from GFSv2
999 simulations shown in Figure 1.

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1001 Figure 5. (left) The composite DJF anomalies of (first row) Northern Hemisphere 500-
1002 hPa height, (second row) tropical SST, (third row) North American surface temperature,
1003 (fourth row) tropical precipitation, and (fifth row) North American precipitation for 7
1004 strongest cases with positive PC1 values from GFSv2 simulations shown in Figure 4.
1005 (right) Corresponding anomalies for 7 strongest cases with negative PC1 values shown in
1006 Figure 4. The contour interval of height fields in the first row is 10 meter.

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1008 Figure 6. The sum between composite DJF anomalies of 7 strongest cases with positive
1009 PC1 values (left panels of Figure 5) and composite DJF anomalies of 7 strongest cases
1010 with negative PC1 values (right panels of Figure 5). The contour interval of height fields
1011 in the first row is 10 meter.

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1013 Figure 7. (left) The composite DJF anomalies of (first row) Northern Hemisphere 500-
1014 hPa height, (second row) tropical SST, (third row) North American surface temperature,
1015 (fourth row) tropical precipitation, and (fifth row) North American precipitation for 7
1016 strongest cases with positive PC2 values from GFSv2 simulations shown in Figure 4.
1017 (right) Corresponding anomalies for 7 strongest cases with negative PC2 values shown in
1018 Figure 4. The contour interval of height fields in the first row is 10 meter.

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1020 Figure 8. Top panels show (left) the spatial pattern and (right) standardized PC time
1021 series of EOF3 of GFSv2 simulated 50-member ensemble mean winter (DJF) season 500-
1022 hPa heights. The bottom panels show (left) the spatial pattern and (right) standardized PC
1023 time series of the leading EOF of 50-member ensemble mean winter (DJF) season 500-
1024 hPa heights in coupled model runs which include 20 runs from CCSM4 and 30 runs from
1025 CESM1. The analysis is computed over the 20°N-90°N domain for 1979/80 through
1026 2013/14. The EOF patterns are shown as the regressions of the heights onto the
1027 standardized PC time series, and drawn at the interval of 1 meter for a 1 standardized
1028 departure of PC index.

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1030 Figure 9. (Top) The ratio of forced component to the total variance of winter (DJF)
1031 seasonally averaged 500-hPa height for 1979/80 through 2013/14 in GFSv2 AMIP runs.
1032 The results are shown for (left) the NH polar cap to 20°N, and for (right) the global
1033 domain. (Bottom) corresponding results from coupled model runs which are the
1034 combinations of the runs from CCSM4 and CESM1. The contour interval is 0.05 for left
1035 panels and 0.2 for right panels. Forced variability is computed from the variance of
1036 ensemble means. Total variability is computed from the concatenated time series of the
1037 individual members. For two coupled models, the total variability is computed for each
1038 separately, and then averaged.

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1040 Figure 10. The ratio of the leading three EOFs of forced component to the total variance
1041 of winter (DJF) seasonally averaged 500-hPa height for 1979/80 through 2013/14 in
1042 GFSv2 AMIP runs. The height anomalies projecting on different modes can be computed

1043 as the scalar product of different EOF patterns and the associated PC time series. The
1044 contour interval is 0.05.

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1046 Figure 11. The trend pattern of EOF1, the sum of EOF1 and EOF3, and the trend pattern
1047 of ensemble mean of winter (DJF) season 500-hPa heights from GFSv2 AMIP runs over
1048 the 20°N-90°N domain for 1979/80 through 2013/14. The observed trend pattern is
1049 shown in lower right. The trend patterns of EOF1 and EOF3 are obtained by the product
1050 between the EOF pattern and the total trend of the corresponding PC time series (the total
1051 trend is -1.09 standardized unit for PC1 and 2.0 standardized unit for PC3) shown in the
1052 top panels of Figure 1 and Figure 8, respectively. The contour interval is 5 meter.

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1054 Figure A1. The spatial pattern of the leading three EOFs of winter (DJF) season 500-hPa
1055 heights from (left) observations, (middle) the concatenation of all 50 AMIP runs of
1056 GFSv2 and (right) a 100-yr-long GFSv2 climatological run in which the model is driven
1057 by observed SST climatology. Note that the model data used here are not ensemble
1058 means. The observational estimate is based on NCEP reanalysis for 1979/80 through
1059 2013/14. The analysis is computed over the 20°N-90°N domain. The EOF patterns are
1060 shown as the regressions of the heights onto the standardized PC time series, and drawn
1061 at the interval of 5 meter for a 1 standardized departure of PC index.

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1063 Figure B1. (left) The spatial pattern and (right) standardized PC time series of the leading
1064 two EOFs of winter (DJF) season 500-hPa ensemble mean heights from GFSv2 AMIP
1065 runs and ECHAM4.5 AMIP runs for the common period of 1979-2003. The analysis is

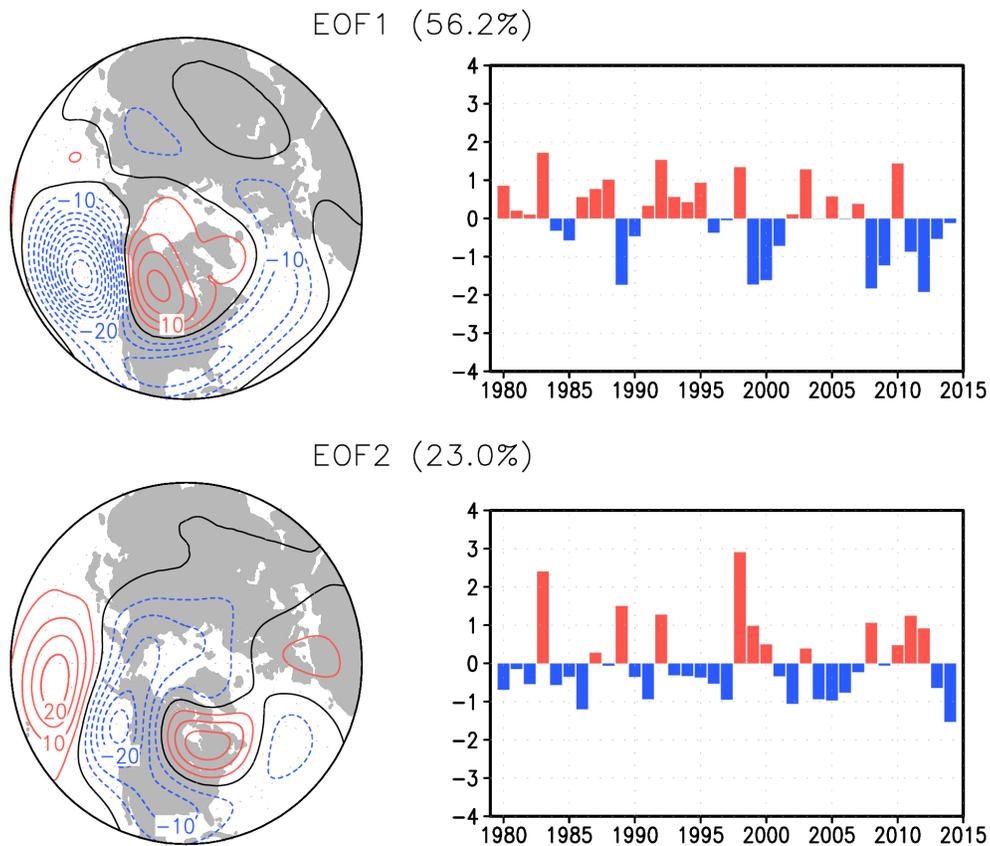
1066 computed over the 20°N-90°N domain based on 50-member ensemble mean from GFSv2
1067 model and 85-member ensemble mean from ECHAM4.5 model. The EOF patterns are
1068 shown as the regressions of the heights onto the standardized PC time series, and drawn
1069 at the interval of 5 meter for a 1 standardized departure of PC index.

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1071 Figure C1. Ensemble mean of (top left) the spatial pattern and (top right) standardized PC
1072 time series of the leading EOF of winter (DJF) season 500-hPa heights averaged over 50
1073 individual coupled runs which include 20 runs from CCSM4 and 30 runs from CESM1.
1074 (bottom) Probability distribution function (PDF) for the EOF1 pattern congruence
1075 between individual coupled runs (indicated by short red lines) and NCEP reanalysis
1076 shown in Figure A1. The EOF analysis is computed over the 20°N-90°N domain for
1077 1979/80 through 2013/14 and pattern congruence analysis is computed over the same
1078 domain. The EOF patterns are shown as the regressions of the heights onto the
1079 standardized PC time series, and drawn at the interval of 5 meter for a 1 standardized
1080 departure of PC index. The PDF is a nonparametric curve constructed using the R
1081 software program, which utilizes a kernel density estimation and a Gaussian smoother.

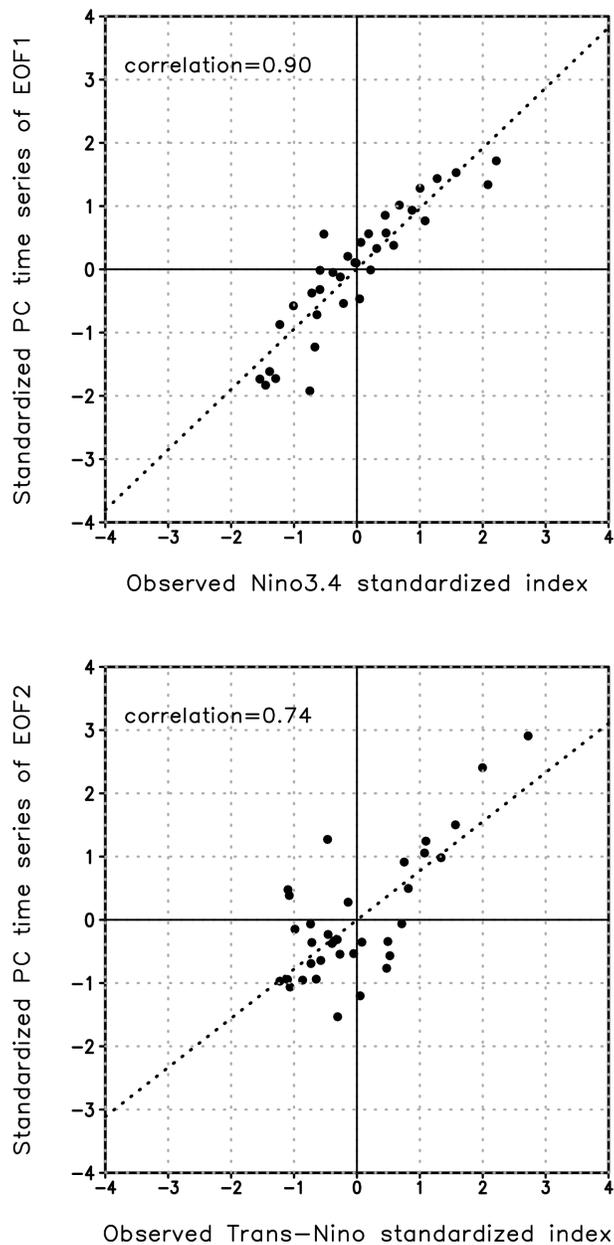
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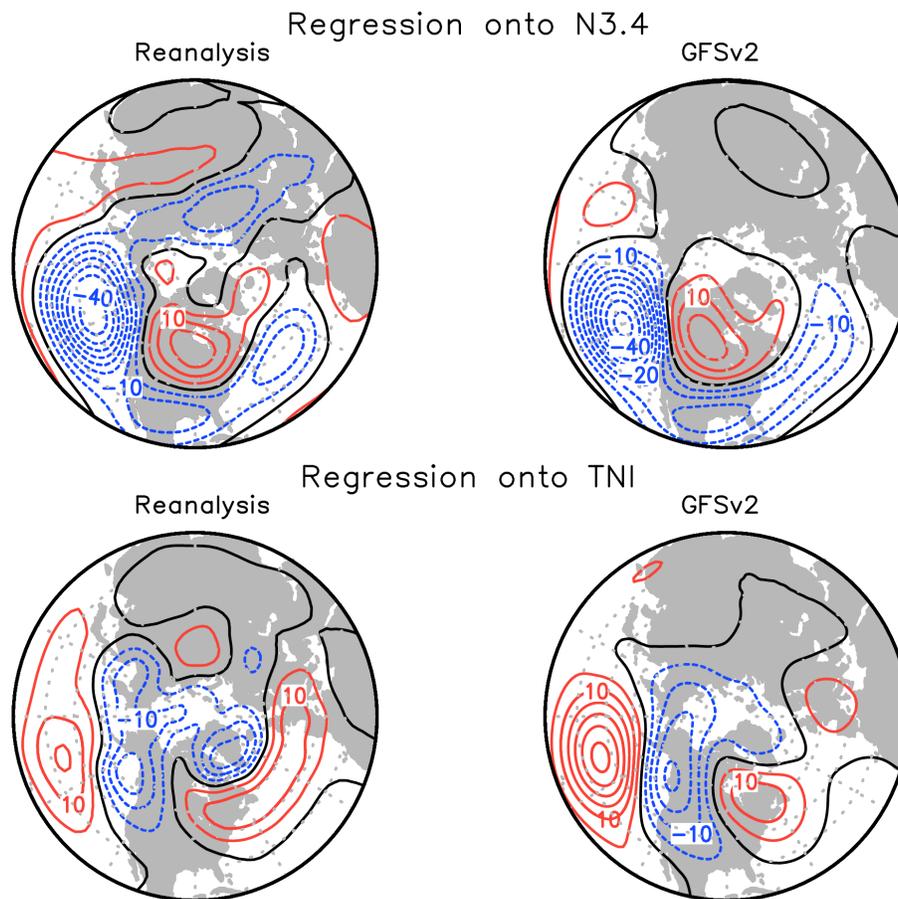
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Figure 1. (left) The spatial pattern and (right) standardized PC time series of the leading two EOFs of GFSv2 simulated 50-member ensemble mean winter (DJF) season 500-hPa heights. The analysis is computed over the 20°N-90°N domain for 1979/80 through 2013/14. The EOF patterns are shown as the regressions of the heights onto the standardized PC time series, and drawn at the interval of 5 meter for a 1 standardized departure of PC index.



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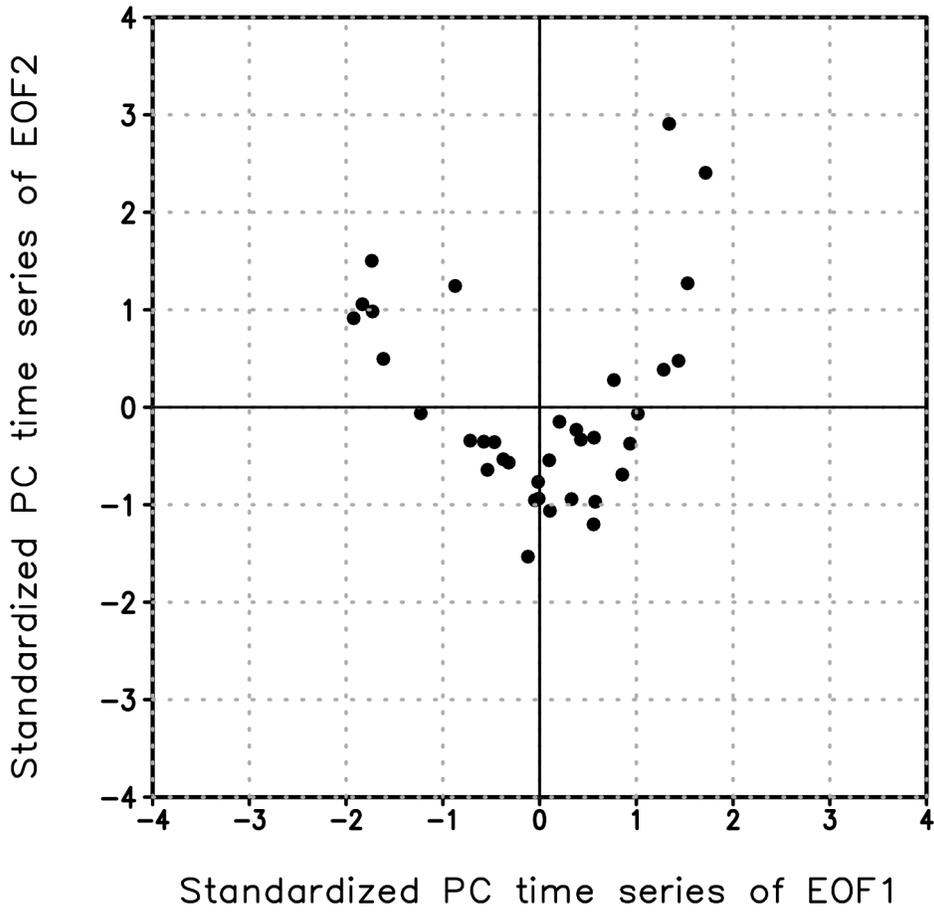
Figure 2. The scatter relationship between observed tropical Pacific SST indices and the PC indices from GFSv2 simulations shown in Figure 1. The top panel shows the relationship between N3.4 (SST anomalies in the Niño 3.4 region) standardized index and PC1 index, and the bottom panel shows the relationship between TNI (Trans-Niño Index) standardized index and PC2 index. The observational SST data used in the calculations are from Hurrell et al. (2008).



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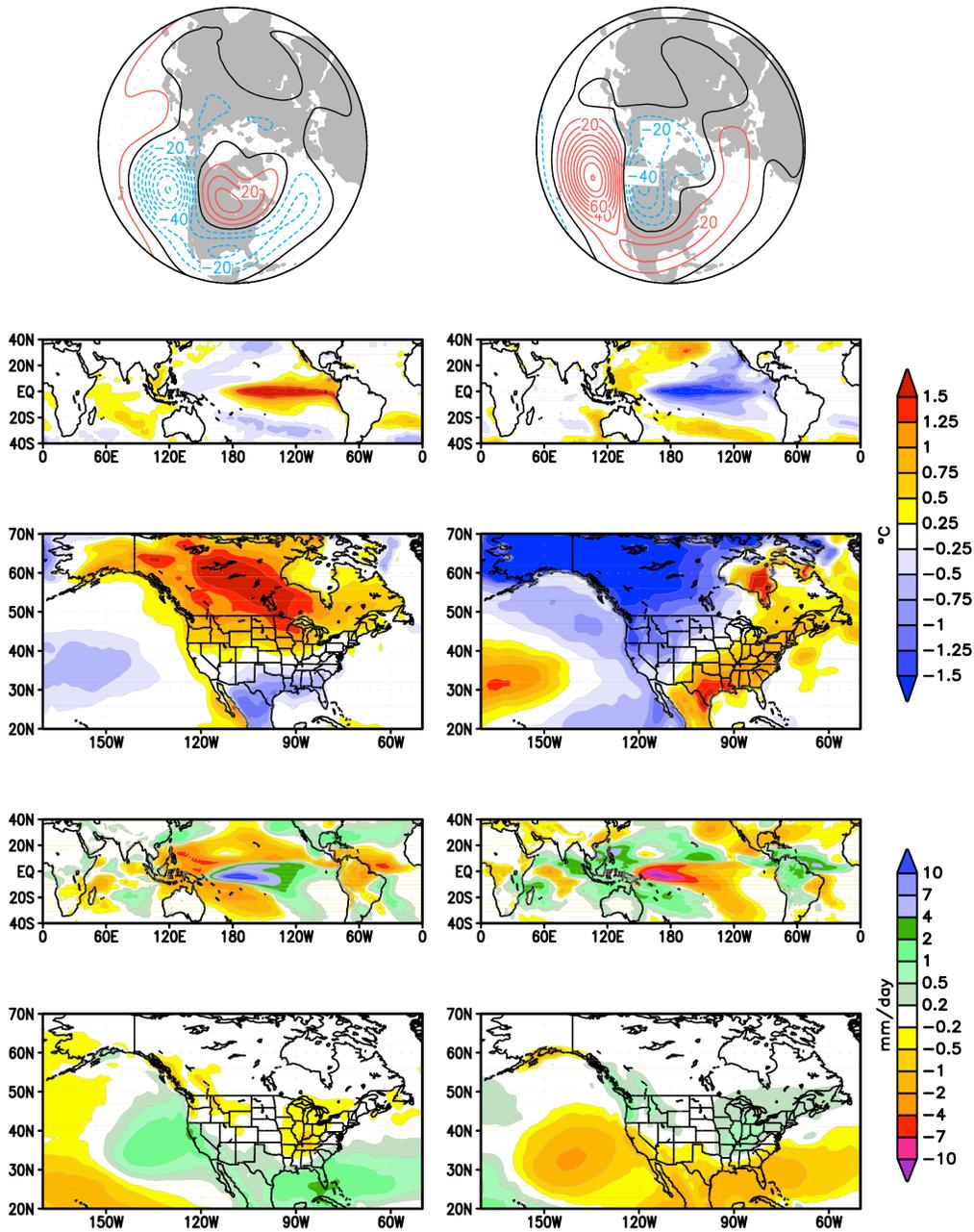
Figure 3. (left) The observed regression patterns of winter (DJF) season 500-hPa heights onto (top) the Niño3.4 SST anomalies and onto (bottom) TNI index over the 20°N-90°N domain for the period of 1979-2014. (right) Corresponding regression patterns of GFSv2 simulated 50-member ensemble mean winter (DJF) season 500-hPa heights. The observational estimates are based on NCEP/NCAR height fields and Hurrell et al. (2008) SST data. The contour interval is 5 meter per degree Celsius for top panels and 5 meter per standard unit for bottom panels.

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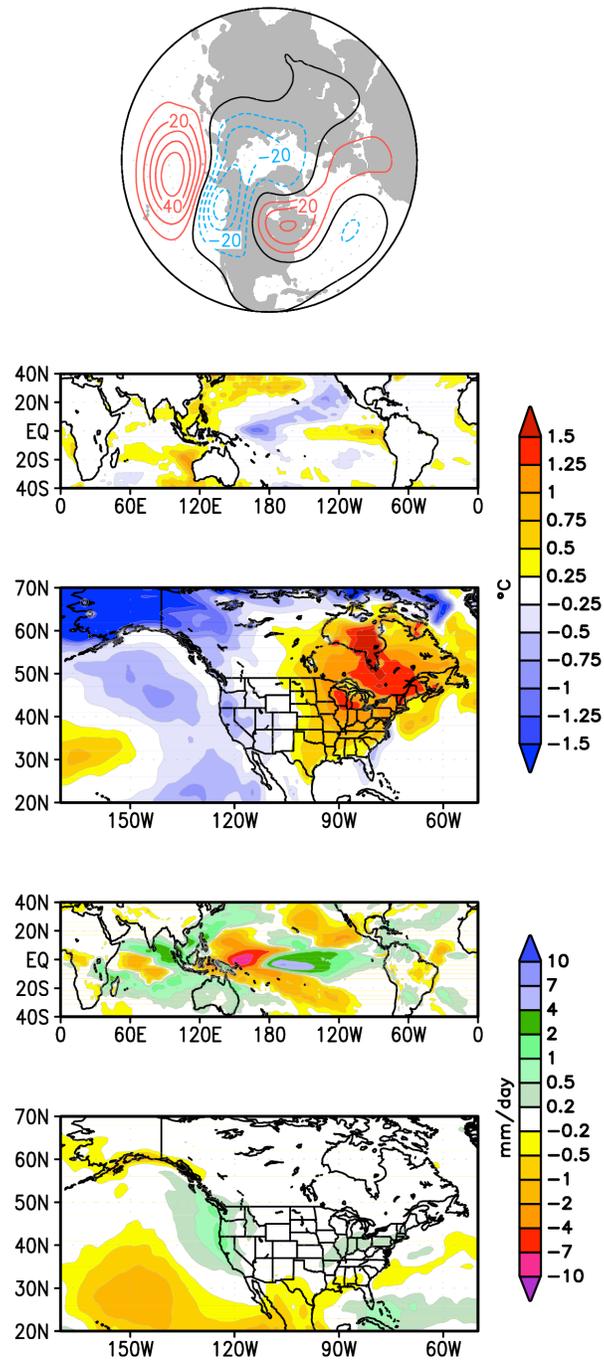
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Figure 4. The scatter relationship between PC1 index and PC2 index from GFSv2 simulations shown in Figure 1.



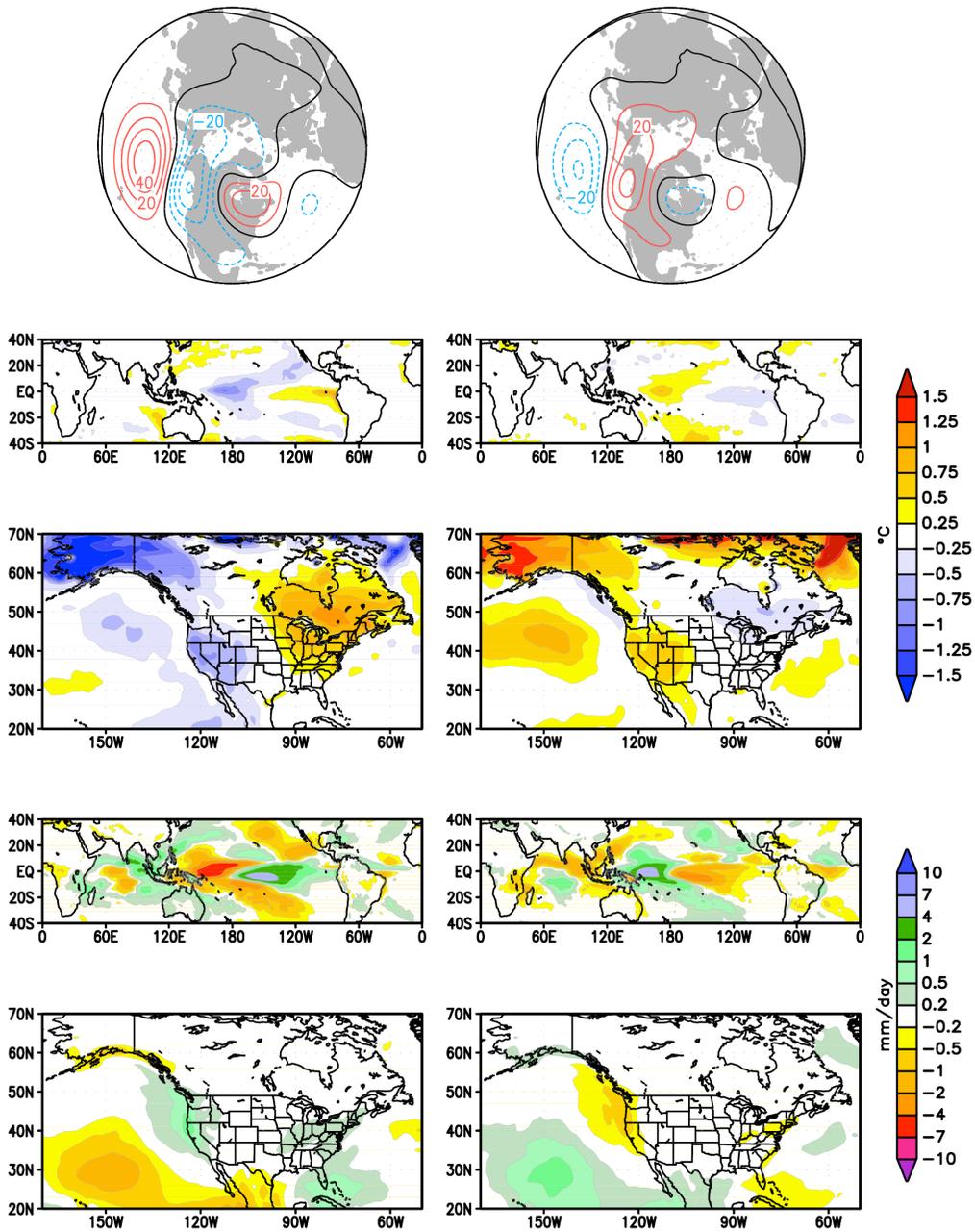
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Figure 5. (left) The composite DJF anomalies of (first row) Northern Hemisphere 500-hPa height, (second row) tropical SST, (third row) North American surface temperature, (fourth row) tropical precipitation, and (fifth row) North American precipitation for 7 strongest cases with positive PC1 values from GFSv2 simulations shown in Figure 4. (right) Corresponding anomalies for 7 strongest cases with negative PC1 values shown in Figure 4. The contour interval of height fields in the first row is 10 meter.



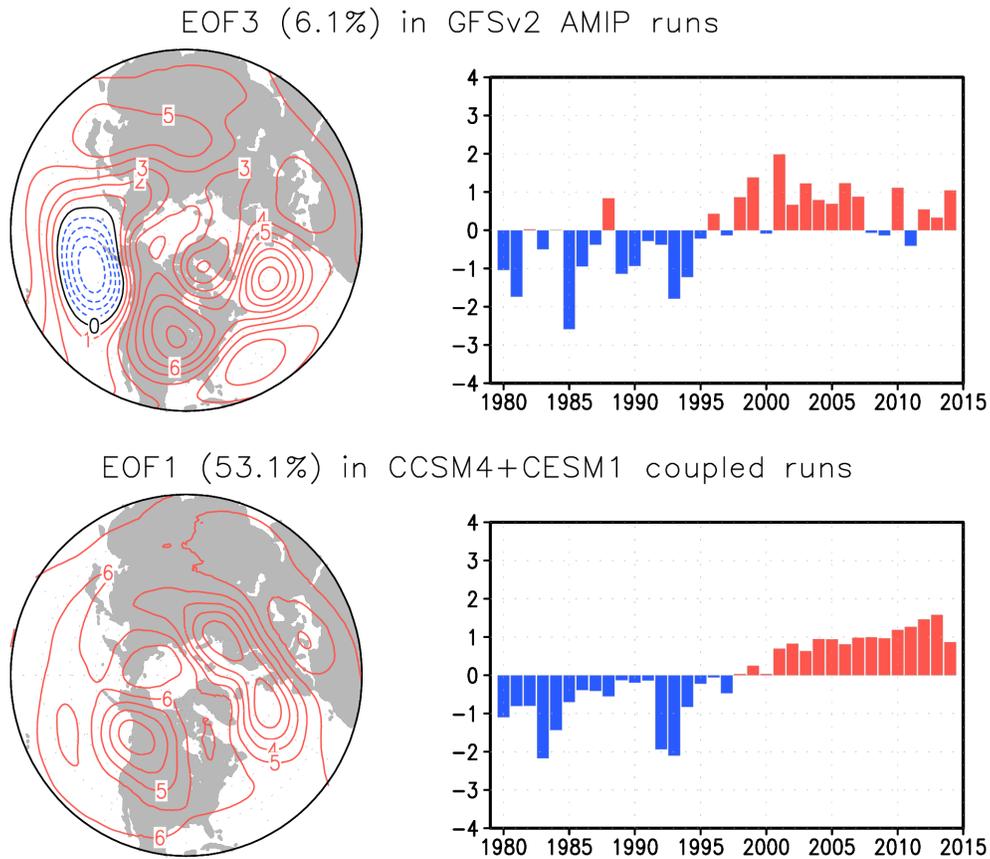
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Figure 6. The sum between composite DJF anomalies of 7 strongest cases with positive PC1 values (left panels of Figure 5) and composite DJF anomalies of 7 strongest cases with negative PC1 values (right panels of Figure 5). The contour interval of height fields in the first row is 10 meter.



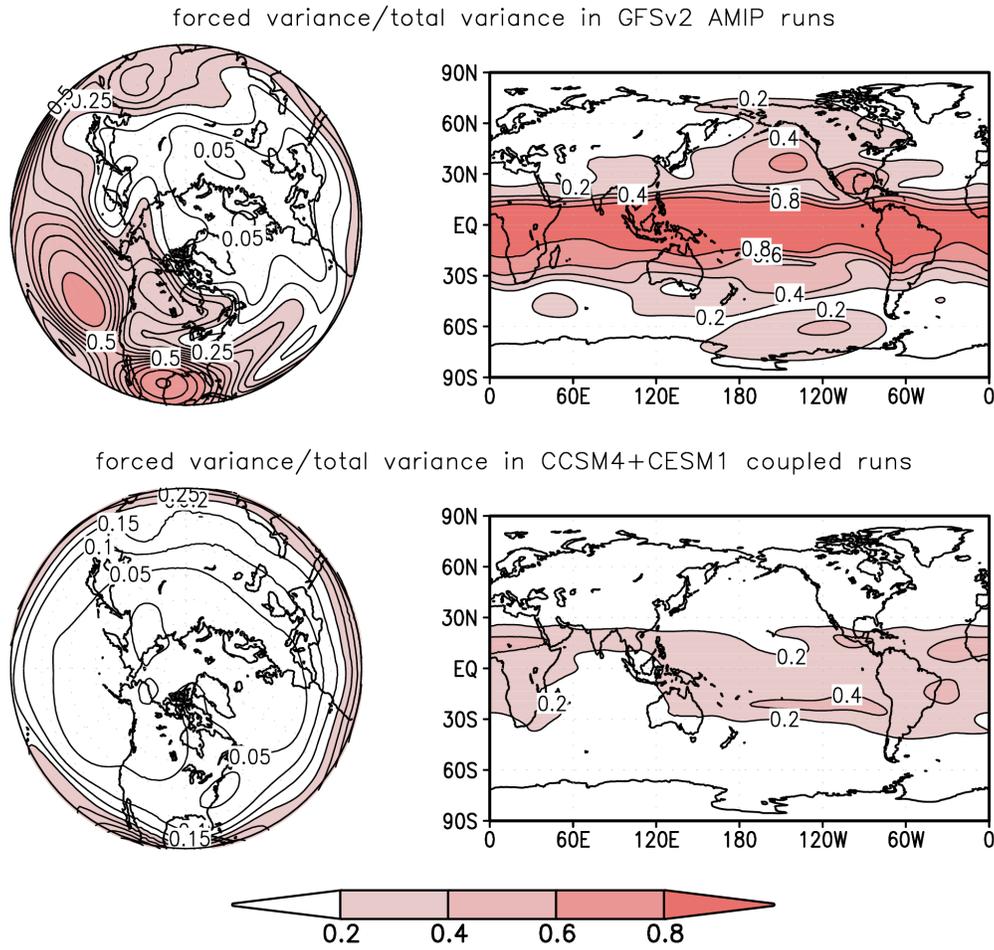
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Figure 7. (left) The composite DJF anomalies of (first row) Northern Hemisphere 500-hPa height, (second row) tropical SST, (third row) North American surface temperature, (fourth row) tropical precipitation, and (fifth row) North American precipitation for 7 strongest cases with positive PC2 values from GFSv2 simulations shown in Figure 4. (right) Corresponding anomalies for 7 strongest cases with negative PC2 values shown in Figure 4. The contour interval of height fields in the first row is 10 meter.



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Figure 8. Top panels show (left) the spatial pattern and (right) standardized PC time series of EOF3 of GFSv2 simulated 50-member ensemble mean winter (DJF) season 500-hPa heights. The bottom panels show (left) the spatial pattern and (right) standardized PC time series of the leading EOF of 50-member ensemble mean winter (DJF) season 500-hPa heights in coupled model runs which include 20 runs from CCSM4 and 30 runs from CESM1. The analysis is computed over the 20°N-90°N domain for 1979/80 through 2013/14. The EOF patterns are shown as the regressions of the heights onto the standardized PC time series, and drawn at the interval of 1 meter for a 1 standardized departure of PC index.

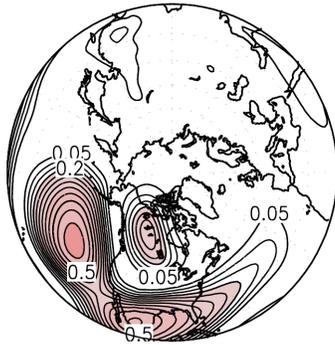


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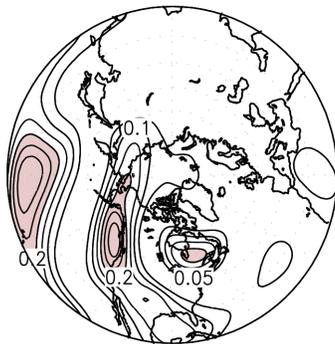
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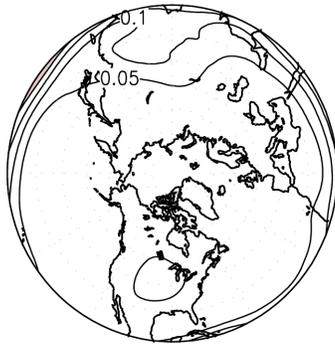
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forced EOF2 variance/total variance

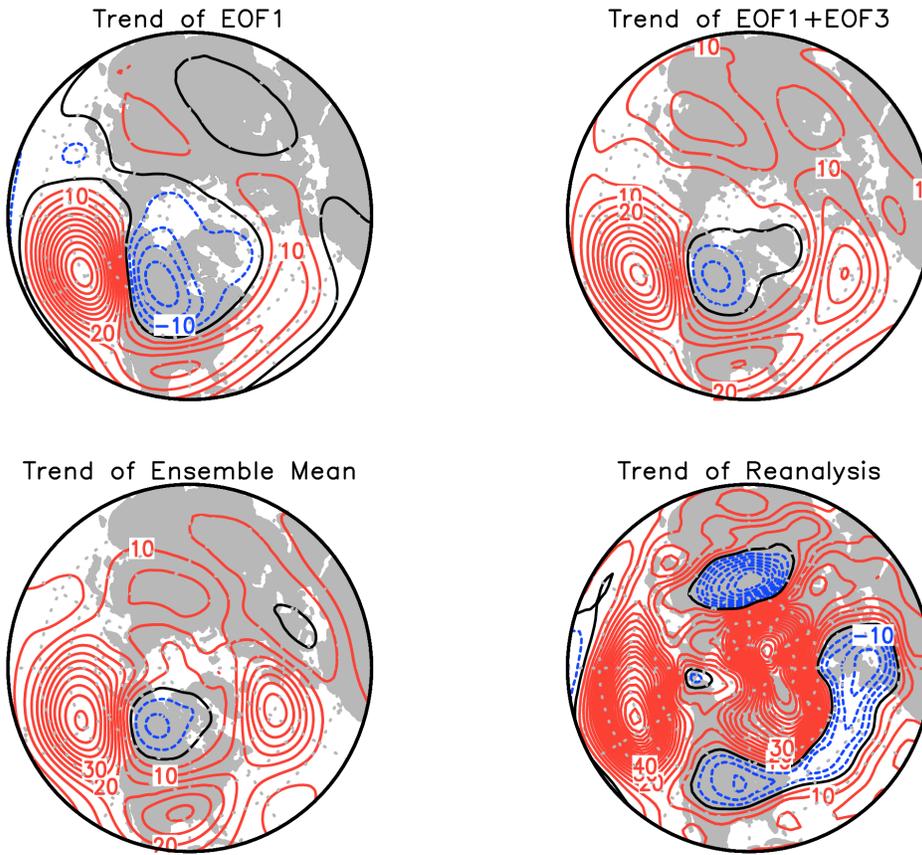


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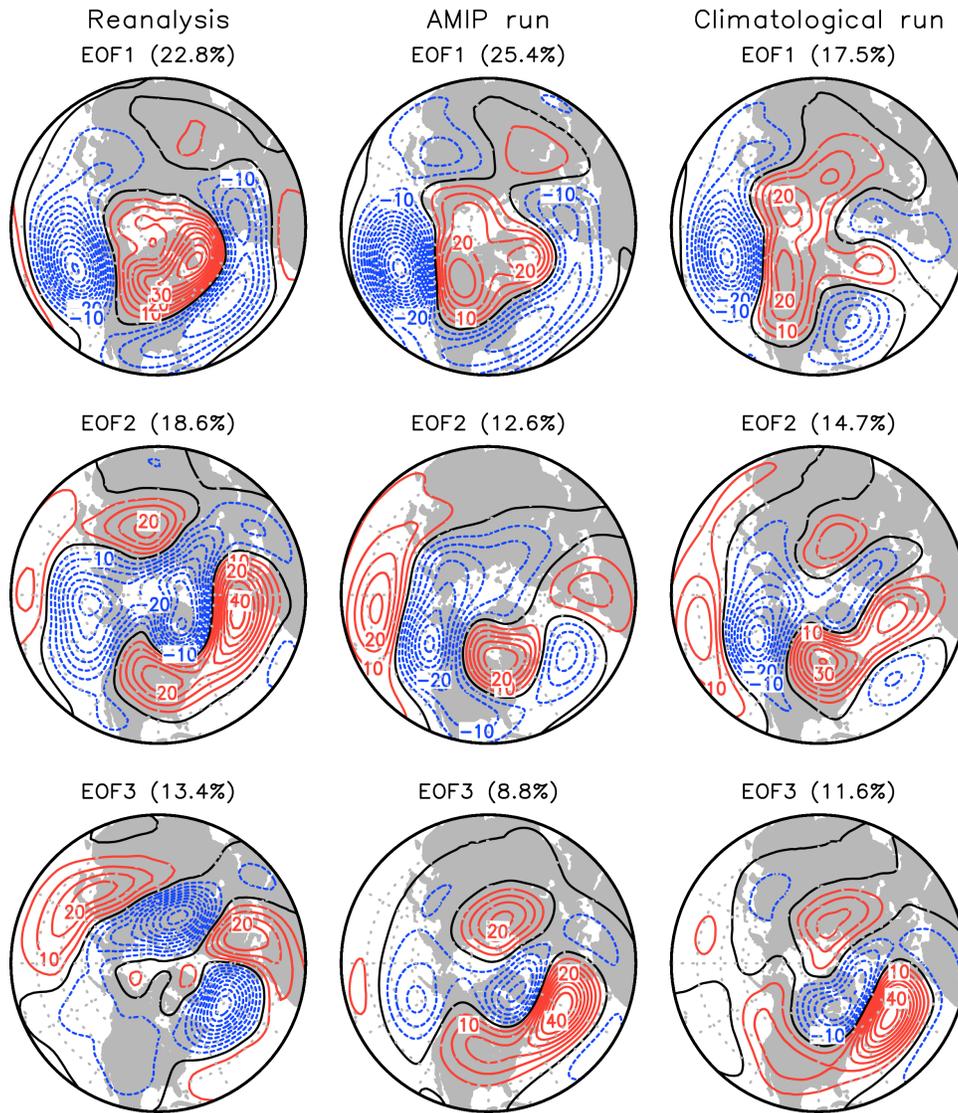
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Figure 10. The ratio of the leading three EOFs of forced component to the total variance of winter (DJF) seasonally averaged 500-hPa height for 1979/80 through 2013/14 in GFSv2 AMIP runs. The height anomalies projecting on different modes can be computed as the scalar product of different EOF patterns and the associated PC time series. The contour interval is 0.05.



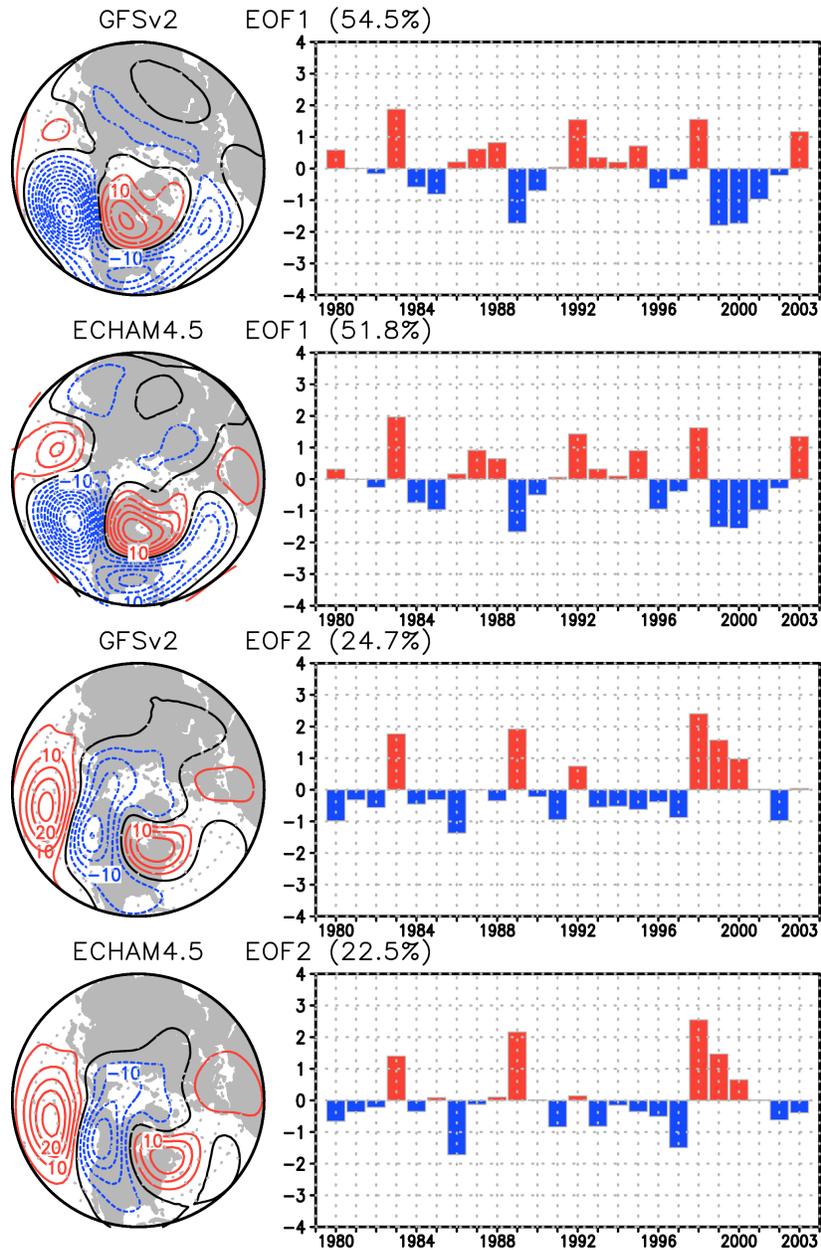
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Figure 11. The trend pattern of EOF1, the sum of EOF1 and EOF3, and the trend pattern of ensemble mean of winter (DJF) season 500-hPa heights from GFSv2 AMIP runs over the 20°N-90°N domain for 1979/80 through 2013/14. The observed trend pattern is shown in lower right. The trend patterns of EOF1 and EOF3 are obtained by the product between the EOF pattern and the total trend of the corresponding PC time series (the total trend is -1.09 standardized unit for PC1 and 2.0 standardized unit for PC3) shown in the top panels of Figure 1 and Figure 8, respectively. The contour interval is 5 meter.



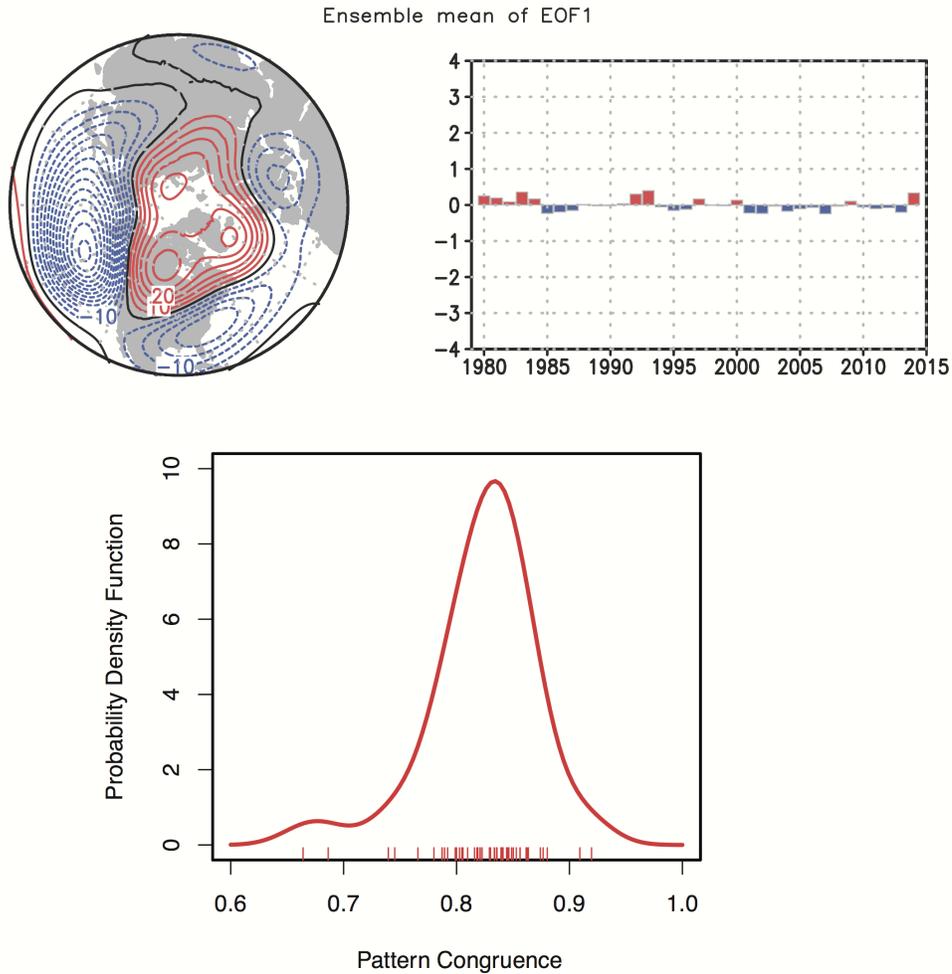
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Figure A1. The spatial pattern of the leading three EOFs of winter (DJF) season 500-hPa heights from (left) observations, (middle) the concatenation of all 50 AMIP runs of GFSv2 and (right) a 100-yr-long GFSv2 climatological run in which the model is driven by observed SST climatology. Note that the model data used here are not ensemble means. The observational estimate is based on NCEP reanalysis for 1979/80 through 2013/14. The analysis is computed over the 20°N-90°N domain. The EOF patterns are shown as the regressions of the heights onto the standardized PC time series, and drawn at the interval of 5 meter for a 1 standardized departure of PC index.



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Figure B1. (left) The spatial pattern and (right) standardized PC time series of the leading two EOFs of winter (DJF) season 500-hPa ensemble mean heights from GFSv2 AMIP runs and ECHAM4.5 AMIP runs for the common period of 1979-2003. The analysis is computed over the 20°N-90°N domain based on 50-member ensemble mean from GFSv2 model and 85-member ensemble mean from ECHAM4.5 model. The EOF patterns are shown as the regressions of the heights onto the standardized PC time series, and drawn at the interval of 5 meter for a 1 standardized departure of PC index.



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Figure C1. Ensemble mean of (top left) the spatial pattern and (top right) standardized PC time series of the leading EOF of winter (DJF) season 500-hPa heights averaged over 50 individual coupled runs which include 20 runs from CCSM4 and 30 runs from CESM1. (bottom) Probability distribution function (PDF) for the EOF1 pattern congruence between individual coupled runs (indicated by short red lines) and NCEP reanalysis shown in Figure A1. The EOF analysis is computed over the 20°N-90°N domain for 1979/80 through 2013/14 and pattern congruence analysis is computed over the same domain. The EOF patterns are shown as the regressions of the heights onto the standardized PC time series, and drawn at the interval of 5 meter for a 1 standardized departure of PC index. The PDF is a nonparametric curve constructed using the R software program, which utilizes a kernel density estimation and a Gaussian smoother.