



1 **Abstract**

2           The Northern Hemisphere (NH) climate response to uncontrolled emissions of  
3 ozone-depleting substances (ODSs) at an annual increase of 3% (so called World  
4 Avoided, WA by the Montreal Protocol) is investigated. To determine possible  
5 tropospheric climate impacts of the Montreal Protocol, we analyze a WA simulation and  
6 an ensemble of reference simulations for the period of 2001 to 2049 from a coupled  
7 chemistry climate model. We found a significant shift of the Northern Hemisphere  
8 Annular Mode towards its positive phase during the late spring to fall. We show that  
9 greenhouse gas induced warming over the NH continents would be amplified by up to  
10 100 % and the storm tracks would move poleward with large increases of intensity  
11 suggesting an increase of high impact storm events. Thus, the results of this study  
12 suggest that the Montreal Protocol possibly avoided strong NH climate changes.

## 1 **1. Introduction**

2 The 1987 Montreal Protocol on Substances that Deplete the Ozone Layer limited  
3 the worldwide production of ozone-depleting substances (ODSs). This agreement  
4 provided a mechanism for reducing and phasing-out the use of chlorofluorocarbons,  
5 halons, and other man-made ODSs.

6 An important step towards recognizing the Protocol's success is to investigate  
7 what might have happened to ozone and climate without a ban of ODS production. In an  
8 early study, *Prather et al.* [1996] simulated the impact of unrestrained CFC growth on  
9 stratospheric ozone by the year 2000 using a two-dimensional chemistry transport model.  
10 More recently, *Velders et al.* [2007] investigated the radiative forcing effect of  
11 uncontrolled increases of ODSs, which are also strong greenhouse gases (GHG). They  
12 found that in a so-called "World Avoided" (WA) scenario, with a 3% to 7% annual  
13 growth of ODS emissions, the radiative forcing by ODSs could have nearly matched that  
14 of anthropogenic CO<sub>2</sub> in 2010. The study by *Velders et al.* [2007] motivated more in  
15 depth climate studies of the WA scenarios by *Morgenstern et al.* [2008] and *Newman et*  
16 *al.* [2008] based on experiments with coupled Chemistry Climate Models (CCMs).

17 *Newman et al.* [2008] utilized the Goddard Earth Observing System chemistry-  
18 climate model (GEOS-CCM) version 1 [*Pawson et al.*, 2008]. They carried out a  
19 transient WA simulation from 1974 to 2065 with an annual increase of ODS by 3%, one  
20 of the scenarios from *Velders et al.* [2007]. *Newman et al.* [2008] showed that 17% of  
21 the globally averaged column ozone is destroyed by 2020, and 67% is destroyed by 2065  
22 with subsequent effects on stratospheric temperature and circulation.

1           Using the UK Chemistry and Aerosols (UKCA) climate-chemistry model in a  
2 time slice mode, *Morgenstern et al.* [2008] determined the climate response between two  
3 simulations that are forced with total chlorine loading of 3.5 ppbv (values of late 1990's)  
4 and 9 ppbv (equivalent to our "Word Avoided" in approximately 2020). Both  
5 simulations use Atmospheric Model Intercomparison Project 2 (AMIP2) sea surface  
6 temperatures (SST) and sea ice for the period 1989 to 1999 as lower boundary forcing.  
7 *Morgenstern et al.* [2008] found warming over the Arctic and North America, and  
8 cooling over Eurasia during winter, consistent with changes in the Northern Hemisphere  
9 Annular Mode (NAM). They also linked the warming near the Antarctic Peninsula during  
10 spring to a shift of the Southern Hemisphere Annular Mode (SAM) towards its positive  
11 phase.

12           In a companion study to *Newman et al.* [2008], we analyze GEOS-CCM  
13 simulations in more detail to determine the NH climate impact of severe stratospheric  
14 ozone depletions during the first half of the 21<sup>st</sup> century (C21). We will relate the results  
15 to sensitivity experiments that simulate stratospheric ozone recovery consistent with the  
16 successful ratification of international treaties [*WMO/UNEP*, 2003].

## 1 **2. Model experiments**

2 Table 1 summarizes the five GEOS-CCM simulations utilized in this study,  
3 comprised of a WA-simulation (#1) and four reference simulations (#2 to #5). We  
4 investigate three simulations that cover both the late 20<sup>th</sup> century (C20) and the first half  
5 of the 21<sup>st</sup> century (C20C21), and two simulations that cover the whole 21<sup>st</sup> century  
6 (C21). All simulations use observed GHG changes for the C20 and the  
7 Intergovernmental Panel on Climate Change (IPCC) GHG scenario A1b for C21. They  
8 also use prescribed SSTs as lower boundary forcings from IPCC scenario A1b ocean  
9 simulations as indicated in Table 1. *Eyring et al.* [2006] showed that past NH polar  
10 ozone changes simulated with the GEOS-CCM agree with observations. In the reference  
11 simulations, halogen forcing is based on observations and the Ab scenario [*WMO/UNEP*,  
12 2003] for C20 and C21, respectively. The WA simulation (WA-CSST), forced with the  
13 3% annual ODS increase, uses CCSM2 SSTs from 1974 to 2049.

14 Figure 1 illustrates the polar cap (66°N-90°N average) April total ozone. In the  
15 WA simulation, polar cap April total ozone decreases from 482 DU to 174 DU between  
16 1974 and 2049 (Fig. 1). The 2001 to 2049 change is about 330 DU nearly twice the value  
17 observed from 1979 to 2000 in the Antarctic ozone hole [*Pawson et al.* 2008]. The runs  
18 C20C21-HSST and C20C21-CSST cover the period 1971 to 2049. The simulations show  
19 ozone decreases until 2000 of about 50 DU, stabilization at lower values until about  
20 2010, and an increase to pre-ozone hole values up to 2049 (Fig.1). C21-CSST and C21-  
21 HSST both exhibit a general increase of Arctic total ozone.

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### 1 **3. Results**

2 This study focuses on NH climate change during the first half of the 21<sup>st</sup> century,  
3 when the ozone changes in the WA-CSST simulation and the ensemble of the four  
4 reference simulations (C21-Rec) differ in sign (Fig. 1). Changes are investigated  
5 between the temporal means of the two 15 year periods 2001 to 2015 and 2035 to 2049.  
6 By comparing between changes for the WA-CSST and C21-Rec, the possible climate  
7 impacts of the Montreal Protocol can be determined.

8 Large changes of the annual cycle of stratospheric climate are observed in the  
9 WA-CSST experiment. Figures 2a-c show polar cap (66° to 90°N) ozone mixing ratio  
10 and temperature, and mid-latitude (50°N-70°N) zonal mean zonal wind. To address the  
11 significance of the NH stratospheric response, we compare the changes at stratospheric  
12 key levels between the WA-CSST and the ensemble mean C21-Rec (Figs. 2d-f) where  
13 the WA changes are largest in Figs. 2a-c. The 95% confidence intervals (red dashed lines  
14 in Figs. 2d-f) for the ensemble mean (solid red) are estimated using a t-test based on the  
15 standard deviation of changes in the four individual reference runs.

16 In the lower Arctic stratosphere, ozone decreases due to the increase of ODSs  
17 (Fig. 2a). Maximum ozone depletion of more than 2 ppmv can be found from January to  
18 April. These changes (e.g. at the 50 hPa level) significantly differ both in sign and  
19 magnitude from the ozone changes simulated in the C21-Rec ensemble (Fig. 2d). The  
20 ozone decreases in the troposphere (Fig. 2a) result solely from advection of depleted  
21 stratospheric ozone because the GEOS-CCM relaxes to a tropospheric ozone climatology  
22 below the tropopause. The lower stratospheric temperature cools (Fig. 2b) as a radiative  
23 response to the ozone depletion. Maximum cooling of 6K is found at the 100 hPa level

1 during April and May. Fig. 2e reveals that the C21-Rec ensemble does not show any  
2 significant temperature change and the cooling simulated in WA-CSST experiment is  
3 outside of the C21-Rec confidence interval for all months except January. The polar  
4 cooling increases the meridional temperature gradient in the lower stratosphere and  
5 causes westerly wind anomalies (Fig. 2c). At the 50-hPa level anomalies larger 2 m/s are  
6 found from February - July and October - November. Values larger than 5 m s<sup>-1</sup> are  
7 found in April consistent with the pronounced lower stratosphere cooling. Zonal wind  
8 changes during December - March are not statistically significant (Fig. 2f).

9 In general, the simulated NH polar stratospheric WA changes are consistent with  
10 observed [*Thompson and Solomon, 2002*] and simulated SH changes due to ozone  
11 depletion [e.g. *Perlwitz et al. 2008*]. Modeling studies showed that SH polar ozone losses  
12 have a strong impact on SH tropospheric circulation leading to a shift in the summertime  
13 SAM towards its positive phase [e.g., *Gillett and Thompson, 2003; Perlwitz et al. 2008*].  
14 Several mechanisms have been proposed by which stratospheric climate changes could  
15 induce dynamical changes in the troposphere. They include direct radiative response  
16 [*Grise et al., 2008*], downward control response [*Thompson et al., 2006*], and a  
17 subsequent change in tropospheric eddies [e.g. *Song and Robinson, 2004*] or a direct  
18 response of tropospheric eddies to changes in stratospheric flow [*Chen and Held, 2007*].

19 Next, we investigate the impact of severe stratospheric polar ozone depletion on  
20 the NH troposphere. Fig. 3a shows overlapping 3-month averages of 500 hPa zonal mean  
21 zonal wind, where the label indicates the center month of the 3-month overlapping  
22 period. During winter, zonal wind decreases are found between 30°N and 70°N. During  
23 the other three seasons, the structure of the changes is different, with zonal wind

1 increases between 50°N and 70°N and zonal wind decreases between 30°N and 50°N.  
2 This pattern is closely related to the NAM [*Thompson and Wallace, 2000*]. In Fig, 3b,  
3 we compare the changes in the NAM index. The pattern of the NAM was determined as  
4 the leading Empirical Orthogonal Function of the surface pressure field using the  
5 monthly fields of the C20C21-HSST simulation between 1971 and 2000. Clearly, the  
6 shift of the NAM index towards its positive phase in the WA scenario is significant  
7 during the late spring to fall season. During winter, the change in the NAM index is near  
8 zero and lies within the 95% confidence interval of the C21-Rec simulations. Thus, our  
9 results do not confirm *Morgenstern et al. [2008]*, who suggested a decrease of the NAM  
10 index during winter in their WA simulation, while changes during other seasons were not  
11 discussed.

12 Figure 3c illustrates the temporal development of the standardized NAM index  
13 averaged for April to November. We show the time series of 15-year running mean  
14 values both for the WA-CSST and the C21-Rec ensemble where the labeling indicates  
15 the center year of a 15-year period. During this period, the NAM index time series of the  
16 C21-Rec ensemble exhibits a slight decrease to a value of about -0.2. Meanwhile, the  
17 index for WA-CSST hardly changes until about 2030, and then rapidly increases to 0.6  
18 exceeding the confidence interval of the reference ensemble by about 2020.

19 Figure 4 compares the impact of this circulation change on lower troposphere  
20 temperature (left panels, Fig. 4) and upper air storm track activity (right panels, Fig. 4)  
21 for April to November averages. The latter is determined as the standard deviation of the  
22 2.5-6 day band pass filtered 500-hPa geopotential heights [*Blackmon, 1980*]. Figure 4a  
23 shows the 925 hPa temperature changes in the C21-Rec simulation, which exhibits the

1 known picture of tropospheric warming due to the GHG increase. The spatial pattern has  
2 a pronounced zonally symmetric structure with maximum warming of more than 2 K  
3 over polar latitudes. In the WA-CSST simulation (Fig. 4b), the warming over NH  
4 continents is enhanced (at least by 0.5 K) and most pronounced at sub-polar latitudes (1 -  
5 1.5 K) and over Southern Europe (1 K). The difference pattern (Fig. 4c), which  
6 resembles the “world avoided”, is consistent with the NAM-like circulation changes  
7 caused by horizontal temperature advection [*Thompson and Wallace, 2000*].

8         The April to November climatology (2001-2015) of 500hPa storm track activity  
9 (indicated by black solid lines in Fig. 4d and 4e) exhibits two main centers, one over the  
10 north Atlantic and one over the north Pacific. In the C21-Rec simulations (Fig. 4d),  
11 changes are small and show slight eastward expansion of the North Atlantic storm track  
12 and weakening of the north Pacific storm track. In the WA-CSST simulation (Fig. 4e and  
13 4f), a pronounced northward shift and enhancement of storm track activity can be found  
14 together with an eastward extension of the North Atlantic storm track. These features are  
15 consistent with the shift of the NAM towards its positive phase (Fig. 3c).

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1 **4. Discussion**

2 Climate simulations based on CCMs can be used to quantify the outcome of international  
3 treaties that limited production of ODSs. In our study, we focused on the possible impact  
4 of a 3% per year increase of ODS production on the NH climate during the first half of  
5 the C21.

6 While the ratification of the Montreal Protocol has an important benefit for human  
7 health by avoiding extreme surface ultraviolet radiation [*Newman et al.* 2008], we also  
8 showed that it avoided very strong tropospheric circulation changes. We found that  
9 severe stratospheric ozone depletion in the NH polar stratosphere would have triggered  
10 stratospheric temperature and circulation changes that would cause a shift of the NAM  
11 towards its positive phase during late spring, summer, and fall. Subsequent implications  
12 for NH continental temperature and storm track activity are such that GHG induced  
13 warming over the NH continents would be amplified by up 100% and storm track activity  
14 would move poleward and strongly intensify. These changes are similar in structure to  
15 changes due to ozone depletion observed in the SH during the last three decades.

16 This study also illustrates that the climate impacts of the Montreal Protocol have  
17 to be seen in the light of increasing anthropogenic GHG concentration. Clearly our  
18 experiments suggest possible benefits of the Montreal Protocol by avoiding both  
19 temperature increases over the NH continents and extra-tropical storm events with  
20 possible high societal impacts. However, we also illustrated the pronounced warming  
21 over the Arctic as well as continental temperature increase due to GHG increase.

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1           In the WA experiment, we prescribed SST and sea ice changes that are consistent  
2 with GHG increases of an IPCC scenario A1B. However, this lower boundary forcing  
3 does not take into account the radiative impact on SST that is caused by the increase of  
4 ODSs and the reduction of stratospheric ozone, both of which are also greenhouse gases.  
5 *Newman et al.* [2008] pointed out that SSTs used in the WA experiment are too cold  
6 compared with what would develop for the same scenario using a coupled atmosphere  
7 ocean model. Thus, a model configuration in which the CCM is coupled to an ocean/sea  
8 ice model is necessary to also consider the effect on ocean and sea ice changes and  
9 determine the full response of the climate system. Nonetheless, our results clearly  
10 illustrate the direct effects of stratospheric temperature and circulation changes of a WA  
11 scenario on the troposphere. For a more complete assessment of the Montreal Protocol  
12 accomplishments, various ODS scenarios should be investigated and simulations with  
13 other CCMs should be carried out.

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1 **Table 1 Time Period, SST Data set, Scenarios for Halogens and GHG for GEOS**  
 2 **CCM Experiments**

Number	Experiment	Period	SST	Halogens	GHG
1	WA-CSST	1974 - 2049	CCSM2	+ 3% /year	Observed/A1b
2	C20C21- HSST	1971 - 2049	HadGEM	Observed/WMO Ab	Observed/A1b
3	C20C21- CSST	1971 - 2049	CCSM2	Observed/WMO Ab	Observed/ A1b
4	C21-HSST	1996 - 2099	HadGEM	WMO Ab	A1b
5	C21-CSST	2001 - 2099	CCSM3	WMO Ab	A1b

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1 **Figure Captions:**

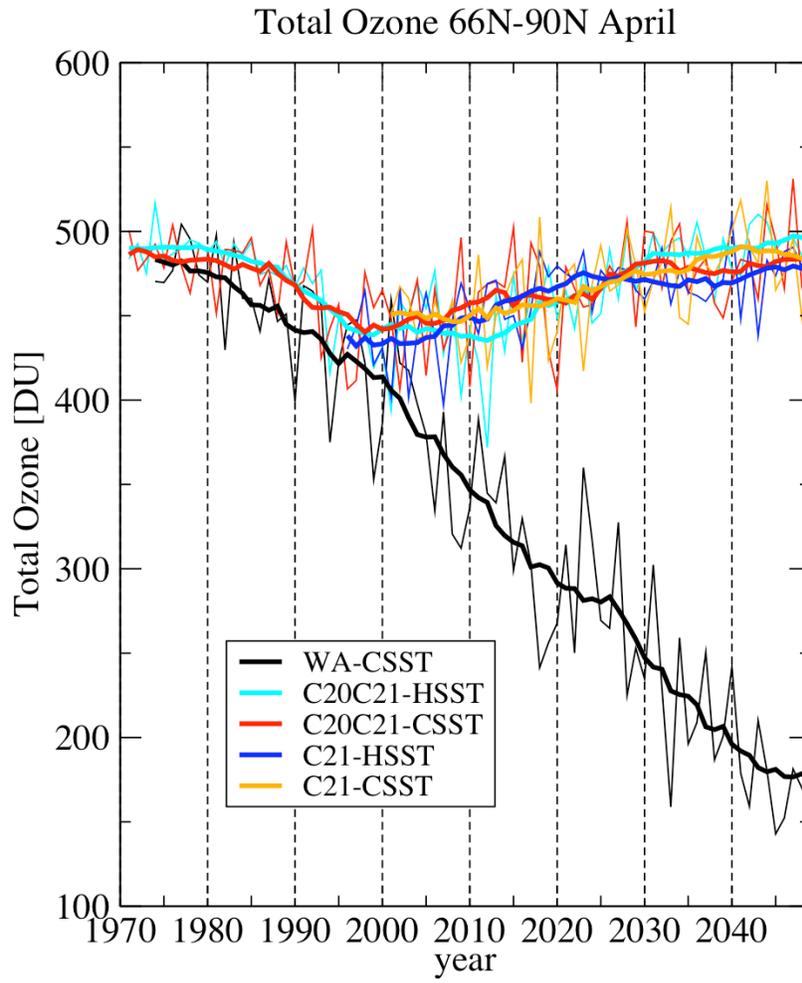
2 **Figure 1:** Time series of NH polar cap (66°N - 90°N) total column ozone in April for  
3 experiments shown in Table 1. Thick lines show 11-year running mean.

4 **Figure 2:** *Top:* Monthly changes (2035-2049 minus 2001-2015) in (a) polar cap ozone  
5 (66°N - 90°N), (b) polar cap temperature (66°N - 90°N), (c) mid-latitude zonal wind  
6 (50°N - 70°N) for experiment WA-CSST. *Bottom:* Monthly changes (2035-2049 minus  
7 2001-2015) at specific levels for WA-CSST (black) and C21-Rec (red) for d) 50 hPa  
8 polar cap ozone (66°N - 90°N), (e) 100 hPa polar cap temperature, and f) 50 hPa mid-  
9 latitude zonal wind. The dashed lines in panels d, e, and f indicate 95% confidence level  
10 for the C21-Rec simulations.

11 **Figure 3:** (a) 3-month overlapping changes (2035-2049 minus 2001-2015) in NH 500  
12 hPa zonal mean zonal wind in [ $\text{m s}^{-1}$ ]. (b) 3-month overlapping changes of standardized  
13 NAM index. (c) The 15-year running mean time series of April to November mean  
14 NAM index. In (b) and (c) black lines indicates the WA-CSST run, red lines indicates  
15 C21-Rec, and the dashed lines indicate the 95% confidence level.

16 **Figure 4:** *Left:* April to November changes (2035-2049 minus 2001-2015) of 925hPa  
17 temperatures [K]. *Top:* C21Rec, *middle:* WA-CSST and *bottom:* WA-CSST minus  
18 C21Rec. *Right:* Same as the left panels but for standard deviation of bandpass filtered  
19 (2.5-6 days) 500 hPa geopotential heights [m]. Solid lines in 4d and 4e indicate  
20 climatology determined from 2001-2015 mean. Shading in 4c and 4f indicate statistical  
21 significance at least at the 95% confidence level.

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2 Figure 1:

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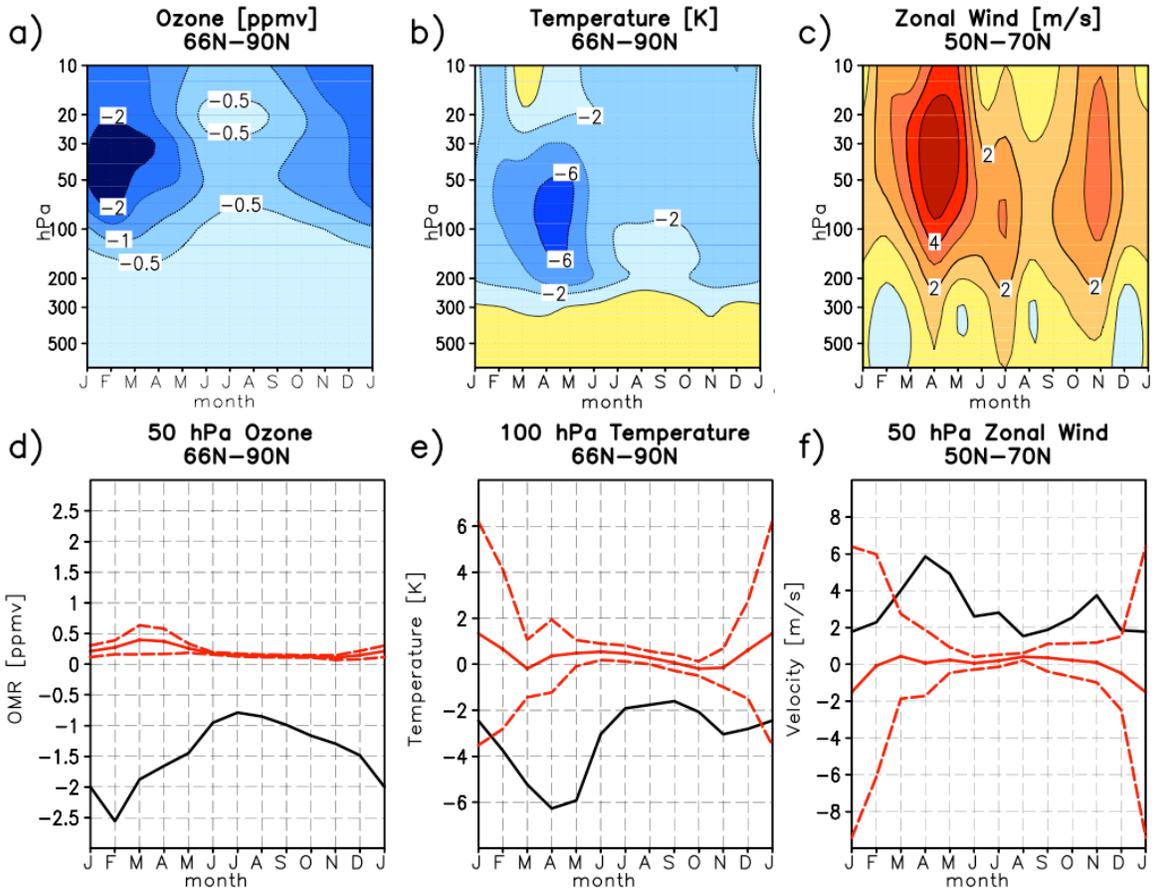
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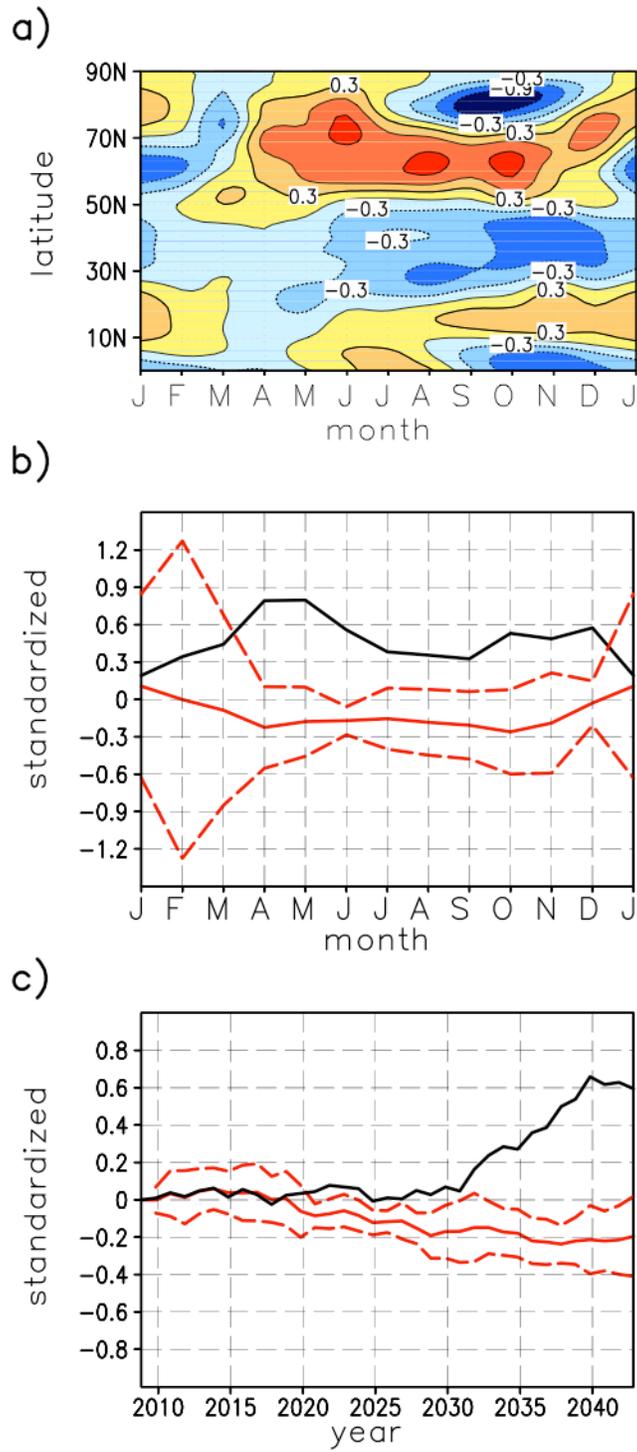
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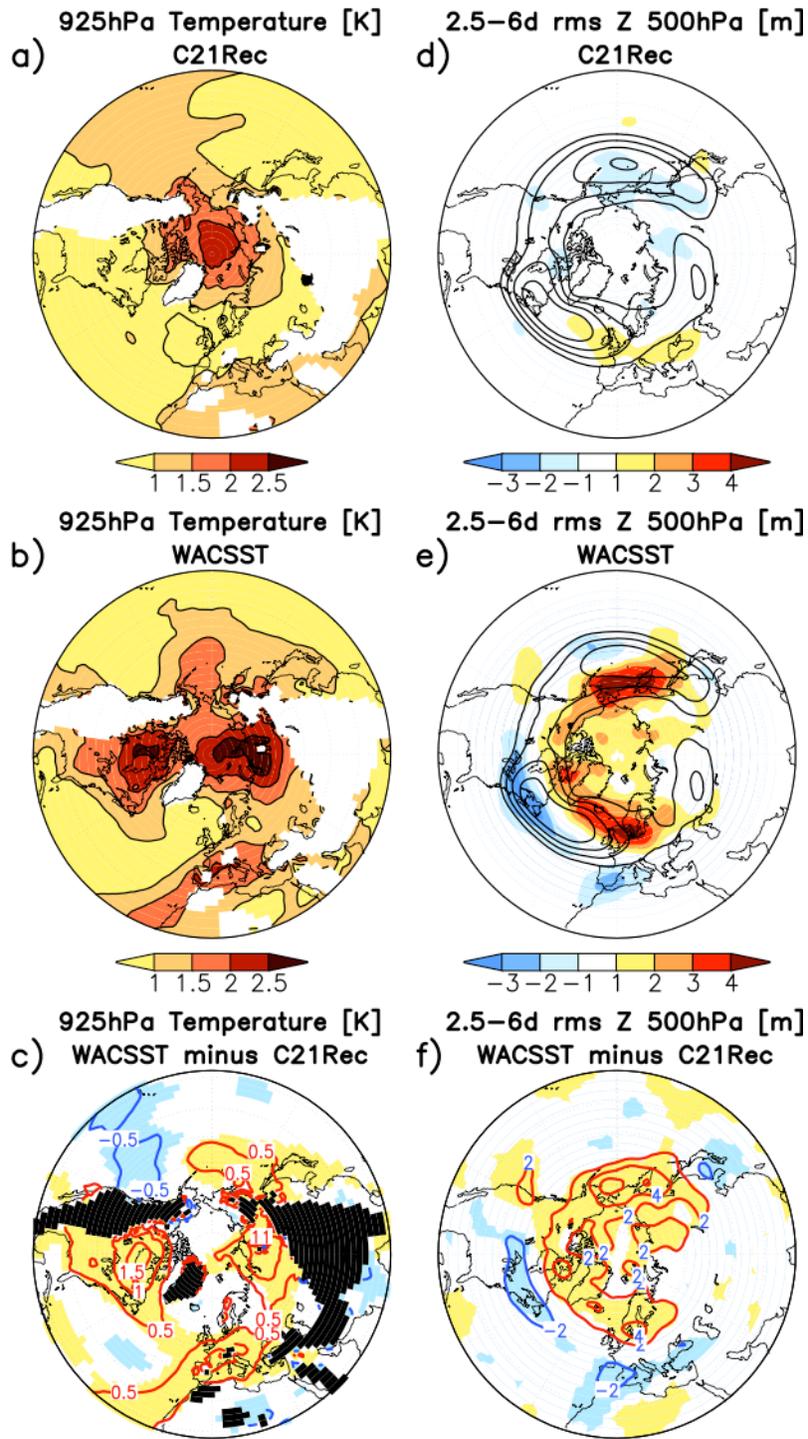
Figure 2:



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Figure 4.