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Investigating the local atmospheric response to a realistic shift in the Oyashio sea surface temperature front

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Abstract

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23
24 The local atmospheric response to a realistic shift of the Oyashio Extension SST front in
25 the western North Pacific is analyzed using a high-resolution (0.25° , HR) version of the
26 global Community Atmosphere Model version 5 (CAM5). A northward shift in the SST
27 front causes an atmospheric response consisting of a weak surface wind anomaly but a
28 strong vertical circulation extending throughout the troposphere. In the lower
29 troposphere, most of the SST anomaly induced diabatic heating (\dot{Q}) is balanced by
30 poleward transient eddy heat and moisture fluxes. Collectively, this response differs from
31 the circulation suggested by linear dynamics, where extratropical SST forcing produces
32 shallow anomalous heating balanced by strong equatorward cold air advection driven by
33 an anomalous, stationary surface low to the east. This latter response, however, is
34 obtained by repeating the same experiment except using a relatively low-resolution (1° ,
35 LR) version of CAM5. Comparison to observations suggests that the HR response is
36 closer to nature than the LR response. Strikingly, HR and LR experiments have almost
37 identical vertical profiles of \dot{Q} . However, diagnosis of the diabatic quasi-geostrophic ω
38 budget reveals that HR has a substantially stronger $\nabla^2\dot{Q}$ response, which together with
39 upper level mean differential thermal advection balances stronger vertical motion. The
40 results herein suggest that changes in transient eddy heat and moisture fluxes are critical
41 to the overall local atmospheric response to Oyashio front anomalies, which may
42 consequently yield a stronger downstream response. These changes may require high
43 resolution to be fully reproduced, warranting further experiments of this type with other
44 high resolution atmosphere-only and fully coupled GCMs.

45 **1. Introduction**

46 Large-scale extratropical ocean-atmosphere interaction has long been recognized
47 as dominated by atmospheric forcing of the ocean (Davis 1976; Frankignoul and
48 Hasselmann 1977; Frankignoul 1985). However, ocean-atmosphere coupling varies
49 considerably across the mid-latitude ocean basins, with oceanic processes likely to be
50 more important to sea surface temperature (SST) variability in the vicinity of the western
51 boundary currents (WBCs) and their associated SST fronts (Qiu 2000; Nonaka and Xie,
52 2003; Small et al. 2008; Minobe et al. 2010; Kwon et al. 2010). In the North Pacific, low-
53 frequency WBC anomalies are primarily forced by previous basin-scale wind stress
54 fluctuations via oceanic Rossby wave propagation (Frankignoul et al. 1997; Deser et al.
55 1999; Qiu 2000; Schneider and Miller 2001). Smirnov et al. (2014) estimated that 40-
56 60% of SST variability in the Kuroshio-Oyashio Extension (KOE) region is driven by
57 oceanic processes, whereas outside of the KOE most SST variability is atmosphere-
58 driven. A key outstanding question is the extent to which these ocean-driven SST
59 anomalies impact the atmosphere, beyond basic thermodynamic air-sea coupling via
60 turbulent boundary layer heat flux exchange that operates throughout the extratropics
61 (Barsugli and Battisti 1998; Frankignoul et al. 1998; Lee et al. 2008). The answer to this
62 question is key to the relevance of large-scale extratropical coupled air-sea modes and
63 could have repercussions on predictability, especially on decadal timescales (Schneider
64 and Miller 2001; Qiu et al. 2014).

65 Major storm tracks are organized along or just downstream of the main oceanic
66 frontal zones (Nakamura et al. 2004), suggesting that SST variations near the fronts may
67 affect storm track activity and the westerly jets. Some recent modeling and observational

68 evidence supports the view that extratropical SST fronts affect the *climatological*
69 atmospheric state and its variability in the North Pacific (Xu et al. 2011; Sasaki et al.
70 2012), the North Atlantic (Minobe et al. 2008; Minobe et al. 2010), Southern Ocean
71 (Nonaka et al. 2009; Small et al. 2013) and idealized aquaplanet experiments (Brayshaw
72 et al. 2008; Nakamura et al. 2008), although how this impact compares to other
73 topographic effects including land-sea contrasts remains unclear (e.g., Saulière et al.
74 2012; Kaspi and Schneider 2013). Furthermore, a better depiction of an SST front
75 improved the numerical simulation of observed cyclones in several studies (Jacobs et al.
76 2008; Booth et al. 2012). One approach to investigating the importance of SST fronts is
77 to compare the mean climates of a model run with or without a SST front. However, by
78 design, the smoothing functions of such “front/no-front” experiments result in SST
79 anomalies with very large amplitude (exceeding 4°C, cf. Fig 2 in Small et al. 2013),
80 spatial extent (e.g., SST anomalies that circumnavigate the earth in aquaplanet
81 experiments) or both, which are never realized in observations.

82 In this paper, we are interested in how realistic changes in the Oyashio Extension
83 front, which is stronger than the Kuroshio Extension front at the sea surface (Nonaka et
84 al. 2006; Frankignoul et al. 2011, hereafter FSKA11; Taguchi et al. 2012), affect the
85 atmosphere during boreal winter, when ocean-atmosphere heat exchange is most
86 vigorous. There is growing evidence that KOE frontal shifts, or alternatively SST
87 anomalies in the KOE region, have a significant influence on the large-scale atmospheric
88 circulation in the Northern Hemisphere (Liu et al. 2006; Frankignoul and Sennéchaël
89 2007; Qiu et al. 2007; Okajima et al. 2014). Using a lag of two to six months, FSKA11
90 found that the Oyashio Extension SST frontal shift tends to lead an atmospheric pattern

91 resembling the North Pacific Oscillation – a meridional dipole with centers near the date
92 line at approximately 35°N and 60°N. However, the use of monthly averaged data and a
93 short record (1982-2008) resulted in a marginal signal to noise ratio, and showed some
94 sensitivity to seasonality. An even stronger sensitivity to seasonality, both in observations
95 and in a coupled model, was shown by Taguchi et al. (2012) who found a high over the
96 Gulf of Alaska and northward shift in the storm track in response to positive KOE SST
97 anomalies in January, but not in February. Gan and Wu (2013) observed a *weakening* of
98 the storm track when the KOE is anomalously warm during early but not late winter.
99 O'Reilly and Czaja (2014) found that when the Kuroshio Extension exhibits a stronger
100 SST front, the atmospheric heat transport by transient eddies is increased in the west
101 Pacific and decreased in the east.

102 Theoretical and simple modeling studies of the extratropics (Hoskins and Karoly
103 1981; Hendon and Hartmann 1982; Hall et al. 2001) have shown that the large-scale
104 steady linear atmospheric response to an extratropical SST anomaly, as represented by a
105 low-level diabatic heating anomaly, is a slightly downstream surface cyclonic anomaly.
106 Due to time-mean meridional temperature gradients in the mid-latitudes, this circulation
107 balances the SST induced warming with cold air advection. This results in subsidence
108 (excluding boundary layer Ekman pumping) over the SST anomaly, as column shrinking
109 is required to conserve vorticity and balance the equatorward flow, yielding a baroclinic
110 structure with a downstream upper level high. This basic picture does not tend to support
111 a prominent large-scale atmospheric response to extratropical SST forcing, in contrast to
112 tropical SST forcing of deep anomalous heating, which is balanced by vertical motion

113 whose corresponding upper level vorticity forcing yields a more pronounced downstream
114 Rossby wave response (Hoskins and Karoly 1981; Sardeshmukh and Hoskins 1988).

115 The consensus view of the atmospheric response to extratropical SST anomalies
116 (e.g., Kushnir et al. 2002) has been that nonlinear dynamics are essential for the
117 atmospheric response to be significant; specifically, that transient eddy vorticity fluxes
118 must act both to amplify the downstream response and modify it to be equivalent
119 barotropic (Ting 1991; Peng et al. 1997; Hall et al. 2001; Peng and Robinson 2001;
120 Watanabe et al. 2006). Unfortunately, these studies have otherwise yielded inconsistent
121 results, so that their interpretation is complicated by sensitivity to many other factors. For
122 example, a pronounced dependence on seasonality is common, possibly as a consequence
123 of the sensitivity of the downstream response to transient eddy feedbacks (Kushnir et al.
124 2002). Another issue with past fixed SST experiments is that to get a meaningful
125 response, unrealistically strong SST anomalies have often been prescribed (e.g., Peng et
126 al. 1997; Inatsu et al. 2003; Liu and Wu 2004; see also studies discussed by Kushnir et al.
127 2002). This implies that realistic SST anomalies would have caused a weak response,
128 although such an approach has been rationalized by suggesting that insufficient model
129 resolution has led to the systematic underestimation of the eddy processes and their
130 amplifying effect. Peng et al. (1997) suggested that relatively higher resolution general
131 circulation models (GCMs) tended to give more consistent results in showing an
132 anomalous equivalent barotropic ridge downstream of positive SST anomalies (broadly
133 consistent with observations). However, at that time, even “higher” resolution models had
134 $2^\circ+$ (~250 km) resolution. More recently, Jung et al. (2012) showed that reducing
135 horizontal grid size from 126 km to 39 km in a global climate model produces large

136 improvement in its seasonal forecast skill, with much smaller additional improvement
137 when grid size is further decreased to 16 km and even 10 km. Similar results are found
138 for climate and regional model representation of extratropical cyclone intensity (e.g.,
139 Catto et al. 2010; Willison et al. 2013). Also, higher resolution regional models appear to
140 better represent the impacts of SST fronts on the atmosphere (e.g., Doyle and Warner
141 1993; Taguchi et al. 2009; Woolings et al. 2010; Brachet et al. 2012).

142 This study attempts to address the following: (i) is a state-of-the-art GCM able to
143 produce a robust atmospheric response to a realistic shift in the Oyashio SST front? (ii)
144 Does this response depend on the horizontal resolution of the GCM? And (iii) what
145 physical mechanism(s) governs the local atmospheric response? We investigate the first
146 question by prescribing a SST anomaly that corresponds to an observed shift of the
147 Oyashio Extension front, in the KOE region only, as forcing in a global atmospheric
148 GCM; the impact of resolution is then addressed by running identical experimental
149 ensembles with either a 1° (~90 km) or 0.25° (~23 km) grid. Our main finding is that
150 higher atmospheric model resolution in our experiment yields a strong remote
151 atmospheric response to anomalous surface heating from the Oyashio SST frontal shift,
152 not so much because *remote* feedbacks are altered as because key aspects of the *local*
153 response over the west Pacific are extremely sensitive to model resolution. Thus, in this
154 paper we focus exclusively on diagnosis of the local response, deferring the diagnosis of
155 the remote response to a companion paper.

156 The manuscript is structured as follows. In section 2, we describe the model
157 experimental design, including how the SST forcing boundary condition is developed and
158 prescribed in the National Center for Atmospheric Research Community Atmosphere

159 Model, version 5 (CAM5) GCM, and discuss how we determine observational
160 comparisons to the model results. In section 3, the results of the Oyashio Extension
161 frontal shift experiments using CAM5 at high (0.25°) and relatively low (1°) resolutions
162 are presented. In section 4, we investigate the physical mechanism associated with the
163 atmospheric response. A comparison with observations is presented in section 5. Finally,
164 in section 6, we summarize our findings, highlight outstanding questions, and provide
165 motivation to study the remote response.

166 **2. Experimental Design**

167 *a. Specification of an appropriate Oyashio Extension frontal shift SST anomaly*

168 The Oyashio Extension Index (OEI), developed by FSKA11, is based on the
169 leading empirical orthogonal function of the latitude of the maximum monthly averaged
170 meridional SST gradient (hereafter SST_Y) within the domain 145° - 170° E, 35° - 47° N. A
171 regression of monthly averaged SST anomalies on the OEI during the extended winter
172 period (November-March) from 1982-2008 is shown in Fig 1a. Hereafter, the polarity in
173 Fig. 1a, which is associated with a *northward* shift in the SST front, is termed the “warm”
174 phase.

175 We are interested in the influence of this frontal shift on the large-scale
176 atmospheric circulation, so here we force an AGCM with a prescribed SST anomaly
177 corresponding to the frontal shift. The risk in this approach is that a substantial portion of
178 the basin-wide SST anomaly (Fig. 1a) may reflect the SST response to atmospheric
179 changes forced by or contemporaneous with the Oyashio Extension shift, which should
180 not be included in a prescribed SST experiment (Barsugli and Battisti 1998; Bretherton
181 and Battisti 2000). That is, we wish to prescribe an SST anomaly that represents only

182 oceanic forcing of the atmosphere. To focus on the direct frontal influence, we have
183 applied the following to the anomaly in Fig. 1a. Starting with the 140°E meridian and
184 progressing eastward, a 61-point (15.25° span of latitude) tapered cosine window (taper
185 ratio is 0.5) is applied in the meridional direction by centering it on the latitude where the
186 Nov-Mar mean $|\text{SST}_Y|$ is maximized *and* only if $|\text{SST}_Y| > 1.5^\circ\text{C}$ (100 km)⁻¹ (anomalies
187 outside of the filter are set to zero). Next, because the fine-scale structure in Fig. 1a may
188 be an artifact of the short data record, a five-point running mean filter is applied 20 times
189 in the *zonal* direction only (to prevent excessive smoothing of the SST front), and then
190 the resultant pattern is scaled by 3 to represent a 3σ shift of the OEI index. The final SST
191 anomaly pattern, shown in Fig. 1b, has SST anomalies with a maximum amplitude of
192 $\sim 1.5\text{K}$ but is limited to 140°-170°E. This region is where Smirnov et al. (2014; cf. their
193 Fig. 5a) found a significant fraction of the SST variability was forced by the ocean,
194 presumably reflecting anomalous heat transport via oceanic advection or eddy activity. A
195 binned scatterplot of point-by-point SST_Y across the Oyashio Extension front over the
196 domain marked by the box in Fig. 1b is shown in Fig. 1c. The OEI captures the
197 northward shifted SST front ($\sim 3\text{-}4^\circ$ farther north in the warm phase compared to the cold
198 phase), and suggests that the front is significantly broader in meridional extent during the
199 warm phase. However, note that the *maximum* SST front strength is essentially
200 unchanged ($\sim 3.6^\circ\text{C}$ 100 km⁻¹) between the warm and cold phases.

201 We determine how significant these modifications of the original OEI pattern are
202 by projecting the spatial structure shown in Fig. 1b onto observations to create a
203 “projected OEI” (POEI) time series. The sensitivity of this index to different time periods
204 and resolution is then assessed by comparing three versions constructed using (1)

205 monthly- and (2) daily-averaged 0.25° NOAA-OI (Reynolds et al. 2007) SST anomalies
206 from 1982-2012, and (3) monthly-averaged 1° OAFflux (Yu and Weller 2007) SST
207 anomalies from 1958-2012. All resulting time series, termed POEI1, 2, and 3,
208 respectively, are standardized to unit variance. During the overlapping period (1982-
209 2012), the two monthly-averaged POEIs based on different datasets (1 and 3) have a 0.98
210 correlation. The correlation between the original OEI and POEI1 is only 0.56, but the
211 indices are more strongly related (correlation of 0.77) when both are smoothed with a 13-
212 month running mean, suggesting that while there may be non-negligible differences
213 between the two indices on monthly to seasonal time scales they have greater agreement
214 on longer time scales. Moreover, the POEI3, shown in Fig. 2, has a much longer
215 decorrelation time (7 months) compared to the OEI (3 months) so that it may represent
216 the most persistent portion of the OEI SST anomaly and thus be more appropriate for a
217 prescribed SST anomaly experiment. Additionally, while Fig. 1b corresponds to a 3σ
218 departure of the OEI, it amounts to a 1.25σ departure of the POEI3. The correlation
219 between the POEI1 (interpolated to daily values) and daily POEI2 is 0.90, implying that
220 in the daily index sub-monthly variability does not obscure the longer time scales. For
221 reasons discussed in section 5, we use the daily POEI2 (hereafter, just POEI) as the basis
222 for the observational comparison to the GCM simulations.

223 *b. Model details & experimental design*

224 The CAM5 GCM (Neale et al. 2010), coupled to the Community Land Model
225 version 2 and forced by prescribed SST and sea-ice, is used for all experiments in this
226 study. CAM5 is integrated with a finite-volume dynamical core and contains 30
227 unequally spaced vertical levels using a hybrid pressure-sigma coordinate system.

228 Notably, there are approximately 8 levels within the boundary layer (> 800 hPa). We run
229 two configurations of the model: a “high-resolution” (HR) version with 0.25° horizontal
230 resolution and time step of 15 min, and a (relatively) “low-resolution” (LR) version with
231 1° horizontal resolution and time step of 30 min. All parameterization schemes are the
232 same between the HR and LR. Aside from the impacts of linearly interpolating the HR
233 initial and boundary data to the LR grid (slight differences in regions of large
234 topography), all other facets of the two models are identical.

235 For both configurations, a 25-member ensemble of control simulations is created
236 in the following manner. Using 1 Nov initial atmospheric conditions of 25 different years
237 taken from a previous 0.25° CAM5 simulation (Wehner et al. 2014), we run the CAM5
238 from 1 Nov through 31 Mar forced by the climatological, monthly-averaged annual cycle
239 of SST derived from the 1982-2011 0.25° NOAA-OI dataset. The same initial land and
240 sea-ice condition are used for all ensemble members. Next, two additional sets of
241 ensembles are conducted in very similar fashion as the control except with the addition
242 (“warm”) or subtraction (“cold”) of the SST anomaly pattern shown in Fig 1b. The SST
243 anomaly is constant and does not evolve with the annual cycle, which can be justified by
244 the relatively long 7-month decorrelation timescale of the monthly POEI.

245 **3. Atmospheric response to an Oyashio Extension frontal shift**

246 Since we are interested in the equilibrium winter mean response to the Oyashio
247 Extension shift, it is essential to determine (and discard) the time required for model spin-
248 up. Figure 3 shows that the transient atmospheric response to the northward shift of the
249 Oyashio Extension SST front, as depicted by the spatial correlation and spatial root mean
250 square to the equilibrium (Dec-Mar mean) across-front divergence, takes about 15 days

251 to reach quasi-equilibrium in the HR simulation (in LR, this takes ~ 20 days, not shown).
252 A similar spin-up time is seen when analyzing the surface heat flux (16 days) and the 2-6
253 day bandpass 850 hPa $v'T'$ (18 days; not shown). Importantly, in HR the response is
254 similar from month-to-month and is nearly linear when comparing the warm-control and
255 control-cold difference separately (not shown). Thus, hereafter we only discuss the mean
256 December-March atmospheric response, determined from the mean difference between
257 the warm and cold ensembles. Significance is assessed via the Student's t-test assuming
258 that the ensemble members are independent from each other. Finally, since the SST
259 anomaly (warm-cold) in the model simulations represents a $\pm 1.25\sigma$ POEI difference,
260 the results displayed below have been rescaled to represent a 1σ change in the POEI.

261 The model responses of the HR (left column) and LR (right column) simulations
262 to the prescribed SST anomalies are shown in Fig. 4. The top panels show the net
263 turbulent heat flux (THF; positive upward) and 950 hPa wind responses for HR (Fig. 4a)
264 and LR (Fig. 4b). Both simulations generate a surface cyclone downstream of the SST
265 anomaly, consistent with the expected response to a shallow extratropical heat source
266 (Hoskins and Karoly 1981; Hendon and Hartmann 1982; Peng et al. 1997; Hall et al.
267 2001; Deser et al. 2007; Smirnov and Vimont 2012). However, the sea-level pressure
268 (SLP) and near-surface wind responses are three to four times stronger in the LR than in
269 the HR simulation. Furthermore, the LR surface anomalies are part of an equivalent
270 barotropic response throughout the entire atmospheric column (not shown but similar to
271 Pitcher et al. 1988 and Kushnir and Lau 1992), though it is only statistically significant
272 from the surface through ~ 600 hPa. Whereas, there is no significant *local* height response
273 in HR west of the dateline. Surprisingly, the THF response in LR is 15-20 % *greater* than

274 in HR. This is consistent with much stronger cold and dry air advection over the warm
275 SST anomaly induced by the stronger LR winds compared to the HR. Consequently,
276 while the surface heat fluxes in both the LR and HR act to damp the SST anomaly, the
277 damping is stronger in LR. Based on the surface fluxes alone, the SST anomaly would
278 have an e-folding time-scale of 5 (4) months in the HR (LR) simulations.

279 While the LR shows a stronger response in the local *horizontal* circulation, the
280 HR shows a substantially stronger response in the *vertical* circulation. Figure 4c,d shows
281 the response of the across-front zonally averaged (145° - 165° E, see box in Fig. 1b)
282 circulation $[v, \omega]$ and equivalent potential temperature, θ_E . Both HR (Fig. 4c) and LR
283 (Fig. 4d) show upward motion over the positive SST anomalies, consistent with past
284 studies (Feliks et al. 2004; Brachet et al. 2012), but this upward motion in HR extends to
285 the tropopause whereas it is limited to the lower troposphere in LR. In the upper
286 troposphere the circulation forms two cells with northward (southward) flow north
287 (south) of the front in HR, whereas the flow is southward at all latitudes in LR. The larger
288 low-level θ_E anomaly in HR over the warm SST, reflecting both warmer temperatures
289 and enhanced low-level moisture, also reduces the low-level stability (not shown), in a
290 region that is frequently convectively unstable (Czaja and Blunt 2011; Sheldon and Czaja
291 2014). The zonal wind response is weak in both simulations (not shown): in the LR,
292 zonal wind changes are less than about 1.5 m s^{-1} and are consistent with the cyclonic
293 circulation to the east, while the HR zonal wind changes are of opposite sign and even
294 smaller.

295 In the western North Pacific, synoptic variability plays a dominant role in
296 transporting heat and moisture (Nakamura et al. 2004; Newman et al. 2012; Kwon and

297 Joyce 2013). Comparing the control climates of HR and LR (contours in Fig. 4e,f) with
 298 observations (see section 5) shows HR underestimates 850 hPa transient eddy meridional
 299 θ_E flux $\overline{v'\theta_E'}$ (which is functionally equivalent to moist static energy flux) in its core near
 300 40°N by only about 8% (54 K m s⁻¹ in HR, 59 K m s⁻¹ in ERAI) while LR (46 K m s⁻¹)
 301 underestimates it by 22%. Unfortunately, daily humidity fields were not saved from the
 302 model output, so instead this eddy term was determined from monthly averaged
 303 covariance as $\overline{v'\theta_E'} = \overline{v\theta_E} - \bar{v}\bar{\theta_E}$, which includes all sub-monthly variability and
 304 accounts for a majority of the total climatological 850 hPa $\overline{v'\theta_E'}$ east of Japan (not
 305 shown). Aside from the stronger mean $\overline{v'\theta_E'}$ in the storm track core, comparison of the
 306 HR and LR mean states does not yield any other major differences.

307 Both the HR and LR exhibit a northward shift of the $\overline{v'\theta_E'}$ with a reduction south
 308 of the SST anomaly (Fig. 4e,f), but the increase north of the SST anomaly is more than
 309 three times stronger in the HR experiment. The total $\overline{v'\theta_E'}$ response consists of roughly
 310 equal contributions from heat and moisture fluxes (not shown). Most of the heat flux
 311 response arises from the 2-6 day bandpass filtered, or synoptic, time scales as shown in
 312 Figure 5 for the thermal-only component $\overline{v'T'}$ in HR (as noted above, the bandpass
 313 moisture flux cannot be determined explicitly). In LR the response is relatively shallow,
 314 confined mostly below about 750 hPa, whereas in the HR run the response is much
 315 deeper, extending well above 500 hPa, as shown in the across-front vertical cross sections
 316 of $\overline{v'\theta_E'}$ (Fig. 6a,b). Equally striking differences are seen in sub-monthly $\overline{v'^2}$ (Fig. 6c,d),
 317 which increases north of the front in HR but decreases south of the front in LR. The
 318 broadening of the storm track at upper levels in HR is notable and consistent with a
 319 broader SST front (Fig. 1c) but does not reach the 95% significance level. Note that the

320 upper-level $\overline{v'^2}$ is about 20% stronger in the HR control compared to the LR control
321 (black contours in Fig. 6c,d), though even HR still slightly underestimates $\overline{v'^2}$ in ERAI
322 (not shown).

323 Collectively, the HR simulation places a much greater emphasis on eddy transport
324 in a region where fluxes of heat and moisture occur predominantly with the passage of
325 warm and cold fronts (James 1992). To gauge how the HR and LR treat such passages
326 and their sensitivity to the SST anomaly, Fig. 7 shows a composite of anomalous SLP
327 (contour) and 2-8 day band-pass filtered 850 hPa $v'T'$ (color) when an atmospheric front
328 appears in the black box shown in panels a-d (we use $v'T'$ instead of $v'\theta_E'$ because daily
329 q was not archived). Fronts are identified in both the warm and cold simulations of HR
330 and LR when the thermal front parameter (TFP), a scalar value based on the gradient of
331 the magnitude of 6-hourly averaged 850 hPa potential temperature (see Table 1 in
332 Hewson 1998). Using a TFP exceedance threshold value of 0.15 K per 100 km² (Renard
333 and Clarke 1965; Booth et al. 2012), a front is identified in the box in Fig. 7 about 1 out
334 of every 6 days. To avoid very localized, potentially misleading features, this criterion
335 must be met at two or more neighboring grid points. Figure 7a-d shows that the
336 composite SLP field is characterized by a 6-7 hPa cyclonic anomaly within the box with
337 a 5-6 hPa anticyclonic anomaly $\sim 20^\circ$ east for both the warm and cold simulations of HR
338 and LR, with $v'T'$ consistent with northward advection of warm air in a midlatitude
339 cyclone's warm sector. For fronts passing through this box, $v'T'$ is about 10% stronger in
340 the HR than LR simulations for both warm and cold phases (cf. Figs. 7a vs. 7b and 7c vs.
341 7d), even though the SLP composites are nearly identical, similar to the difference
342 between the HR and LR control runs (the black contours in Fig. 4e,f). However, for the

343 warm minus cold response (Fig. 7e,f), the SST anomaly has a much greater impact on
344 heat flux associated with frontal passage in the HR, with a dipole in the $v'T'$ response
345 roughly straddling the SST front, while the LR only captures the (weaker) southern
346 portion of the response.

347 Both models have enhanced cloud formation and precipitation resulting from the
348 warm SST anomaly though the response is shifted slightly poleward (and consistent with
349 a more robust storm track shift) in HR compared to LR (Fig. 8). However, while the
350 magnitude of the precipitation response is similar in HR and LR (Fig. 8a,b), the
351 precipitable water response in HR is more than twice as large. There is also a
352 corresponding increase in the cloud water content in the HR simulation (not shown). The
353 much higher levels of PW and cloud water are consistent with the increased transient
354 eddy moisture flux convergence in the HR simulation (not shown, but the differences are
355 similar to Fig. 4e,f) as well as the stronger advection of dry air from the northeast and a
356 weakening of the background westerlies in the LR simulation (Fig. 4a,b). That is, even
357 though the precipitation response over the SST anomaly is similar, in LR there is a local
358 balance between increased evaporation and precipitation, while in HR, storms converge
359 moisture into the storm track/jet stream that can subsequently be transported downstream
360 (not shown but see Fig. 15a,b).

361 Figure 9 shows the stark difference in the SLP and precipitation response from the
362 standpoint of an individual synoptic storm. This event is chosen from the 4th day of the
363 model runs (November 4th) where a particular weather feature could still be identified in
364 all four simulations (warm/cold, HR/LR). One caveat is that this may not portray the
365 sensitivity of the *equilibrium* response as Fig. 3 showed this requires roughly 2 weeks.

366 Nonetheless, Fig. 9 shows that HR depicts a slightly stronger cyclone than LR, located
367 near 40°N, 160°E. HR contains two frontal-like precipitation bands, while the LR shows
368 one main band in the immediate vicinity of the cyclone center. However, the largest
369 differences appear by taking the warm – cold response. Figure 9e,f shows that the
370 precipitation response in HR is roughly four times stronger and more coherent than LR,
371 though both models depict a northward shift in precipitation to first order. Additionally,
372 the HR shows a substantially stronger response in SLP, with a 2-3 hPa dipole straddling
373 the cyclone center. Meanwhile, the LR shows a broader cyclonic anomaly that is located
374 much farther west. Figure 9 is not meant to be a generalization across all synoptic
375 disturbances, but instead shows the surprising sensitivity to atmospheric resolution at the
376 frontal scale.

377 **4. Diagnosis of physical mechanisms**

378 In response to the poleward shift of the SST front, the HR and LR simulations
379 each, to different extents, develop a near-surface cyclonic circulation to the east of the
380 warm SST anomaly, with enhanced θ_E , upward motion and transient eddy heat flux
381 divergence above the SST anomaly. However, the relative importance of these processes
382 is very different, such that while the LR primarily balances the warm SST by a mean
383 circulation change advecting cold and dry air southwards, the HR primarily balances the
384 enhanced heat and moisture through transient eddies transporting heat and moisture
385 northwards, probably via frontal passages. In this section, we further quantify these key
386 differences by constructing budgets using the thermodynamic and ω equations.

387 *a. Thermodynamic budget*

388 First, we diagnose how heat is exchanged at the air-sea interface and within the
 389 atmospheric column. The processes that balance the diabatic heating \bar{Q} resulting from
 390 the SST anomalies are determined from the time-mean thermodynamic equation, written
 391 as:

$$\begin{array}{cccccccc} \bar{u} \frac{\partial \bar{T}}{\partial x} & + \frac{\partial}{\partial x} \overline{u'T'} & + \bar{v} \frac{\partial \bar{T}}{\partial y} & + \frac{\partial}{\partial y} \overline{v'T'} & + \left[\bar{\omega} \frac{\partial \bar{T}}{\partial p} - \frac{\kappa}{p} \bar{\omega} \bar{T} \right] & + \left[\overline{\omega' \frac{\partial T'}{\partial p}} - \frac{\kappa}{p} \overline{\omega' T'} \right] & = \bar{Q} & (1) \\ \text{I} & \text{II} & \text{III} & \text{IV} & \text{V} & \text{VI} & \text{VII} \end{array}$$

392

393 where overbars represent the ensemble climatological mean for each month, primes
 394 represent departures from that mean, $\kappa = R/C_p$, where R is $287 \text{ J kg}^{-1} \text{ K}^{-1}$ and C_p is 1004
 395 $\text{J kg}^{-1} \text{ K}^{-1}$ and all other terms assume their typical meteorological conventions. The HR
 396 data are linearly interpolated to the LR grid and the budget is calculated for each month,
 397 separately, and then averaged to form a Dec-Mar mean. Term VII is from direct model
 398 output and the budget is nearly closed with the residual being a few percent of the sum
 399 from the remaining terms, except in very close proximity to orography. The warm and
 400 cold ensembles each have their own climatological means, and we calculate each term
 401 separately for the warm and cold ensembles of both HR and LR. The mean difference
 402 between the warm and cold ensembles is termed the response to the SST frontal shift.

403 Figure 10 shows the net response of the horizontal (terms I-IV), vertical (terms
 404 V,VI) and \bar{Q} components of (1) separately. In the lowest levels (1000-800 hPa), ω is
 405 necessarily small, leaving the horizontal terms [I-IV in (1)] to balance \bar{Q} . Consistent with
 406 the stronger THF in Fig. 4b, \bar{Q} is about 15% stronger in LR than in the HR (cf. Figs. 10e

407 and f), and therefore cooling from horizontal heat transport is also stronger. Above this
 408 layer, where \bar{Q} becomes slightly stronger in HR than LR, the most notable difference
 409 between the two simulations is that cooling by the vertical transport terms [V-VI in (1)] is
 410 80% stronger in HR. Indeed, vertical transport is so strong and vertically extensive in HR
 411 that above 500 hPa it must be balanced by warming from the horizontal transport terms
 412 (Fig. 10a). Little to no such net response is seen at upper levels in LR.

413 To differentiate between the relative importance of eddy heat flux divergence and
 414 mean thermal advection, in Fig. 11 we next show each of the seven terms in (1) averaged
 415 within the region 145°-165°E, 35°-43°N (i.e. over the positive SST anomaly; see dotted
 416 lines in Fig. 10). Near the surface the meridional heat transport terms largely balance \bar{Q} ,
 417 but for the LR the mean transport dominates the eddy transport whereas for the HR the
 418 eddy transport is about 60 % larger than the mean transport (Fig. 11c) and has much
 419 greater vertical extent. Recall that the total (i.e. sub-monthly) eddy response is dominated
 420 by the 2-6 day synoptic timescales (Fig. 5). In the middle and upper troposphere, the
 421 large difference in the vertical transport between HR and LR is due to the mean ω
 422 circulation (Fig. 11d). For the LR in this region, mean zonal and meridional terms are
 423 large but mostly offset (cf. Figs 11b and 11c), whereas the primary HR balance is
 424 between the combined mean horizontal and vertical transports. Overall, the
 425 thermodynamic budget confirms that horizontal eddy transports (lower troposphere) and
 426 strong vertical motion (mid troposphere) are much more important for balancing \bar{Q} in
 427 HR than in LR simulations.

428 *b. Omega equation*

429 The stronger ω response in HR seen in Fig. 4c,d raises the question of what
 430 physical mechanism(s) correspond to this difference. To investigate, we calculate
 431 contributions to ω using a modified quasi-geostrophic (QG) form of the generalized ω
 432 equation that includes diabatic effects (Krishnamurti 1968; Trenberth 1978; Raisanen
 433 1995). Unlike past studies such as Pauley and Nieman (1992) and Raisanen (1995), we
 434 focus on the mean ω as opposed to an individual synoptic event. The modified QG- ω
 435 equation can be written as:

$$\left[\bar{\sigma} \nabla^2 + f^2 \frac{\partial^2}{\partial p^2} \right] \bar{\omega} =$$

$$\nabla^2 \left[\bar{V}_g \cdot \nabla \left(-\frac{\partial \bar{\phi}}{\partial p} \right) + \overline{V'_g \cdot \nabla \left(-\frac{\partial \phi'}{\partial p} \right)} \right] + f \frac{\partial}{\partial p} \left[\bar{V}_g \cdot \nabla (\bar{\zeta}_g + f) + \overline{V'_g \cdot \nabla \zeta'_g} \right] - \frac{\kappa}{p} \nabla^2 \bar{Q} \quad (2)$$

I
II
III
IV
V

436

437 where σ is the wintertime spatially varying Dec-Mar mean static stability

438 $\sigma(x, y, p) = -\frac{RT}{p\theta} \frac{\partial \theta}{\partial p}$, ϕ is the geopotential height. Terms I and II are the mean and eddy

439 components of the differential thermal advection, while terms III and IV are the mean

440 and eddy components of the differential vorticity advection. Generally, thermal

441 (vorticity) advection is more important in the lower (mid and upper) troposphere;

442 however, there is often strong cancellation between the two (Hoskins et al. 1978;

443 Billingsley 1998) and investigating each term separately may be beneficial.

444 The recalculated ω response (hereafter ω^R) is accomplished via successive

445 relaxation by forcing with the sum of the r.h.s. of (2). Details of the calculation are in

446 Appendix A. Figure 12a,b shows the across-front response in ω compared with ω^R for

447 HR and LR. There are regions where ω^R differs from ω , but generally this difference is
 448 less than 20%. Over the warm SST (36°-42°N), ω^R overestimates the upward motion, but
 449 except near the tropopause this discrepancy is relatively small. Given this, the ω^R
 450 response can be used as a proxy for ω and Fig. 12c-f shows the dominant terms in (2);
 451 terms II (eddy thermal), III (mean vorticity) and IV (eddy vorticity) are small (less than
 452 one contour) and thus not shown. Over the SST anomaly in the lower to mid troposphere
 453 (from the surface to 500 hPa), the HR simulation generates vertical motion that is about
 454 40% stronger than LR and is balanced by a stronger diabatic term V. This might appear
 455 to contradict the earlier observation that the low level LR heating is actually stronger than
 456 HR (cf. Fig. 10e and f), but it is the finer scale structure of \bar{Q} as measured by $\overline{\nabla^2 \bar{Q}}$ (see
 457 dashed contours of Fig. 10e,f) that is commensurately stronger in HR at lower levels.
 458 That is, the narrowness of the diabatic heating balances the stronger ω field, though
 459 causality cannot be determined via the diagnostic equation (2).

460 The other major difference between ω^R in the two simulations is mainly in the
 461 mid- and upper-troposphere where mean differential thermal advection [term (I) in (2);
 462 Fig 12c,d] generates stronger upward motion in the HR simulation. Since this region has
 463 a significant mean meridional temperature gradient and weak poleward flow (not shown),
 464 enhanced upward motion could be maintained by a shift in the temperature gradient
 465 and/or by changes in the circulation. To determine which is more important, we
 466 recalculate ω^R but using several modified forms of term I, as shown in Fig. 13. First, Fig.
 467 13b shows that when u and v are both set to be their control climatological values, the ω^R
 468 response is much weaker and nearly of opposite sign as the full term I forcing (cf. Fig.
 469 13a), implying that changes in the mean T field are an insignificant contributor to term I.

470 Next, when T is set to climatology (Fig. 13c), the ω^R is nearly identical to full forcing,
471 confirming that the anomalous wind is responsible for balancing the upper-level ω^R .
472 Lastly, when T and u are set to climatology (Fig. 13d), the resulting ω^R is almost identical
473 to the full forcing, showing that it is specifically the anomalous v that contributes most
474 strongly to balancing the upper-level ω^R in HR. The impact of anomalous u is not
475 negligible and has about 20% of the impact of v , but is shifted further south than the main
476 region of upward motion seen in Fig. 13a.

477 5. Observational comparison

478 Properly diagnosing extratropical air-sea interactions in observations is challenging.
479 Simultaneous atmosphere-ocean statistics can be misleading due to the coupled nature of
480 the problem and the differing oceanic and atmospheric dynamical time scales
481 (Frankignoul and Hasselmann 1977). To address this issue, empirical analysis in the
482 extratropics must include some temporal lag that is longer than the intrinsic atmospheric
483 persistence of a few days to weeks (Frankignoul and Kestenare 2002) or, ideally,
484 empirically estimate coupled air-sea dynamics explicitly (Smirnov et al. 2014). This is
485 difficult in short datasets of a multivariate system in which slowly evolving oceanic
486 forcing may produce atmospheric responses co-existing with faster coupled air-sea
487 variability, as well as oceanic variability forced primarily by the atmosphere, with
488 corresponding spatial patterns that are neither identical nor orthogonal.

489 As noted in the introduction, past observational analyses on the impact of the
490 Oyashio SST front find pronounced signals but do not uniformly agree, especially
491 concerning the remote atmospheric response. We do not aim to solve that problem in this
492 paper. However, given the strong sensitivity of the results to model resolution, it is

493 natural to ask whether the local atmospheric response of either experiment is consistent
494 with nature. We do not expect an identical match of course, since although the SST
495 anomaly used in our experiment has realistic amplitude and pattern it was held fixed and
496 specified only within the POEI region. Still, to create an observational comparison to
497 section 3 we have regressed various atmospheric variables on the POEI for lags ranging
498 from several days to 2 months, with the POEI both leading and lagging the atmosphere,
499 roughly similar to the FSKA11 approach. We have also examined both daily and monthly
500 averaged data. Choosing one representative lag and data sampling interval is difficult as
501 no single lag time captures the response of all variables, possibly because (i) there is a
502 transient atmospheric response to the Oyashio Extension shift that is dependent on lag,
503 and (ii) each atmospheric variable decorrelates on a different timescale. For display
504 purposes, we show a lag regression of daily wintertime (November-March) data when the
505 POEI leads the atmosphere by 14 days, which should be long enough to mainly capture
506 the atmospheric response to the POEI (as most atmospheric variables are nearly fully
507 decorrelated after two weeks), and also seems appropriate based on the earlier discussion
508 of the model response equilibration time (Fig. 3). For comparison, we also show the
509 simultaneous regression between the atmosphere and the POEI, but note that this is
510 difficult to interpret since it can contain both the forcing of and response to the POEI SST
511 anomaly.

512 In general, the regression amplitude depends on lag, sometimes strongly, but the
513 spatial structure is fairly consistent. Using daily data resulted in a 20-40% stronger signal
514 compared to using monthly data, but results are otherwise qualitatively similar (not
515 shown). The ERAI does not have daily values of sensible and latent heat flux, so the 1°

516 Objectively Analyzed air-sea fluxes (OAFlux; Yu and Weller 2007) dataset is used for
517 these variables. Also, since El-Nino Southern Oscillation (ENSO) variability has a strong
518 teleconnection to the North Pacific (e.g. Alexander et al. 2002), we remove the co-
519 variability with ENSO from both the POEI and all atmospheric variables by a linear
520 regression using the daily Nino3.4 index. This generally reduces the amplitude of
521 regression coefficients by up to 15% (mostly east of the dateline) but leaves the spatial
522 structures unchanged.

523 Additionally, FSKA11 suggested that the meridionally confined nature of the
524 Oyashio Extension SST front variability could make it difficult to diagnose the
525 atmospheric response in coarse-resolution datasets. We compared the across-front
526 regression of pressure velocity (ω , negative upward) on the POEI using the 0.7° ERA-
527 Interim (ERA-I; Uppala et al. 2008; http://data-portal.ecmwf.int/data/d/interim_daily/)
528 dataset with the 2.5° National Center for Environmental Prediction Reanalysis I (NCEP-
529 R1; Kalnay et al. 1996) over the 1982-2012 period and found a 40% stronger signal in the
530 former (not shown). Here we chose the enhanced ERA-I resolution (time range: 1979-
531 present) over the longer data record provided by NCEP-R1 (time range: 1948-present).

532 With the many above caveats in mind, in Fig. 14 we show the same fields as
533 displayed in Fig. 4, but based on regressions of observed data onto the daily POEI at 0-
534 day (left column) and 14-day lags (right column). In the observed regression, positive
535 POEI values are associated with strong THF from the ocean to atmosphere on the
536 southern periphery of the SST front (36°-42°N) roughly at a rate of $\sim 30 \text{ W m}^{-2}$ per °C,
537 consistent with previous estimates (Frankignoul and Kestenare 2002; Park et al. 2005).
538 Note that weaker values in Fig. 14a appear to be the result of the contemporaneous state

539 of the POEI and atmosphere, with larger values resulting when the POEI leads THF by
 540 14 days (Fig. 14b), consistent with oceanic forcing of the atmosphere (see Fig. 21 in
 541 Frankignoul 1985). The 14-day regression (i) has a 40% weaker θ_E signal and (ii) limits
 542 the upward vertical motion to the immediate SST anomaly region.

543 In general the observations seem more broadly consistent with the HR than the
 544 LR model results. In terms of the response to POEI SST anomalies, the observed
 545 regression appears to have a broader area of upward THF than either the HR or LR
 546 simulation, which could be due to the limited spatial extent of the prescribed SST
 547 anomaly in the model, but the observed and model amplitudes appear comparable. The
 548 lack of significant wind anomalies in the observed regression appears more consistent
 549 with the HR, suggesting that LR may be overemphasizing the importance of the mean
 550 circulation response in balancing anomalous heat from the SST. Additionally, the vertical
 551 extent of the upward motion over the SST anomaly in the HR resembles the observed
 552 pattern, as does the upper-level outflow that is symmetric or slightly northward, whereas
 553 the LR (Fig. 4d) has southward flow at all levels.

554 Finally, both the simultaneous and 14-day lag regression (Figs. 14e,f; note that
 555 using lags of 21 and 28 days results in a very similar pattern as the 14-day) indicate a
 556 northward shift of $\overline{v'\theta_E'}$, but primarily indicate a much stronger reduction of $\overline{v'\theta_E'}$ south
 557 of the SST front, especially Fig. 14f that appears markedly different from Fig. 14e and
 558 appears to better match LR. However, we note that the *divergence* of $\overline{v'\theta_E'}$ centered over
 559 the warm SST anomaly is the same in both panels, with the location and amplitude better
 560 matching the HR results (not shown). Collectively, it appears the observed regressions

561 better match HR due to (i) significantly more active eddy heat transport response and (ii)
562 deeper response in ω .

563 **6. Discussion and conclusion**

564 In a high resolution (0.25°) version of the NCAR CAM5, a meridional shift of the
565 Oyashio Extension SST front is shown to locally force a robust atmospheric response
566 dominated by changes in the eddy heat and moisture transports. However, in the
567 corresponding low resolution (1°) simulations, the local atmospheric response exhibits
568 strong heating by surface fluxes that is balanced by the mean equatorward advection of
569 cold air, consistent with the paradigm of a steady linear response to a near surface heat
570 source (see Hoskins and Karoly 1981). In the higher resolution simulation, we noted a
571 substantially weaker surface circulation (Fig. 4a,b), stronger and deeper vertical motion
572 (Fig. 4c,d), and significantly stronger transient eddy moist static energy flux as key
573 responses to the SST anomaly. Furthermore, it appeared that the latter difference could be
574 seen on average in individual synoptic fronts (Fig. 7,9).

575 A number of previous modeling studies have suggested that heat from an
576 extratropical SST anomaly is transferred into the lower troposphere where it directly
577 forces the atmospheric response, with transient eddy flux feedbacks primarily important
578 for modifying the *downstream* upper level circulation anomaly (Peng et al. 2003; Peng
579 and Whitaker 1999; Hall et al. 2001; Yulaeva et al. 2001; Kushnir et al. 2002). In
580 contrast, we find that transient eddies impact the *local* heat balance through changes in
581 the transient eddy moist static energy flux. That is, extratropical cyclones respond to the
582 underlying SST anomaly in the Oyashio Extension front region by transporting much of
583 the anomalous heat northward, so that even under linear theory the weaker residual

584 heating could be expected to produce only a weak surface low to the east. As the
585 downstream low and its southward advection of cold, dry air are reduced, subsidence
586 associated with vortex shrinking over the heating region is also reduced.

587 Some issues in our experimental design limit interpretation of our results. First,
588 when comparing HR to LR responses, the impact of better resolving the SST gradient
589 cannot be distinguished from intrinsic differences between the 0.25° and 1° versions of
590 CAM5. This issue could be addressed by re-running the HR experiments but with the 1°
591 SST grid used by LR. Second, because some of the SST anomaly in the central and
592 eastern Pacific related to an Oyashio Extension shift (Fig. 1a) represents coupling to or
593 forcing by the atmosphere (Smirnov et al. 2014), we employed a conservative
594 experimental approach by prescribing a very spatially confined SST anomaly; however,
595 this approach still ignores potential feedbacks due to air-sea coupling. Also, the model
596 SST anomaly is held fixed in time, whereas in observations its decorrelation time scale is
597 7 months and in the HR it would have ~5 month decorrelation time scale if allowed to
598 decay due to surface heat fluxes.

599 While it seems intuitive that the HR better resolves frontal circulations and
600 associated ω , we have not determined why the transient eddy heat and moisture flux
601 *responses* are so sensitive to model resolution. Moist diabatic processes appear to affect
602 how SST fronts could influence extratropical cyclone development (Fig. 9; also Booth et
603 al. 2012; Deremble et al. 2012; Willison et al. 2013), and Willison et al. (2013) found
604 increased moist diabatic creation of potential vorticity during cyclogenesis between two
605 regional model resolutions roughly corresponding to our LR and HR models. Our SST
606 anomaly might be special in shape and/or location, such that different SST anomalies in

607 the HR model would produce less dramatic results, although it seems reasonable to
608 suggest that locating the SST anomaly within the climatological storm track yields a
609 greater impact on the transient eddy heat flux than elsewhere. Moreover, our HR result
610 could be unique to CAM5, so it should be confirmed with other high resolution GCMs.
611 On the other hand, two recent GCM studies have shown SST frontal anomalies to have
612 similarly pronounced impacts on transient eddy heat flux, as well as relatively weaker
613 impacts on meridional eddy wind variance, in the North Pacific (Taguchi et al. 2009) and
614 in the North Atlantic (Small et al. 2013). Still, our HR results (especially Fig. 7 and 9)
615 strongly suggest that better understanding of how SST anomalies affect North Pacific
616 cyclogenesis, including associated heat and moisture transports, and how model
617 resolution impacts the accurate simulation of these processes, is essential to determining
618 the impact of Oyashio Extension frontal shifts in nature.

619 Though the focus of this manuscript is on the local response to the Oyashio
620 Extension shift, it is arguably the remote response that is more relevant to society since
621 variability in the Oyashio Extension frontal region projects onto the larger-scale Pacific
622 decadal oscillation (Mantua et al. 1997; Schneider and Cornuelle 2005; Kwon et al. 2010;
623 Newman 2013; Seo et al. 2014). Given the stronger and deeper local atmospheric
624 response in the HR simulation, with a pronounced divergence anomaly located in the jet
625 core at around 300 hPa, it is not entirely surprising that striking differences between HR
626 and LR also exist across the entire North Pacific basin, which is shown in Fig. 15 for 800
627 hPa θ_E and 300 hPa geopotential height. In HR, the θ_E response is stronger locally and
628 extends eastward across a substantial portion of the North Pacific, culminating with a
629 strong anomalous anticyclone in the Gulf of Alaska and substantially reduced

630 precipitation along the northwest coast of North American (not shown). Meanwhile, in
631 LR, there is no significant response north of 40°N, but a weak response in the subtropics
632 as the anomalous local cyclonic circulation advects relatively high θ_E air southward and
633 eastward. A full diagnosis of this remote response is underway.

634

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641

Appendix A

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Details of the modified QG- ω budget

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Forcing terms I-IV in (2) only require ϕ from which u_g and v_g and ζ_g and their spatial and vertical derivatives are approximated via a centered finite difference scheme. For the extended winter months (Dec-Mar) of the simulations, forcing terms I and III are found by separately averaging over the warm and cold ensembles during that period. Meanwhile, terms II and IV require anomalous values, which are found by removing the monthly ensemble mean separately for the warm and cold ensembles. Data is on 20 pressure levels that are log-linearly interpolated from the model hybrid (pressure and sigma) coordinates to pressure levels. Using daily averages reduces the mean thermal and momentum covariance by 20 % compared to four-times daily data, but the calculated ω response is only altered by less than 10 %. Thus, daily average data are used due to a substantial reduction in required computational time. Furthermore, the data is linearly interpolated to the LR $\sim 1^\circ \times 1^\circ$ grid. The effect of interpolation is only important in the immediate vicinity of topography and influences ω^R less than 3 % across the ocean grid points (not shown).

To generate ω from (2), successive relaxation is used after imposing a zero boundary condition at the top and bottom levels as well as the horizontal boundaries of the domain [the domain is 110° - 200° E, 15° - 65° N; see Nieman (1990) for further details]. With this homogeneous boundary condition, the forcing from each term can be linearly separated. With a relaxation parameter (see Krishnamurti 1968; Nieman 1990) of 0.88, implying “underrelaxation”, 400 iterations are sufficient to determine ω . The recalculated ω , hereafter ω^R , is found for the warm and cold ensembles of HR and LR, separately, and

664 then the warm-cold difference is the response. Figure 12a,b shows that ω^R compares well
665 with the model-generated ω , with a residual less than 10 % for LR, and 20 % for HR
666 (except in the in localized regions in the upper-levels; see Fig. 12a,b). The differences
667 could arise from the neglect of friction terms, the use of daily averaged data that would
668 underestimate the impact of the covariance terms, or from interpolation (only for HR as
669 LR is calculated on its native grid). Interestingly, using the full wind (instead of the
670 geostrophic wind) and including the tilting and twisting terms in the ω equation (Pauley
671 and Nieman 1992; Raisanen 1995) had very little impact on ω^R (not shown), suggesting
672 that the modified QG approximation with inclusion of diabatic heating yields a
673 satisfactory approximation. This may not be the case on a storm-by-storm analysis.
674

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906

Figure captions

907 Figure 1: (a) Nov-Mar OEI SST regression and (b) after multiplying (a) by 3, then
 908 smoothing and applying cosine-taper filter (see text). (c) Scatter of point by point -
 909 $1 \cdot dSST/dy$ from $145^\circ E$ to $165^\circ E$ as a function of latitude (dots) for the warm (red,
 910 northward Oyashio shift) and cold (blue) experiments. The black box shown in (b) shows
 911 the region used for “across-front” zonal averages (145° - $165^\circ E$) in subsequent figures.

912

913 Figure 2: Standardized POEI3 (color) based on the 1° OAFlux SST dataset, 1958-2012
 914 and OEI (without trend removal) from Frankignoul et al. (2011; black line) from 1982-
 915 2012.

916

917 Figure 3: (a) Mean response (contour, c.i. $4 \times 10^{-7} \text{ s}^{-1}$) of the Dec-Mar across-front (145° -
 918 $165^\circ E$) divergence in the HR simulation. Gray shading encloses areas significant at the
 919 95% confidence level. (b) Evolution of the 5-day running mean response of across-front
 920 divergence. Thick (thin) line indicates the response pattern r.m.s. (pattern correlation)
 921 with the equilibrium pattern in (a). The r.m.s. is normalized to unity by the day 3 value.

922

923 Figure 4: The mean December-March atmospheric response (warm-cold) to a shift in the
 924 Oyashio Extension SST front in (left column) HR and (right column) LR simulations.
 925 (a,b) Turbulent heat flux (color) and 950 hPa wind (vectors). Black bold vectors are
 926 significant at the 95% confidence level. (c,d) Zonally averaged (145° - $165^\circ E$) across-front
 927 $[v, \omega]$ circulation (vectors) and θ_E (color). Black vectors are significant at the 90 %
 928 confidence level. The ω component is multiplied by 2000 to aid in visualization. (e,f) 850

929 hPa $\overline{v'\theta_E'}$ (color) where stippling denotes regions significant at the 95 % confidence
 930 level. The black contours indicate the mean climatological $\overline{v'\theta_E'}$. In all panels, the mean
 931 difference is divided by 2.5 to account for a $\pm 1.25\sigma$ POEI SST anomaly.

932

933 Figure 5: The contribution of the (a) total sub-monthly 850 hPa $\overline{v'T'}$ separated into the (b)
 934 2-6 day bandpass and (c) 6-30 day bandpass components in the HR simulation. Black
 935 dots denote regions that are significant at the 95% confidence level. Note that daily
 936 specific humidity output was not saved, precluding the same analysis on $\overline{v'\theta_E'}$.

937

938 Figure 6: Across-front mean December-March response (color) of (a,b) $\overline{v'\theta_E'}$ (c.i. 0.8 K
 939 m s^{-1}) and (c,d) $\overline{v'v'}$ (c.i., $2 \text{ m}^2 \text{ s}^{-2}$) in the (left) HR and (right) LR simulations. Stippling
 940 denotes areas that are significant at the 95% confidence level. Thick black contours show
 941 the climatological values from the HR (a,c) and LR (b,d) control simulations.

942

943 Figure 7: (a-d) Composites of anomalous SLP (contour) and high-pass filtered 850 hPa
 944 $v'T'$ on days where the thermal front parameter (see text for additional information)
 945 exceeds $0.15 \text{ K (100 km)}^{-2}$ for the (a) warm, and (c) cold HR simulations and (b) warm
 946 and (d) cold LR simulations. (e and f) Differences of (a) – (c) and (b) – (d), respectively.
 947 In (e) and (f), thick contour encloses areas that exceed the 95% significance based on a
 948 1000 sample Monte Carlo test. Note that SLP does not show up in (e,f) because it does
 949 not meet the 95% significance threshold (or even the 90%).

950

951 Figure 8: Mean Dec-Mar response in (a,b) total (convective + stratiform) precipitation,
952 and (c,d) column integrated precipitable water in the (a,c) HR and (b,d) LR simulations.

953 Contours enclose areas that are significant at the 95% confidence level.

954

955 Figure 9: Snapshot of frontal passage from November 4th of ensemble member #2. (a – d)

956 Daily mean SLP (contours; c.i. 4 hPa) and total precipitation (mm day^{-1}) for the warm

957 and cold simulations of HR and LR. (e,f) Difference between (a,c) and (b,d),

958 respectively. Contour interval for SLP is 1 hPa, negative contours are dashed and zero

959 contour is omitted.

960

961 Figure 10: Across-front Dec-Mar mean difference of terms comprising the

962 thermodynamic budget for the (a,c,e) HR and (b,d,f) LR simulations. (a, b) Sum of all

963 horizontal terms [I-IV] in (1), (c,d) sum of all vertical terms [V-VI], and (e,f) diabatic

964 heating (colors) and their Laplacian (contours). Thin dotted lines show the approximate

965 latitudinal position of the positive SST anomaly, and are used for meridional averaging in

966 Fig. 11.

967

968 Figure 11: Vertical profiles of the heating rate response (K day^{-1}) arising from (a)

969 horizontal (blue), vertical (gray) transport and diabatic processes (red). (b and c)

970 separation of the horizontal component from (a) into mean (solid) and eddy (dash)

971 components for (b) zonal and (c) meridional transport. (d) Separation of vertical

972 component in (a) into mean and eddy terms. The thick (thin) lines are for HR (LR). Note

973 the different x-axis scale in (b-d) compared to (a).

974

975 Figure 12: (a and b) Across-front mean ω^R (contour) and $\omega - \omega^R$ (red: positive; blue:
976 negative) for the (a) HR and (b) LR simulations. Contour interval is 0.005 Pa s^{-1} . The
977 contribution to ω^R decomposed into the (c,d) mean thermal, (e,f) diabatic heating
978 components for the (left column) HR and (right column) LR simulations. The eddy
979 thermal, mean vorticity and eddy vorticity components are negligible (less than one
980 contour) and not shown. See (2) for terms.

981

982 Figure 13: (a) Mean thermal contribution to across front ω^R response in the HR
983 simulation (same as Fig. 12c but with c.i. 0.0025 Pa s^{-1}). (b) Same as (a) but setting $[U,$
984 $V]$ to climatological values from the HR control simulations. (c) Same as (a) but setting T
985 set to climatological values. (d) Same as (c) but also setting U to climatological values.
986 (e) Same as (c) but also setting V to climatological values.

987

988 Figure 14: Observational counterpart to Fig. 4 based on (left) simultaneous and (right)
989 14-day lagged (right) regressions of the ERAI atmospheric variables on the daily POEI.

990

991 Figure 15: Mean Dec-Mar difference in (a,b) 800 hPa θ_E and (c,d) 300 hPa geopotential
992 height over the North Pacific for the (a,c) HR and (b,d) LR simulations. Black contour
993 denotes areas significant at the 95% confidence level based on a Student's t-test.

994

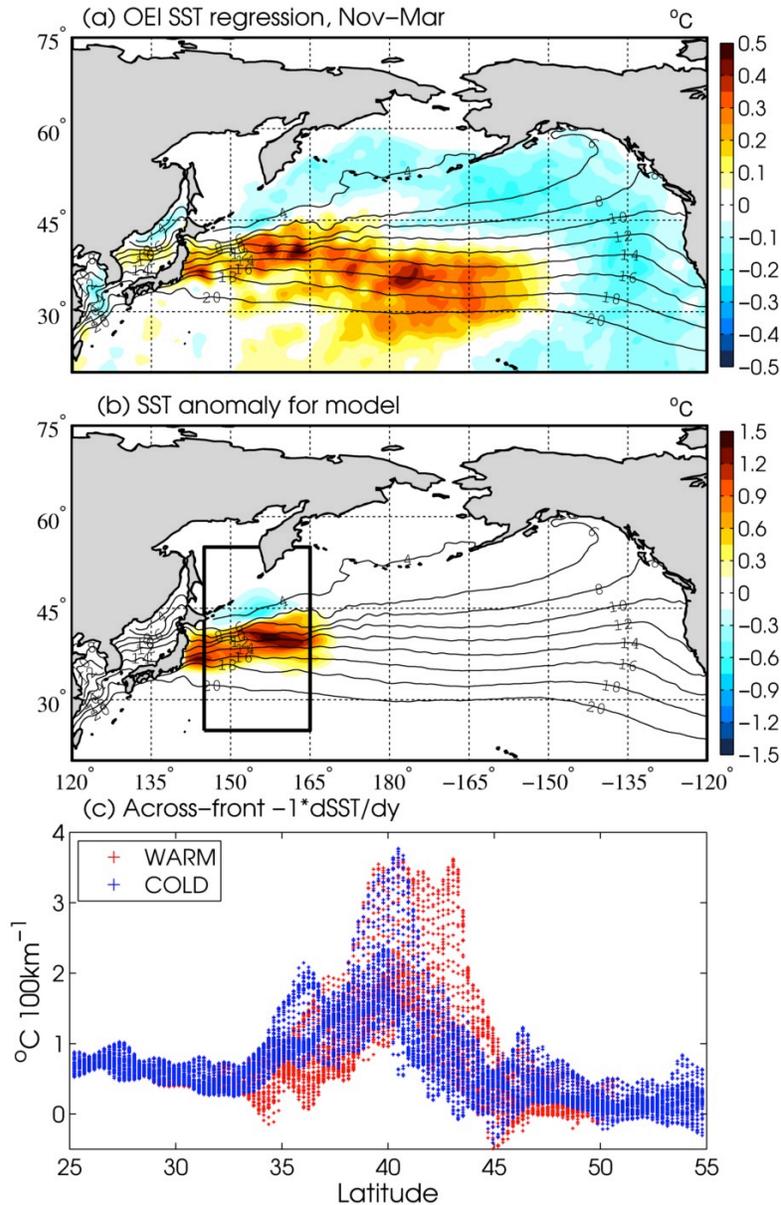


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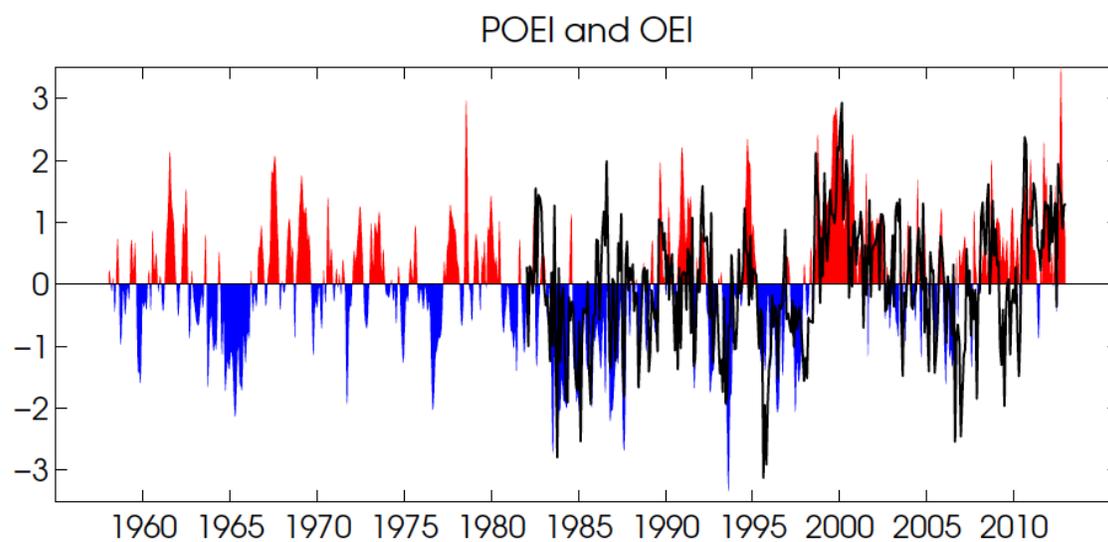


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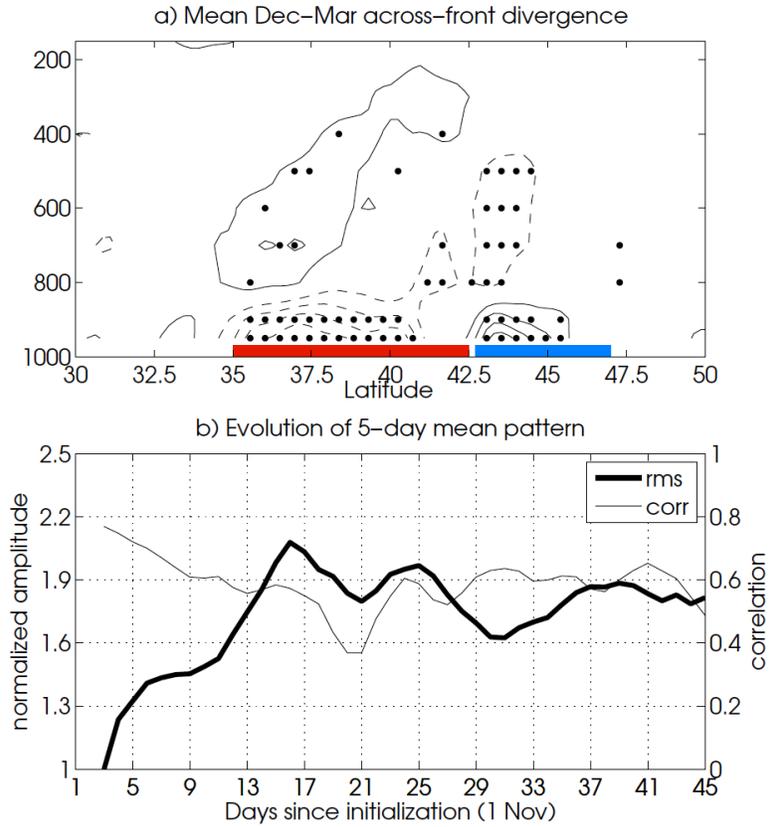


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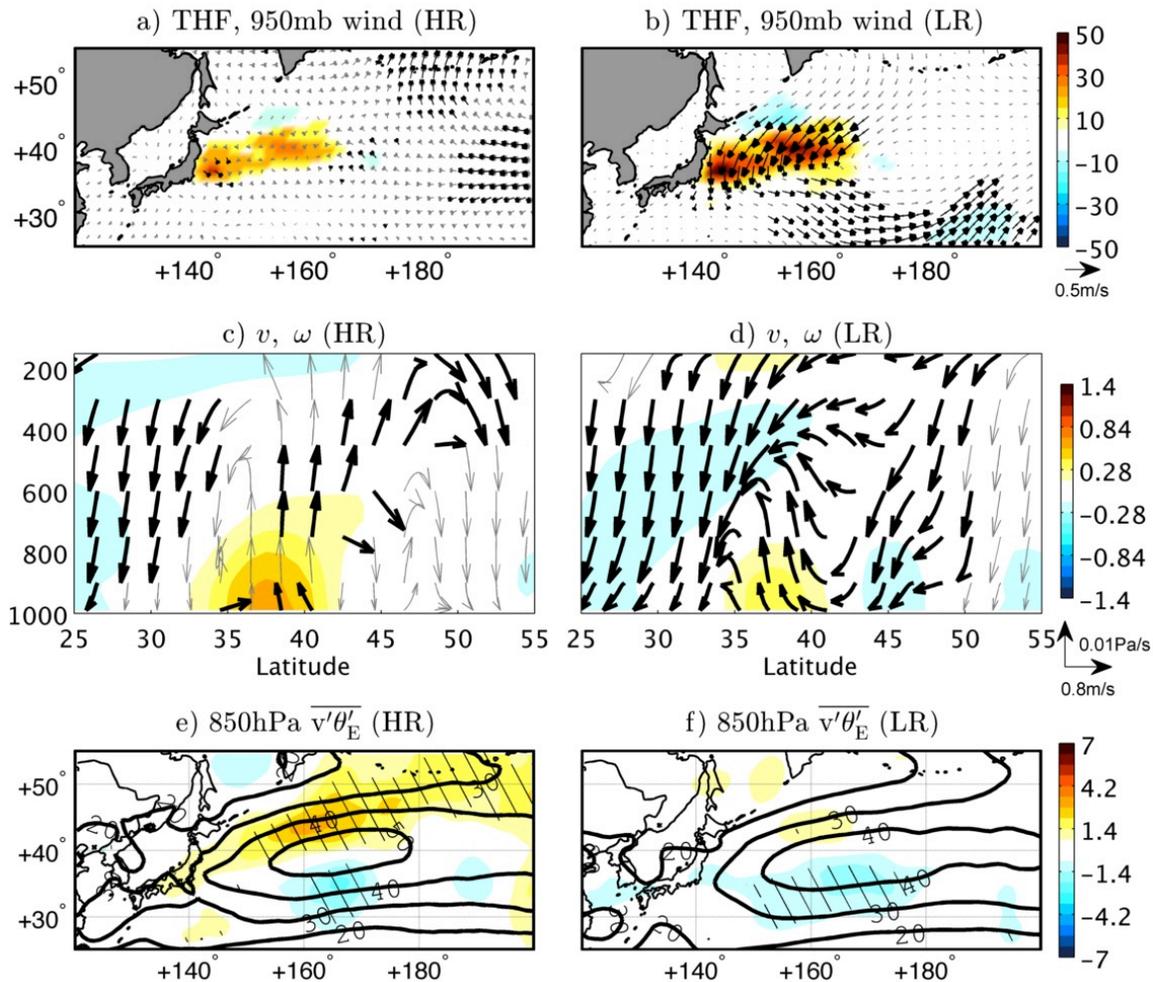


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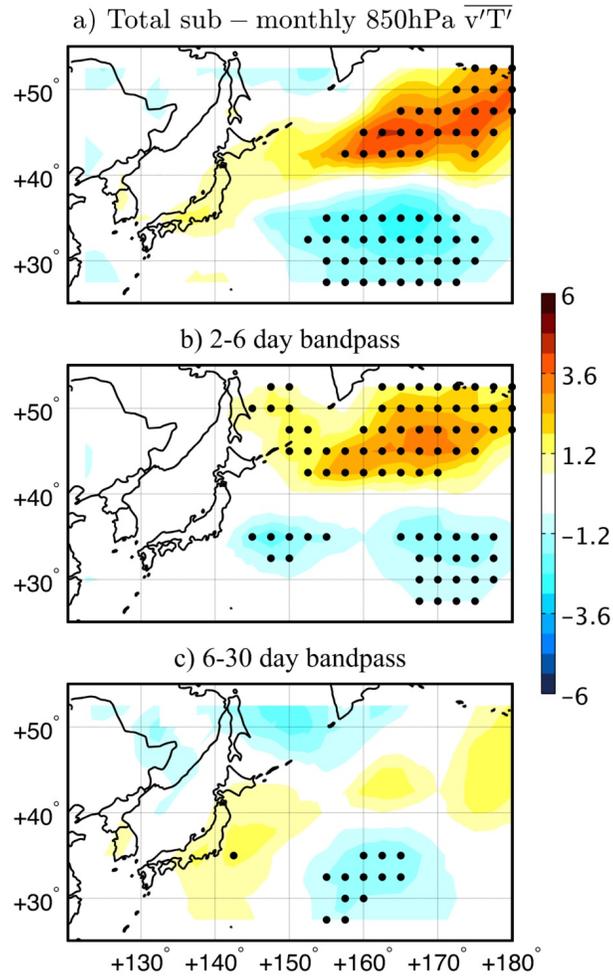


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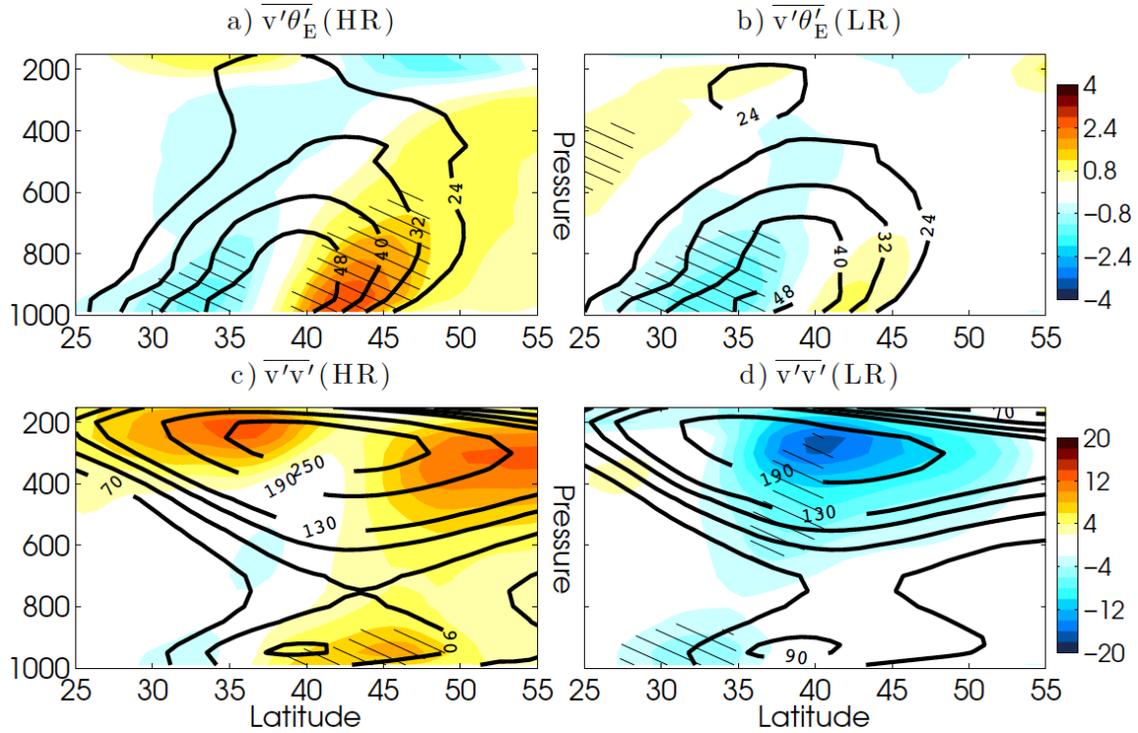


Figure 6: Across-front mean December-March response (color) of (a,b) $\overline{v'\theta'_E}$ (c.i. 0.8 K m s^{-1}) and (c,d) $\overline{v'v'}$ (c.i., $2 \text{ m}^2 \text{ s}^{-2}$) in the (left) HR and (right) LR simulations. Stippling denotes areas that are significant at the 95% confidence level. Thick black contours show the climatological values from the HR (a,c) and LR (b,d) control simulations.

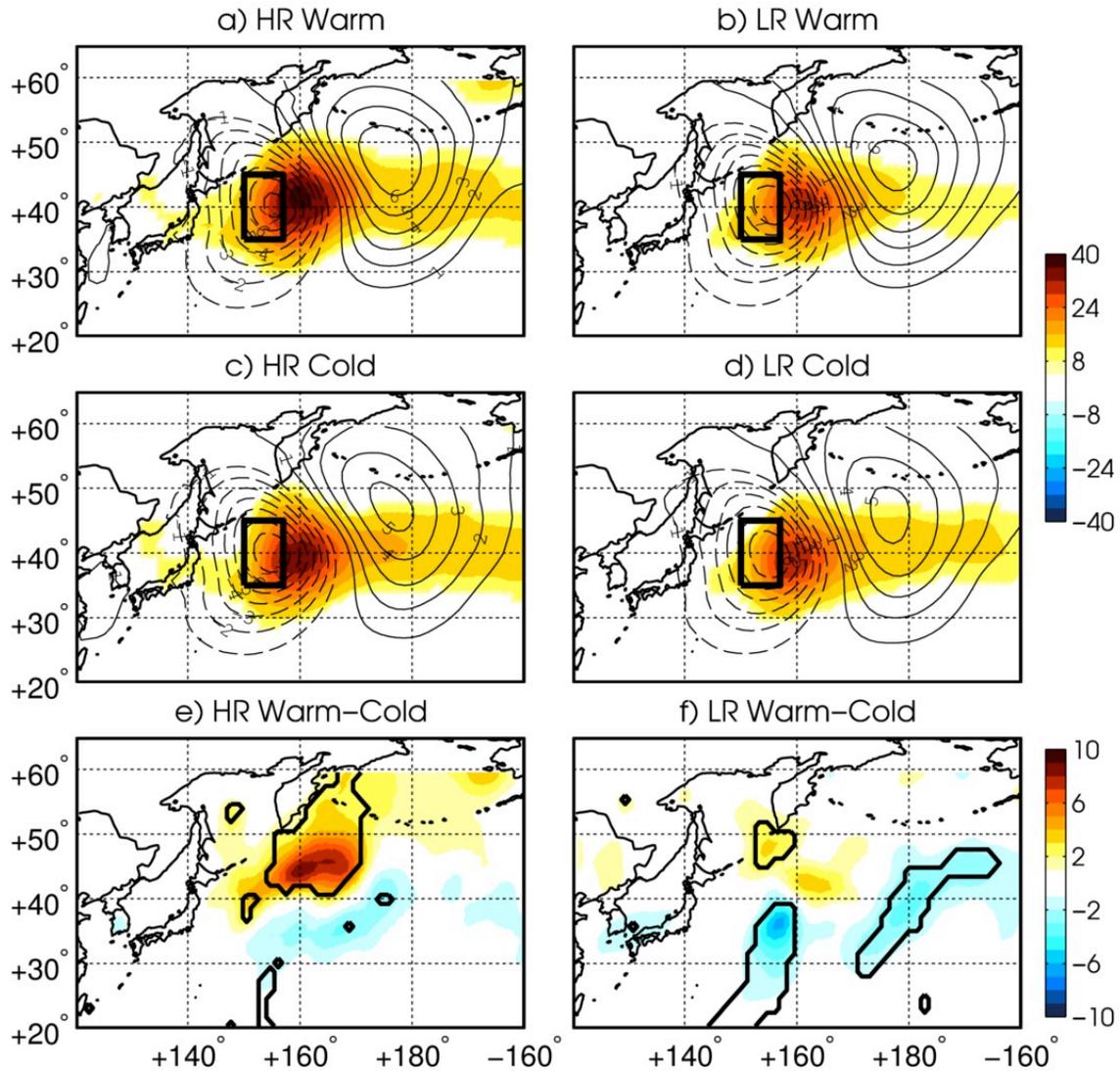


Figure 7: (a-d) Composites of anomalous SLP (contour) and high-pass filtered 850 hPa $v'T'$ on days where the thermal front parameter (see text for additional information) exceeds $0.15 \text{ K (100 km)}^{-2}$ for the (a) warm, and (c) cold HR simulations and (b) warm and (d) cold LR simulations. (e and f) Differences of (a) – (c) and (b) – (d), respectively. In (e) and (f), thick contour encloses areas that exceed the 95% significance based on a 1000 sample Monte Carlo test. Note that SLP does not show up in (e,f) because it does not meet the 95% significance threshold (or even the 90%).

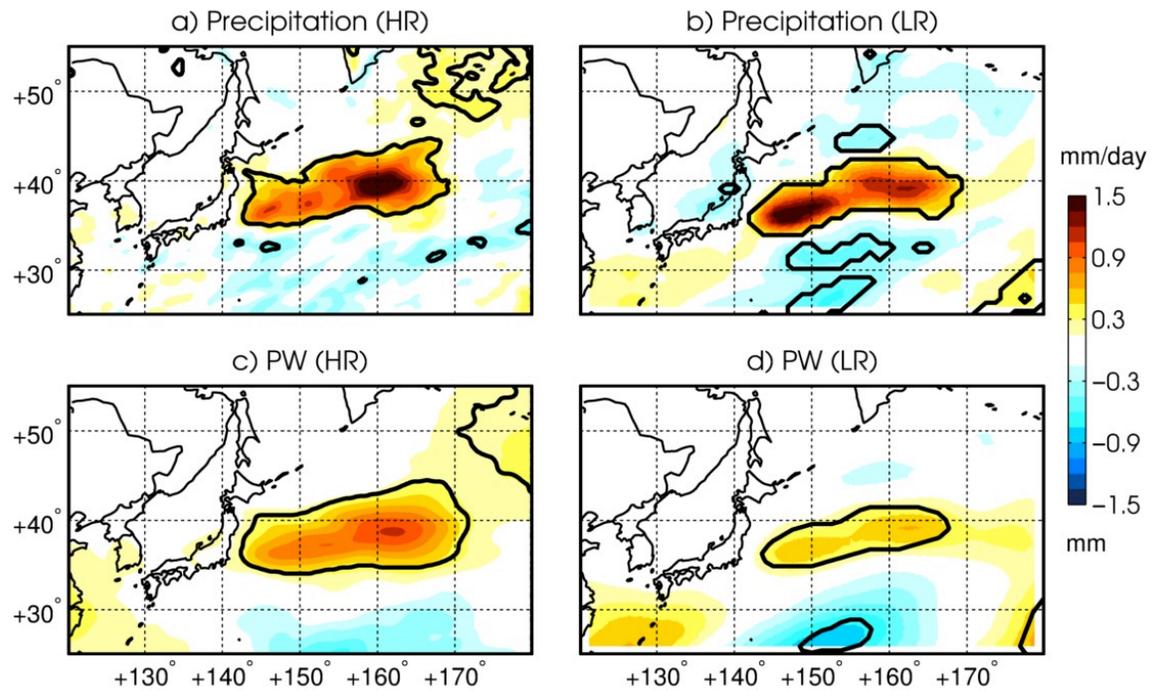


Figure 8: Mean Dec-Mar response in (a,b) total (convective + stratiform) precipitation, and (c,d) column integrated precipitable water in the (a,c) HR and (b,d) LR simulations.

Contours enclose areas that are significant at the 95% confidence level.

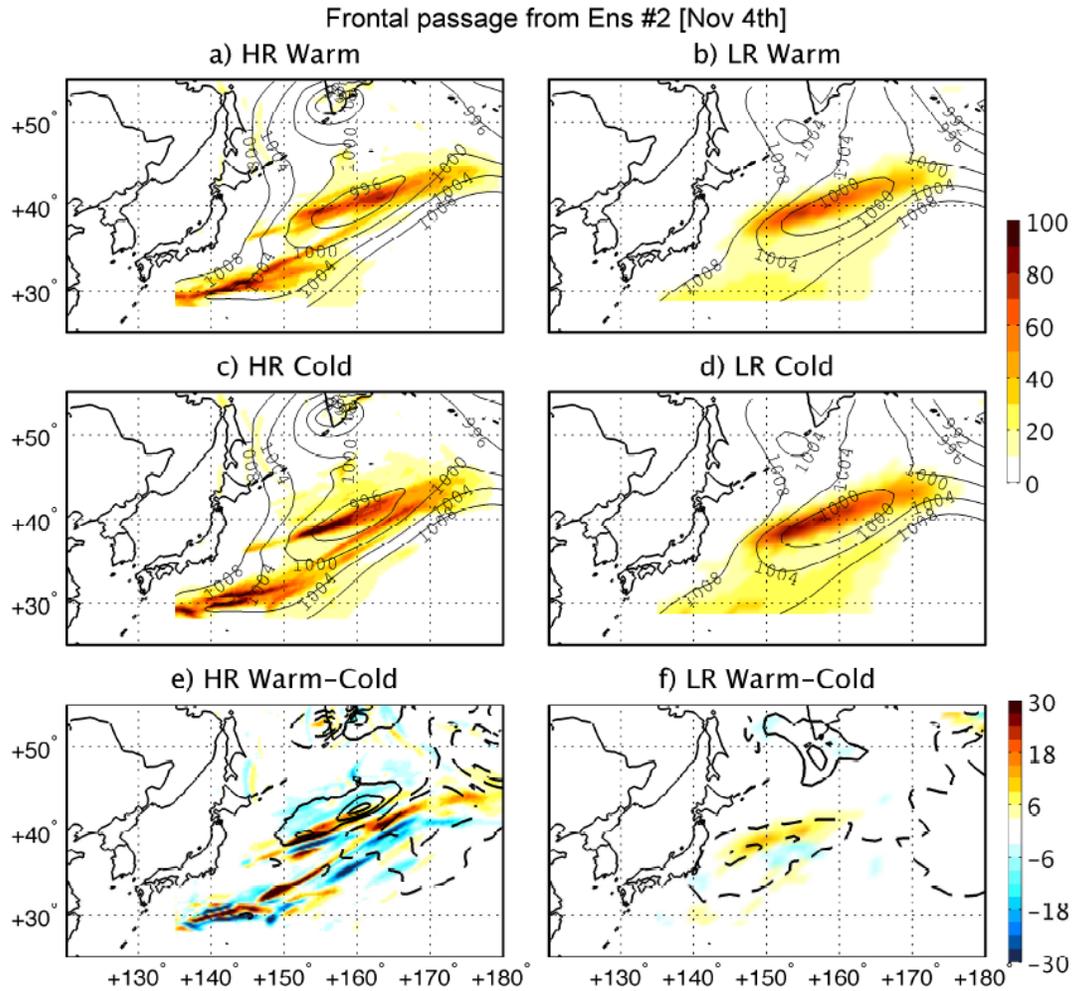


Figure 9: Snapshot of frontal passage from November 4th of ensemble member #2. (a – d) Daily mean SLP (contours; c.i. 4 hPa) and total precipitation (mm day⁻¹) for the warm and cold simulations of HR and LR. (e,f) Difference between (a,c) and (b,d), respectively. Contour interval for SLP is 1 hPa, negative contours are dashed and zero contour is omitted.

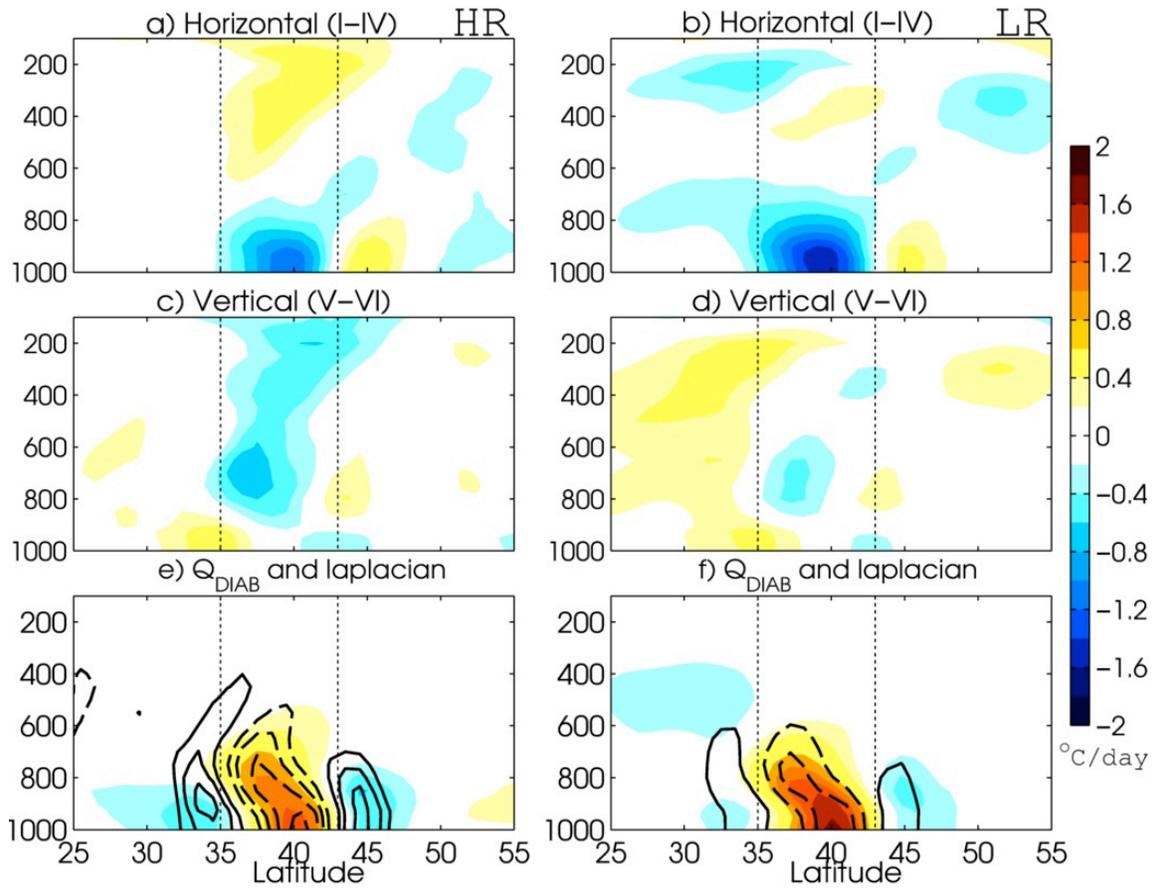


Figure 10: Across-front Dec-Mar mean difference of terms comprising the thermodynamic budget for the (a,c,e) HR and (b,d,f) LR simulations. (a, b) Sum of all horizontal terms [I-IV] in (1), (c,d) sum of all vertical terms [V-VI], and (e,f) diabatic heating (colors) and their Laplacian (contours). Thin dotted lines show the approximate latitudinal position of the positive SST anomaly, and are used for meridional averaging in Fig. 11.

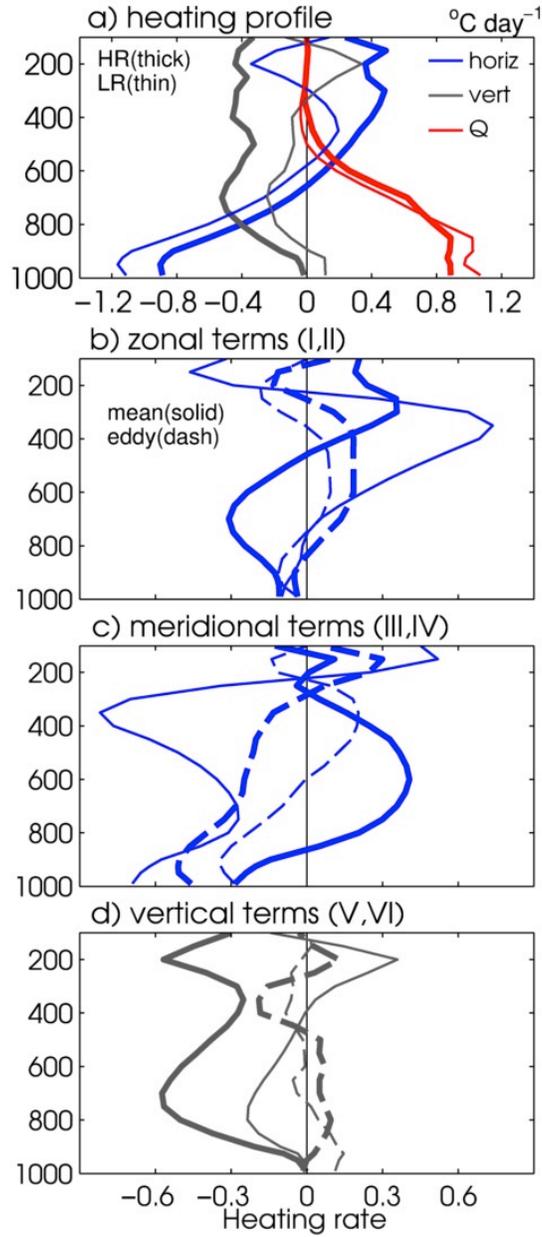


Figure 11: Vertical profiles of the heating rate response (K day^{-1}) arising from (a) horizontal (blue), vertical (gray) transport and diabatic processes (red). (b and c) separation of the horizontal component from (a) into mean (solid) and eddy (dash) components for (b) zonal and (c) meridional transport. (d) Separation of vertical component in (a) into mean and eddy terms. The thick (thin) lines are for HR (LR). Note the different x-axis scale in (b-d) compared to (a).

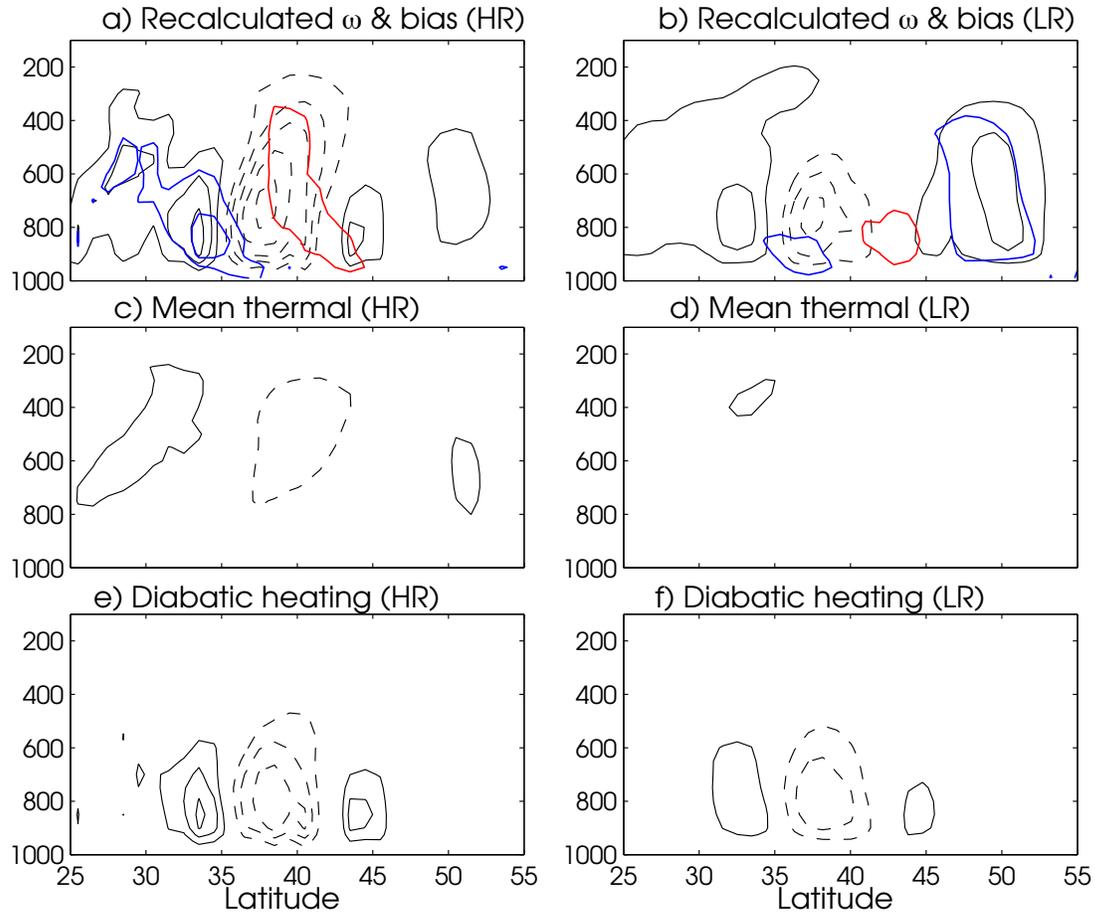


Figure 12: (a and b) Across-front mean ω^R (contour) and $\omega - \omega^R$ (red: positive; blue: negative) for the (a) HR and (b) LR simulations. Contour interval is 0.005 Pa s^{-1} . The contribution to ω^R decomposed into the (c,d) mean thermal, (e,f) diabatic heating components for the (left column) HR and (right column) LR simulations. The eddy thermal, mean vorticity and eddy vorticity components are negligible (less than one contour) and not shown. See (2) for terms.

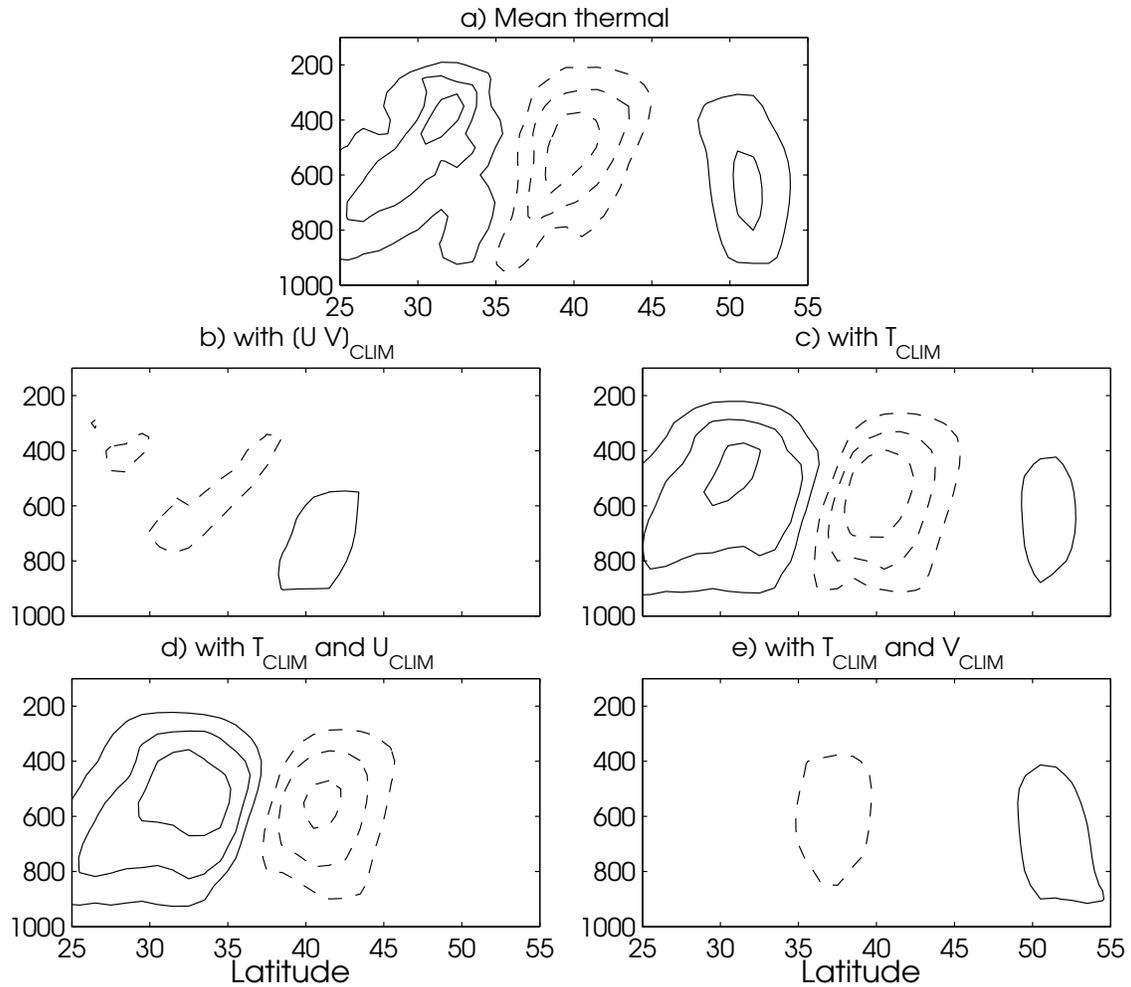


Figure 13: (a) Mean thermal contribution to across front ω^R response in the HR simulation (same as Fig. 12c but with c.i. 0.0025 Pa s^{-1}). (b) Same as (a) but setting $[U, V]$ to climatological values from the HR control simulations. (c) Same as (a) but setting T set to climatological values. (d) Same as (c) but also setting U to climatological values. (e) Same as (c) but also setting V to climatological values.

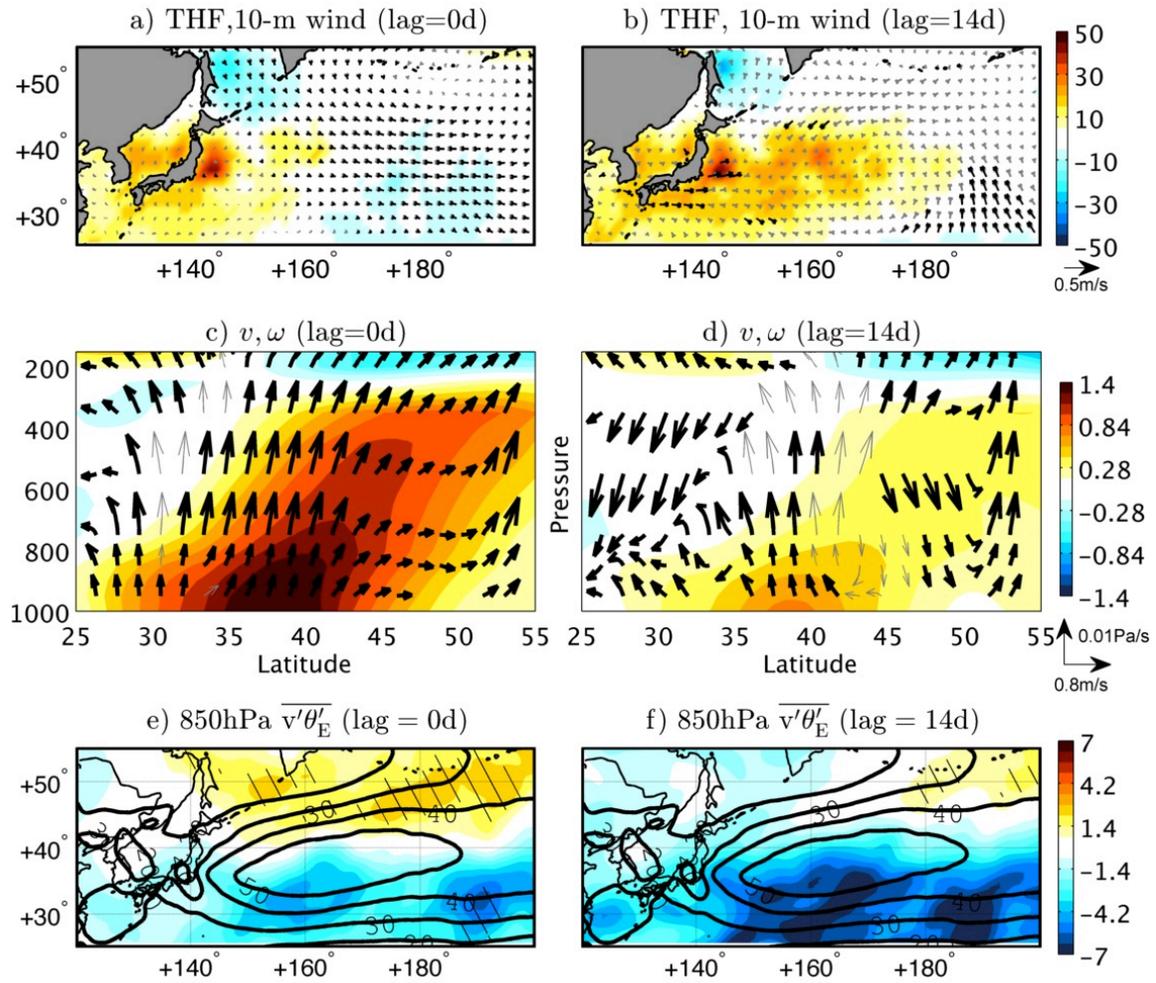


Figure 14: Observational counterpart to Fig. 4 based on (left) simultaneous and (right) 14-day lagged (right) regressions of the ERAI atmospheric variables on the daily POEI.

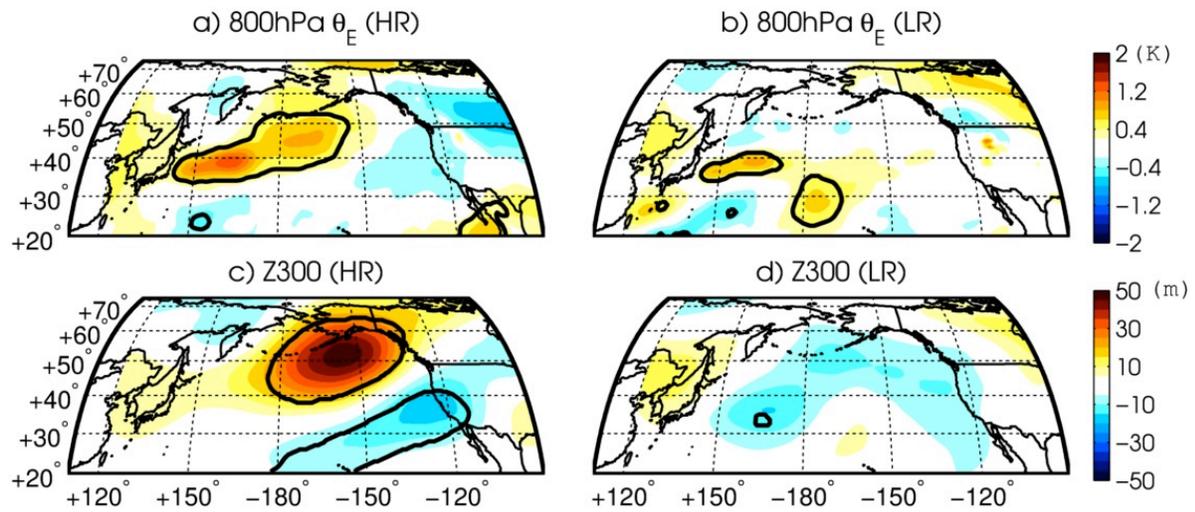


Figure 15: Mean Dec-Mar difference in (a,b) 800 hPa θ_E and (c,d) 300 hPa geopotential height over the North Pacific for the (a,c) HR and (b,d) LR simulations. Black contour denotes areas significant at the 95% confidence level based on a Student's t-test.