



# Improving Air Quality Forecasting through Incremental Reduction of Input Uncertainties – focus on episodic fire emissions

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# Governing Equation and Inputs Affecting AQF Results



$$\frac{\partial(\bar{\varphi}_i J_\xi)}{\partial t} + m^2 \nabla_\xi \cdot \left( \frac{\bar{\varphi}_i \bar{V}_\xi J_\xi}{m^2} \right) + \frac{\partial(\bar{\varphi}_i \bar{V}^3 J_\xi)}{\partial x^3}$$

$$+ m^2 \frac{\partial}{\partial x^1} \left[ \frac{\bar{\rho} J_\xi}{m^2} \hat{F}_{q_i}^1 \right] + m^2 \frac{\partial}{\partial x^2} \left[ \frac{\bar{\rho} J_\xi}{m^2} \hat{F}_{q_i}^2 \right] + \frac{\partial}{\partial x^3} \left[ \bar{\rho} J_\xi \hat{F}_{q_i}^3 \right]$$

$$= J_\xi R_{\varphi_i}(\bar{\varphi}_1, \dots, \bar{\varphi}_N) + J_\xi Q_{\varphi_i} + \left. \frac{\partial(\bar{\varphi}_i J_\xi)}{\partial t} \right|_{\text{cld}} + \left. \frac{\partial(\bar{\varphi}_i J_\xi)}{\partial t} \right|_{\text{aero}} + \left. \frac{\partial(\bar{\varphi}_i J_\xi)}{\partial t} \right|_{\text{ping}}$$

Quality of forecasting depends on both model formulations and inputs.

For AQF, daily meteorology is the main driver, but IC/BC & emissions can affect forecasting quality greatly.

Often current AQFs do not have event-based emission inputs.

Demonstrate how an AQF can be improved by re-initialization or further by improving episodic emission inputs

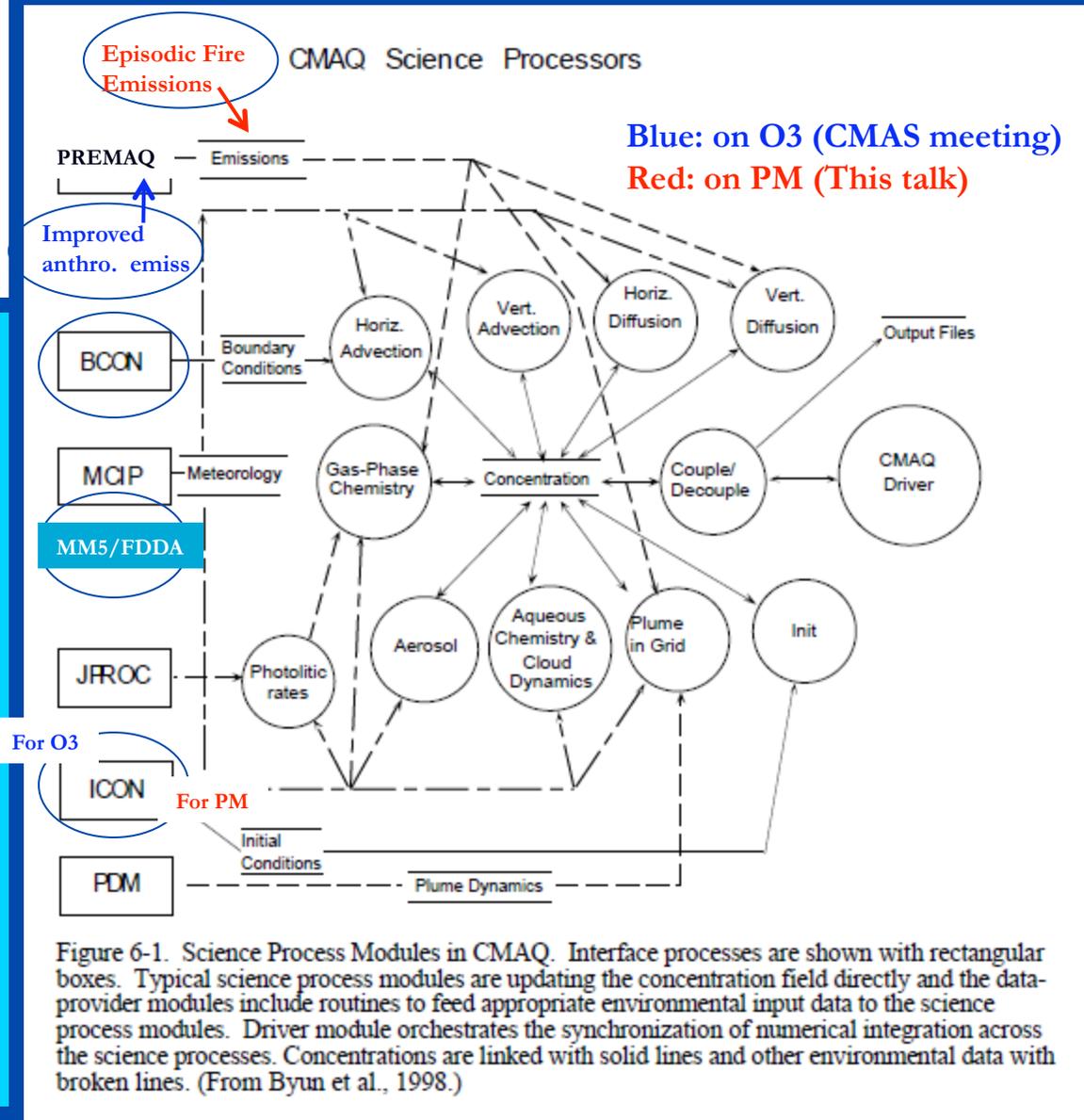


Figure 6-1. Science Process Modules in CMAQ. Interface processes are shown with rectangular boxes. Typical science process modules are updating the concentration field directly and the data-provider modules include routines to feed appropriate environmental input data to the science process modules. Driver module orchestrates the synchronization of numerical integration across the science processes. Concentrations are linked with solid lines and other environmental data with broken lines. (From Byun et al., 1998.)



# How to Improve AQF when Episodic Emissions Are Missing?

- Show problems with UH AQF (36-km CONUS & 12-km Texas and Surrounding States)
- Example of improvement of CMAQ by means of adjusting aerosol ICs with MODIS-derived AOD (for UH CONUS)
- Example improvement of CMAQ by adding fire emissions from satellite obs. (with UH CONUS & 12-km domains)

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## Implementation of Episodic Emissions in NOAA NAQFS

- On-going implementation of HMS/Bluesky/HYSPLIT fire emissions for NAQFS
- On-going implementation of wind-driven dust in NAQFS



## Improvement of UH-AQF without episodic fire emissions with improved IC

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IMAQS

- Errors in the IC can cause serious problems during the early part of the simulation.
- Hypothesis: Using IC based on satellite obs. can improve model predictions of PM<sub>2.5</sub>
- Many studies [Gupta et al. (2006), Engel-Cox et al. (2004), Kittaka et al. (2004)] revealed that the satellite-derived AOD and ground-based PM concentration are well correlated
- High possibility of improving AQF accuracy if AOD is used
- Retrospective test simulations with IC from MODIS-AOD and AQS PM<sub>2.5</sub>

Demonstrate if performance of CMAQ aerosol simulation can be improved by means of adjusting aerosol ICs with MODIS-derived AOD.

MODIS = Moderate Resolution Imaging Spectroradiometer

AOD = Aerosol Optical Depth, AQS = EPA Air Quality System

# How IC was updated with AOD

- MODIS-derived AOD Product
  - Total AOD = 'Optical\_Depth\_Land\_And\_Ocean'
  - Fine mode fraction = 'Optical\_Depth\_Ratio\_Small\_Land\_And\_Ocean'

$$AOD_f = AOD_t \times FineModeFraction$$

- CMAQ-derived AOD estimation
  - 'Reconstructed mass-extinction' method.  
Malm et al. (1994), Binkowski and Roselle (2003), Roy et al. (2007)

$$AOD_{model} = \sum_{i=1}^N (\beta_{sp} + \beta_{ap})_i \Delta Z_i$$

Extinction coeff. for particle scattering & absorption  
Model layer thickness

$$\beta_{sp} = (0.003) f(RH) [\text{Sulfate} + \text{Nitrate} + \text{Ammonium}] + (0.004) [\text{Organic Mass}]$$
$$+ (0.001) [\text{Fine Soil}] + (0.0006) [\text{Coarse Mass}]$$

$$\beta_{ap} = (0.01) [\text{Light Absorbing Carbon}]$$

Use Cressman Successive Correction method (Cressman, 1959)

Two iterations with reducing radius of influence (R)

1st: R = 3 grid-length (108km)

2nd: R = 2 grid-length (72km)

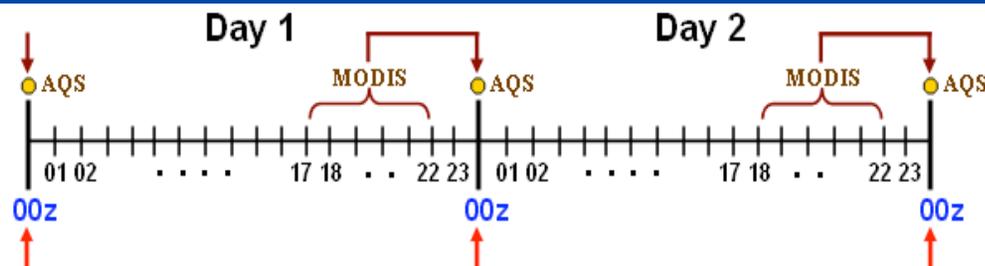


# Methodology

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- Two step adjustments of aerosol initial conditions



## Two Step adjustments of aerosol initial conditions

### < Step 1: whole layer adjustment >

- (1) First Guess: CMAQ\_AOD (reconstructed mass extinction)
- (2) Observation: MODIS\_AOD (drop-in-grid)
- (3) Analysis field: Objective analysis (Cressman scheme)
- (4) Ratio = Analysis/First\_Guess
- (5) *Adjusted ICs = CMAQ first guess conc. X Ratio*

### < Step 2: surface layer adjustment >

- (1) First Guess: Step 1 adjusted ICs
- (2) Observation: AQS hourly PM2.5 data
- (3) Analysis field: Objective analysis (Cressman scheme)
- (4) Ratio = Analysis/First\_Guess
- (5) *Adjusted ICs = CMAQ first guess conc. X Ratio*

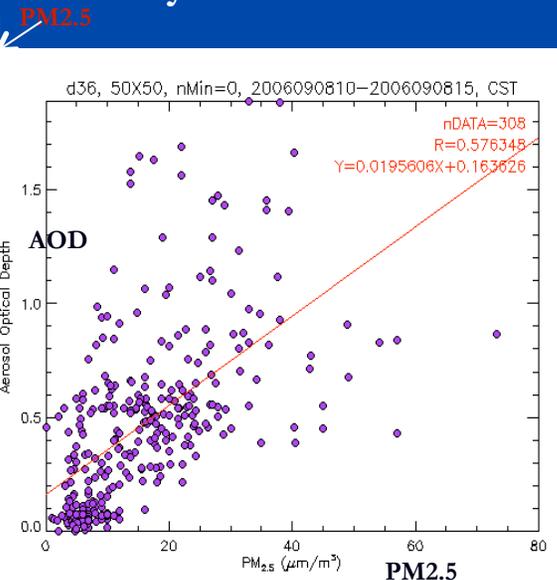
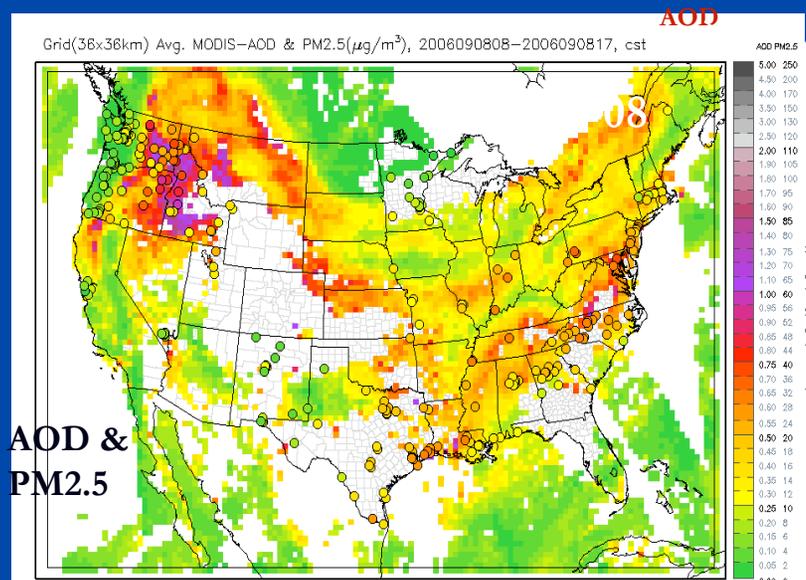
$$Adjusted\ CONC = CONC_{firstguess} \times \frac{AOD_{analysis}}{AOD_{firstguess}}$$

Species name	CMAQ variable names
Sulfate	ASO4J + ASO4I
Nitrate	ANO3J + ANO3I
Ammonium	ANH4J + ANH4I
Organic Mass	AORGAJ+AORGAI + AORGPJ+AORGPJ + AORGBJ+AORGBI
Fine Soil	A25J
Coarse Mass	ACORS+ASOIL
Light Absorbing Carbon	AECJ+AECI

**Total AOD: used all species IC adjustment**  
**Fine AOD & PM2.5: exclude Coarse Mass**

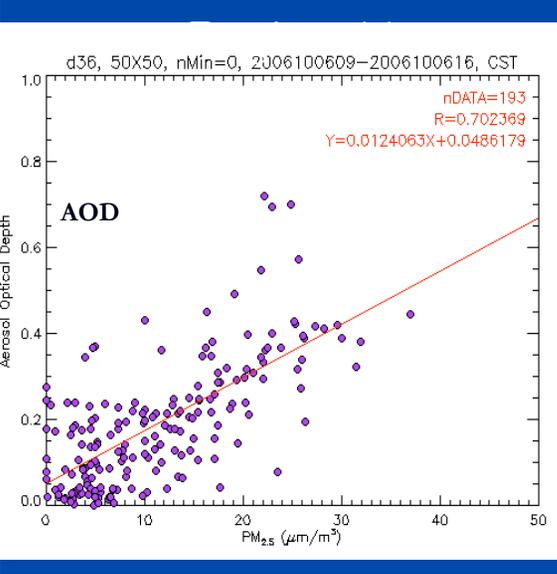
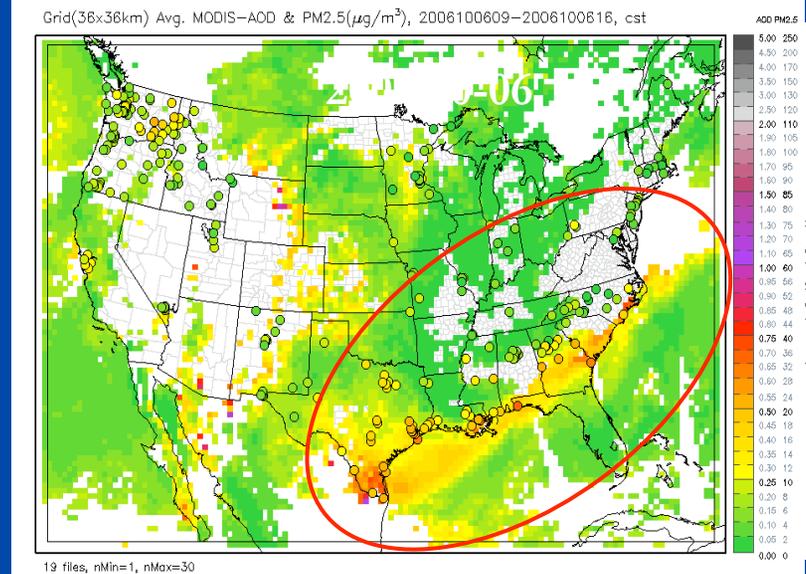
# Correlation between MODIS AOD and AQS PM2.5

AOD-PM2.5 relationship provides the fundamental basis of this study.



## 9/8/2006 Wildfires

Fires in NW + local emission  
continental haze day  
High AOD, low PM2.5  
due to smoke plume height



AOD: surface to TOA  
PM2.5: surface

## 10/6/2006 Regional haze

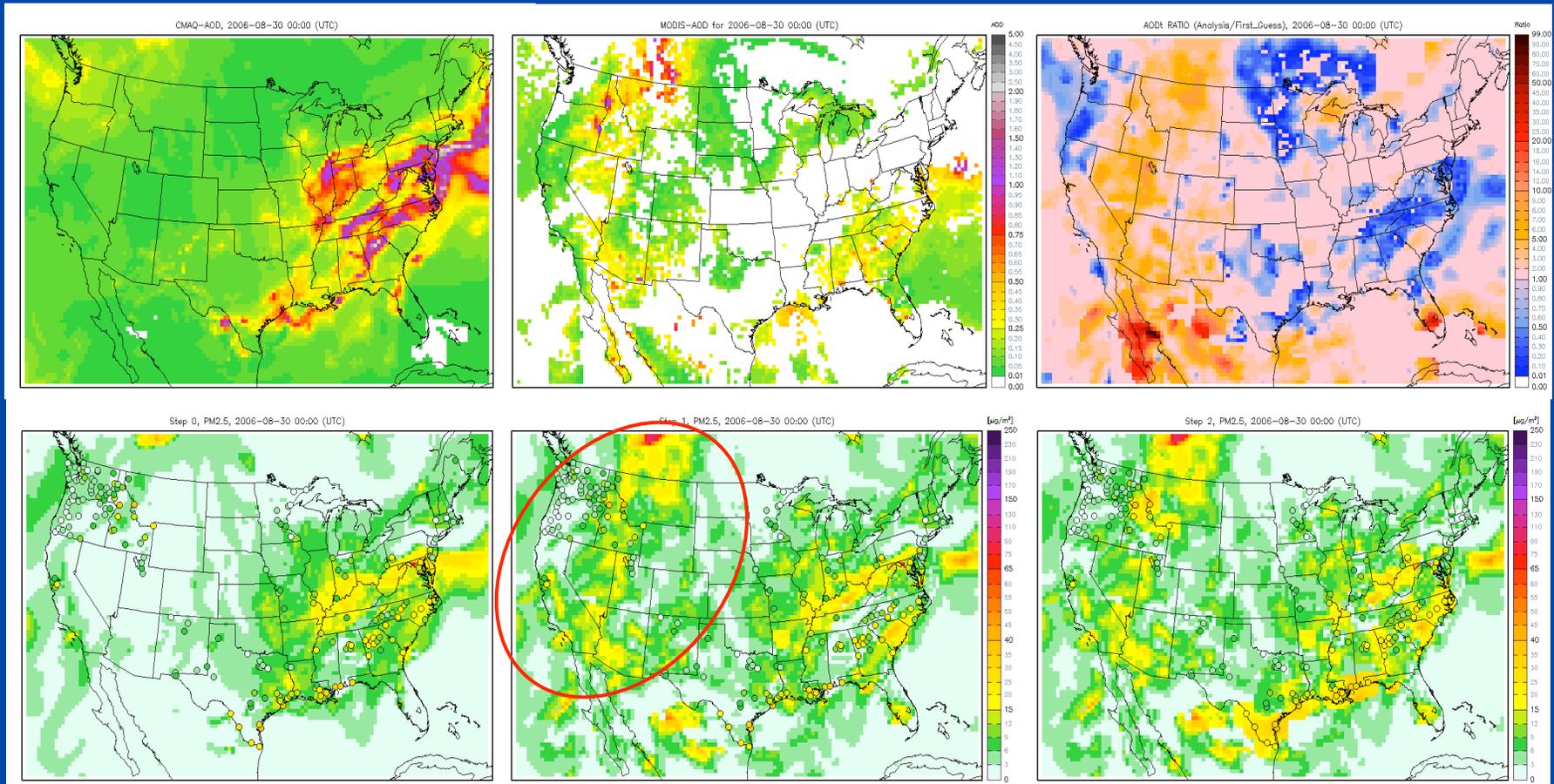
High AOD & PM2.5 in SE well correlated

# Aerosol IC adjustments with Total AOD on 8/30/2006: first day example

< CMAQ AOD<sub>t</sub> >

< MODIS AOD<sub>t</sub> >

< AOD<sub>t</sub> Ratio >



< PM2.5: Original IC >

< PM2.5: After step 1 >

< PM2.5: After step 2 >

(1) Analysis field: CMAQ AOD<sub>t</sub>=First guess, MODIS AOD<sub>t</sub>=Obs. by Cressman scheme

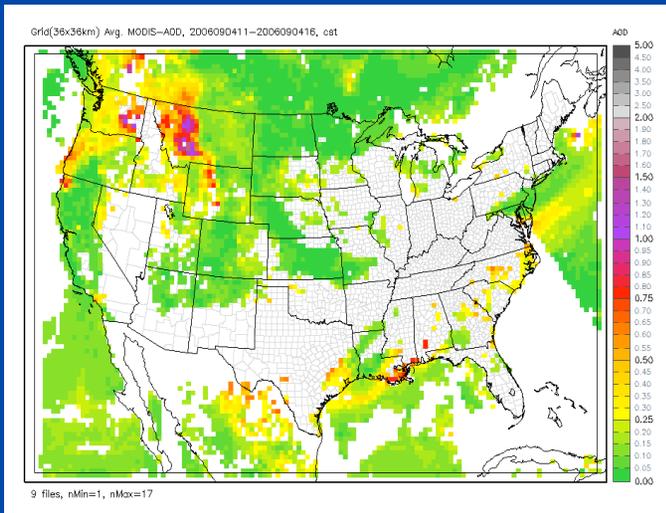
(2) AOD<sub>t</sub> ratio= Analysis/First guess

After Step 1 and Step 2 adjustments, Original PM2.5 IC are closer to obs

In W & NW region, PM2.5 IC are increased

# CMAQ predicted PM2.5 on 9/3~9/5/2006 (wildfire) - Total AOD adjustment

< MODIS AOD >



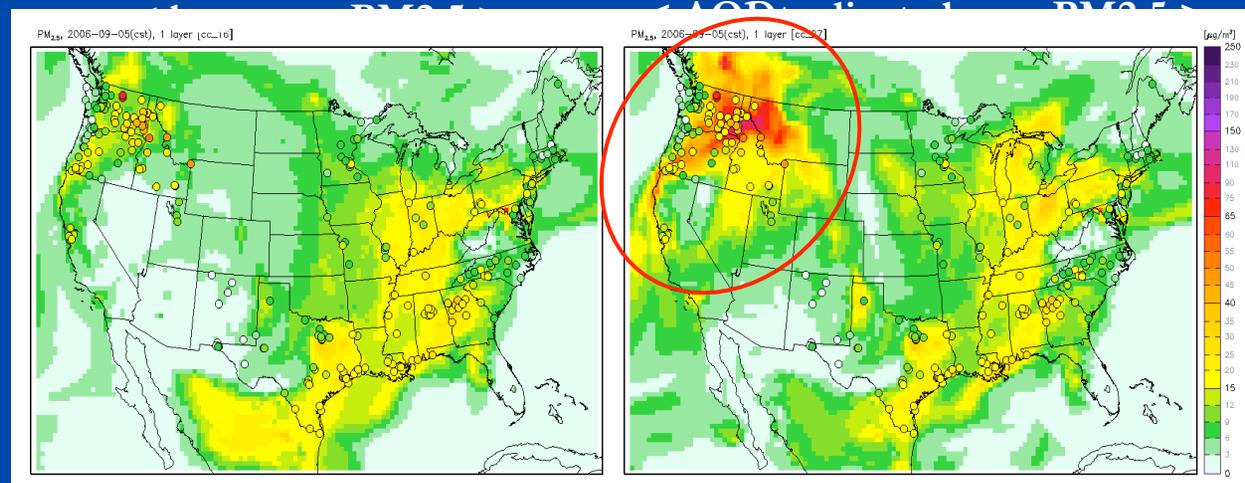
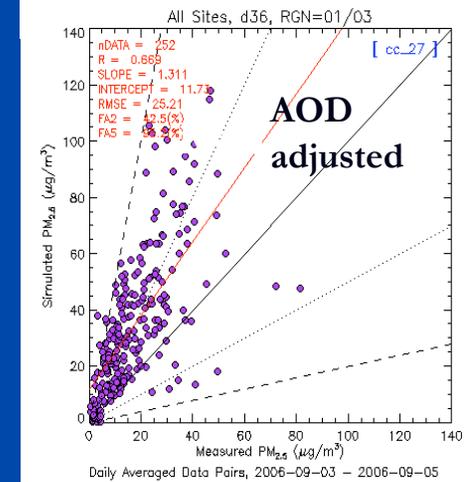
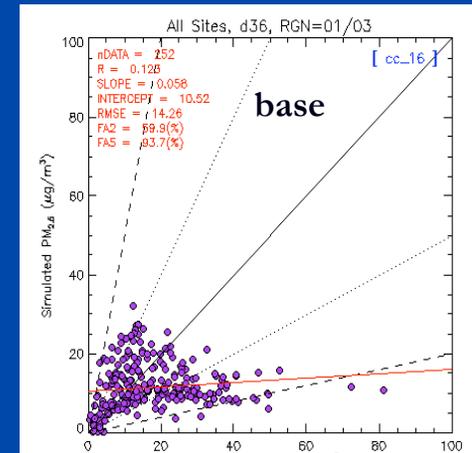
MODIS

Wildfires in NW: high AOD  
Regional haze in SE

CMAQ

Central & E region=similar  
Base case: low in NW  
AODt case: high in NW

[ Western region ]



Total AOD case simulated PM2.5 much better in NW region

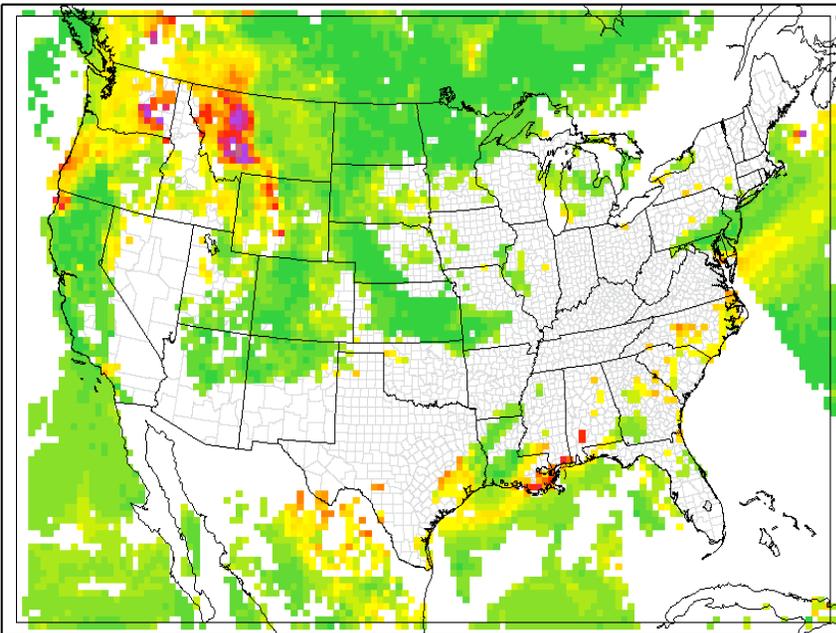
- but, unrealistic high peak PM2.5 appeared (obs=50, CMAQ=120ug/m<sup>3</sup>)
- possibly due to elevated smoke plume (no consideration of vertical distribution) & large uncertainties in coarse mass emissions

## CMAQ predicted PM<sub>2.5</sub> on 9/3~9/5/2006 (wildfire) - Fine mode AOD adjustment

Due to unrealistic high peak PM<sub>2.5</sub> with Total AOD case, in AOD<sub>f</sub> adjustment, coarse masses are excluded in this adjustment

< MODIS AOD<sub>t</sub> >

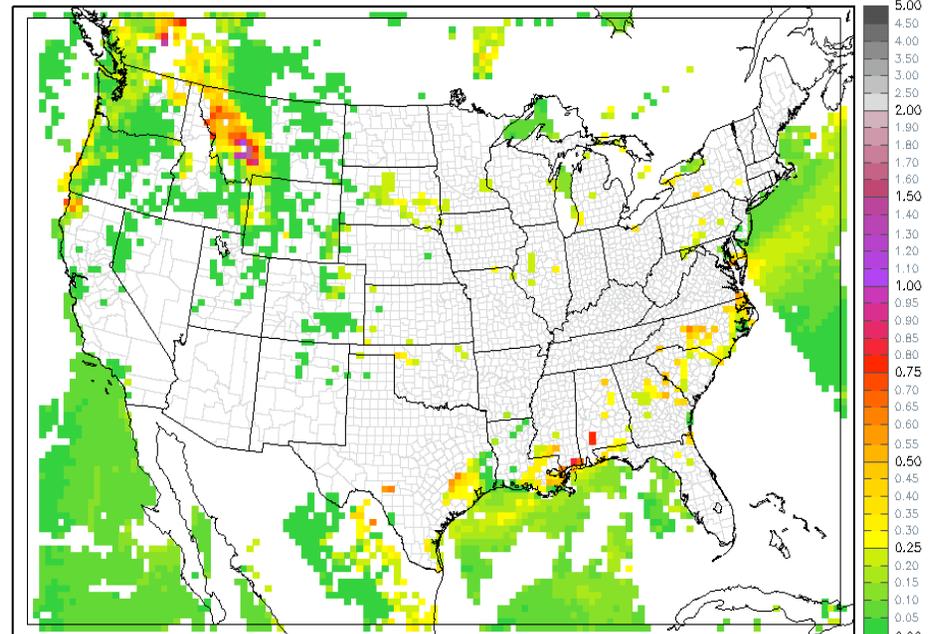
Grid(36x36km) Avg. MODIS-AOD, 2006090411-2006090416, cst



9 files, nMin=1, nMax=17

< MODIS AOD<sub>f</sub> >

Grid(36x36km) Avg. MODIS-AOD<sub>f</sub>, 2006090411-2006090416, cst



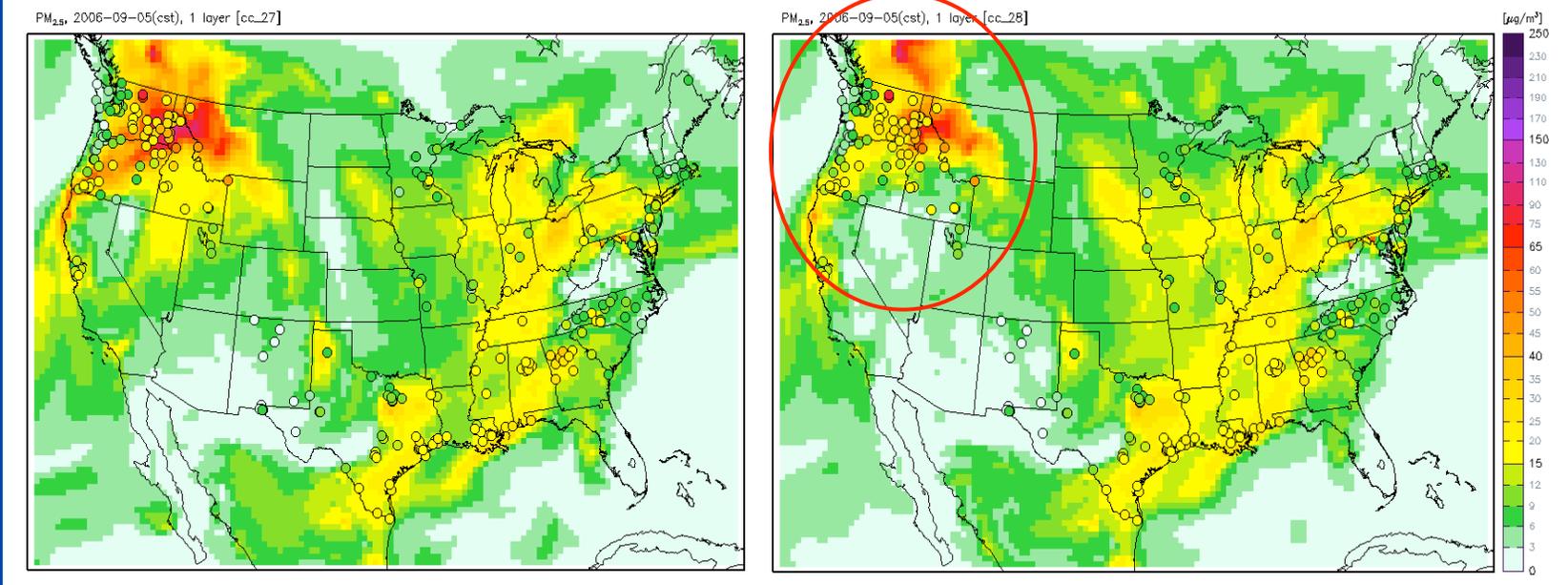
9 files, nMin=1, nMax=14

### Total AOD vs Fine mode AOD

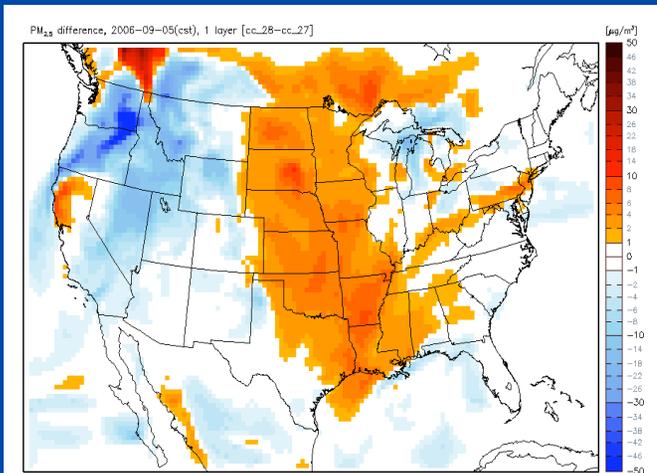
- NW: reduction of numerous number of pixels with decreased AOD values
- SE: AOD values remain almost the same
- NW: coarse aerosols from fires are dominant
- SE: fine aerosols produced by local pollution are dominant

# CMAQ predicted PM2.5 on 9/3~9/5/2006 (wildfire) - Fine mode AOD adjustment

< AODt adjusted case PM2.5 >      < AODf adjusted case PM2.5 >



< difference: AODf-AODt >



## SE in Fine mode AOD case

decrease/increase by  $1\sim 10\mu\text{g}/\text{m}^3$   
similar to Total AOD case  
well simulated

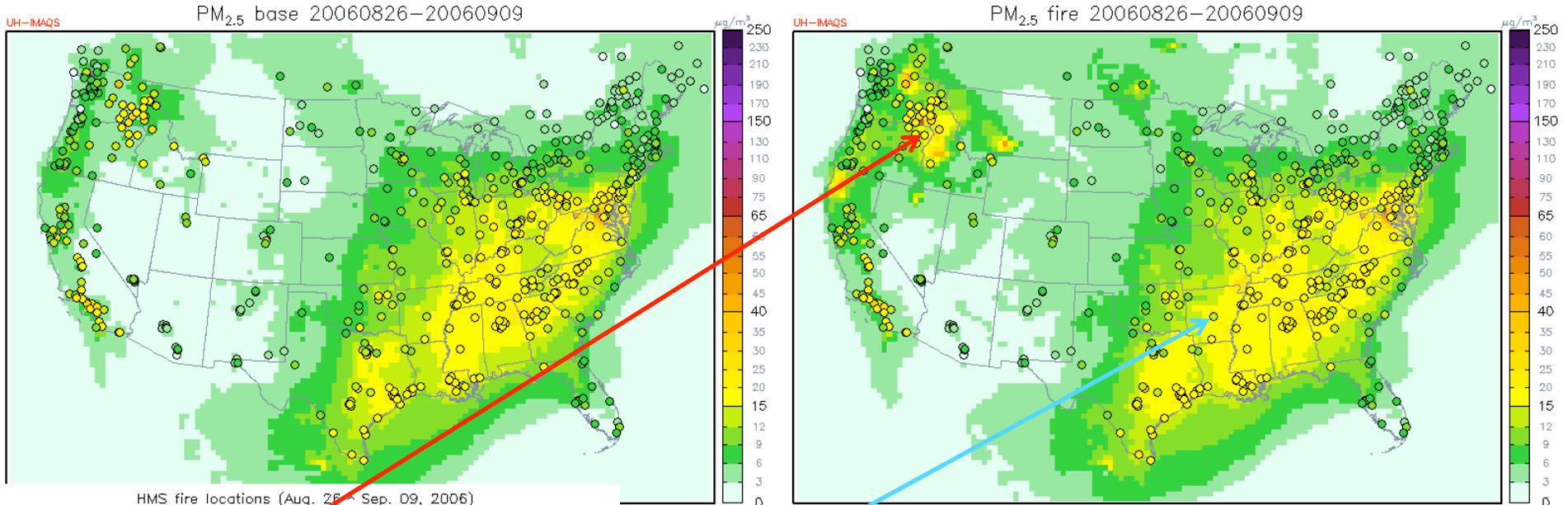
## NW in Fine mode AOD case

decreased PM2.5 by  $-10 \sim -50\mu\text{g}/\text{m}^3$   
reduced unusual high peak cells  
more realistic spatial distribution of PM2.5

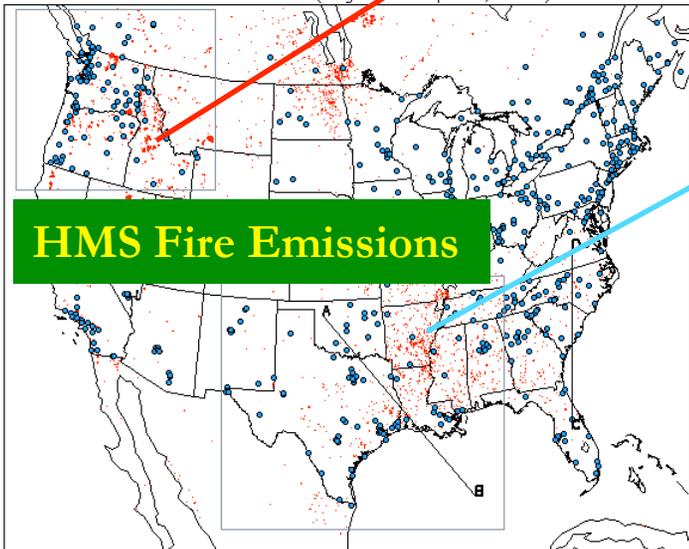
# Now with HMS Fire Emissions Included (no IC Correction)

< Base Case >

< With HMS fire emissions >



HMS fire locations (Aug. 26 - Sep. 09, 2006)



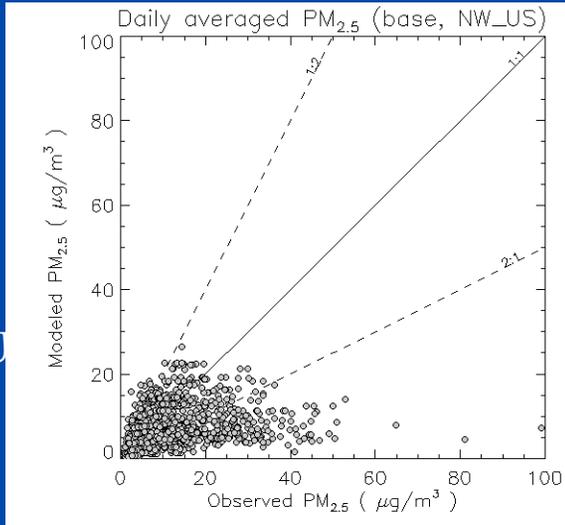
## CMAQ-ready emissions ( $\text{g s}^{-1}$ )

	CONUS			NW_US			TX		
	base	fire	change	base	fire	change	base	fire	change
PEC <sup>2</sup>	7847.82	15750.4	100.70%	595.818	4711.93	690.83%	1361.39	2675.18	96.50%
PMFINE <sup>2</sup>	125693	149752	19.14%	7313.65	19844.9	171.34%	30867.5	34867.3	12.96%
POA <sup>2</sup>	30170.7	86015.6	185.10%	2766.64	31853.8	1051.35%	5749.22	15033.3	161.48%

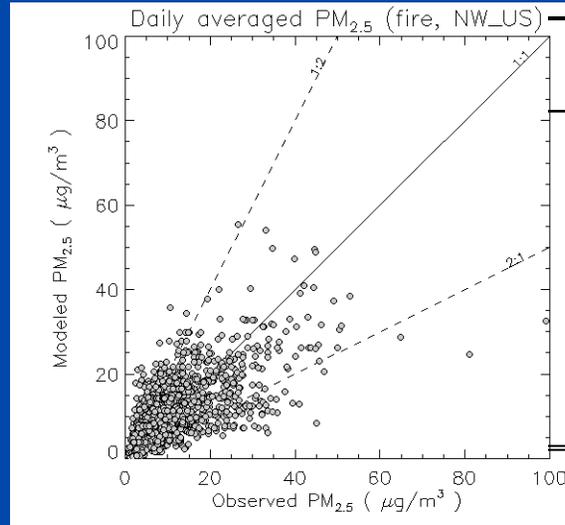
Inclusion of HMS fire emissions improved model

# Now with HMS Fire Emissions Included (no IC Correction)

< Base Case >



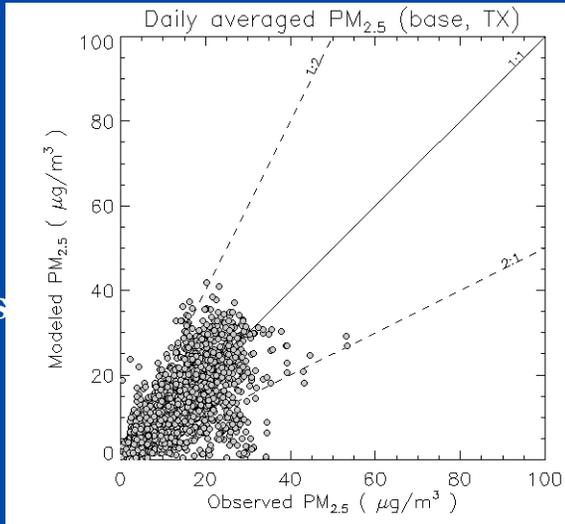
< With HMS fire emissions >



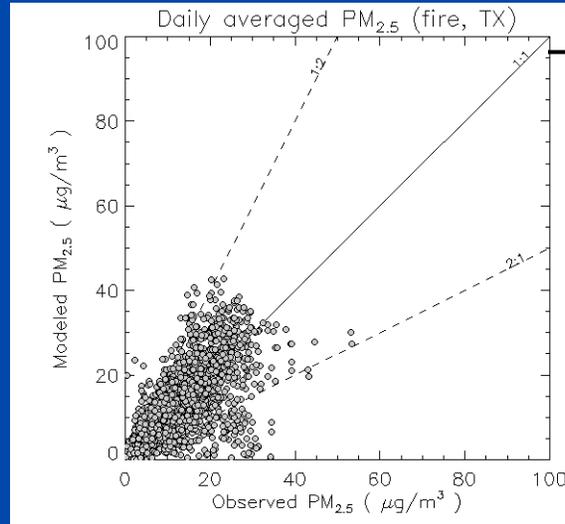
	E12			TX		
	base	fire	AQS	base	fire	AQS
ASO4	5.44	5.48	4.82	4.95	5.01	4.45
OC	1.45	1.89	3.79	1.65	2.41	3.43
EC	0.22	0.27	0.6	0.26	0.35	0.6
ANO3	0.77	0.79	0.47	2.43	2.5	0.52
PM2.5	15.2	16.3	15.39	15.2	16.3	15.39
OC/PM2.5	0.095	0.12	0.25	0.11	0.15	0.22
EC/PM2.5	0.014	0.02	0.04	0.017	0.02	0.039

NW US

Daily averaged PM<sub>2.5</sub> (base, TX)



Daily averaged PM<sub>2.5</sub> (fire, TX)



$\mu\text{g}/\text{m}^3$

With fire E, slight Overprediction of PM2.5

Not much Change in ASO4  
But it was overpredicted even for Base case

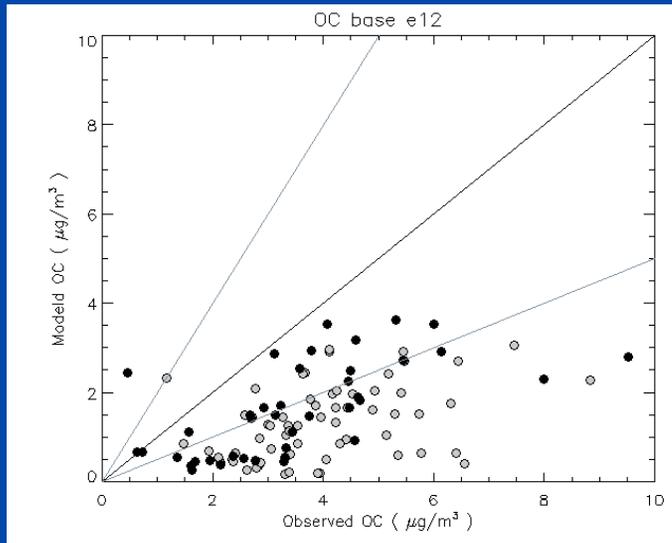
Texas

# Now with HMS Fire Emissions Included (but no IC Correction)

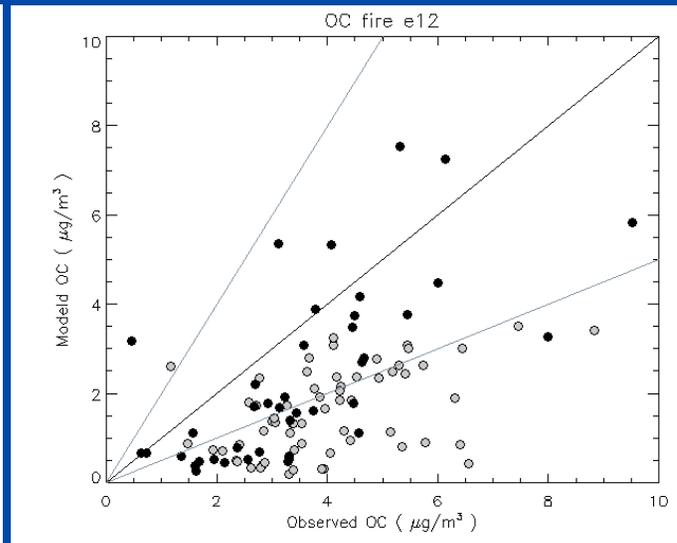
Texas

OC

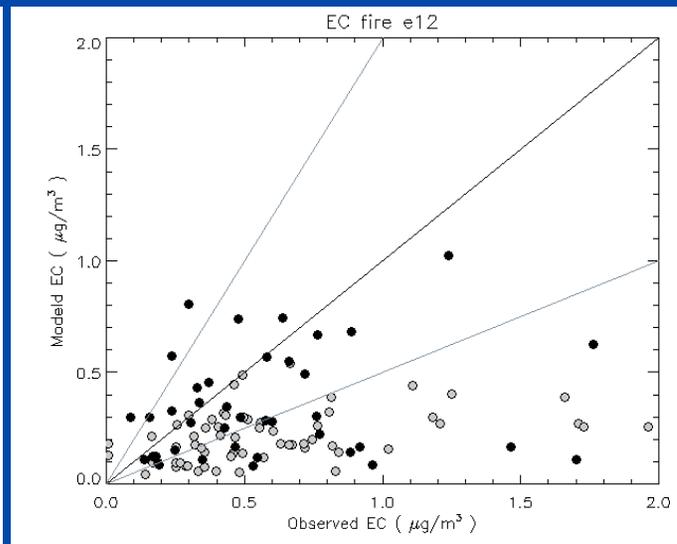
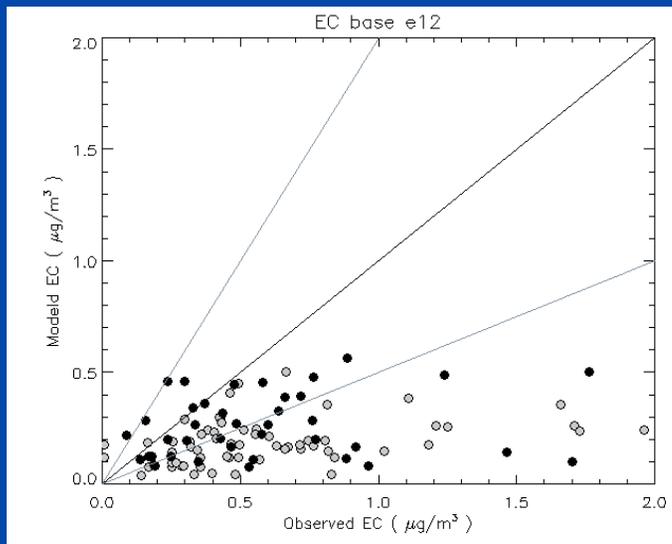
< Base Case >



< With HMS fire emissions >



EC





## Interim Summary for Improving AQF

### In order to improve CMAQ aerosol predictions,

the aerosol IC are adjusted at the simulation start time-step utilizing the MODIS-derived AOD and AQS PM<sub>2.5</sub> observations.

### In case of aerosol events such as wildfires

- impacts of IC adjustments could be significantly big
- due to lack of episodic fire emission inputs, CMAQ could not simulate the event
- such deficiencies can be mitigated by improved IC with MODIS-AOD & AQS

### Wildfire case with total AOD adjustment,

- CMAQ could simulate high PM<sub>2.5</sub>, but unrealistic high peak values appeared, due to uncertainties in coarse mass emissions and elevated smoke plume

### Wildfire case with fine mode AOD adjustment,

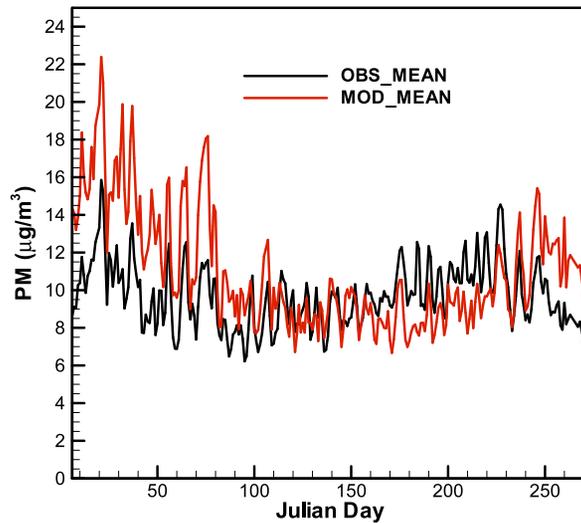
- Helped reducing unrealistic high peak PM<sub>2.5</sub> concentrations

### Wildfire case with HMS fire emissions,

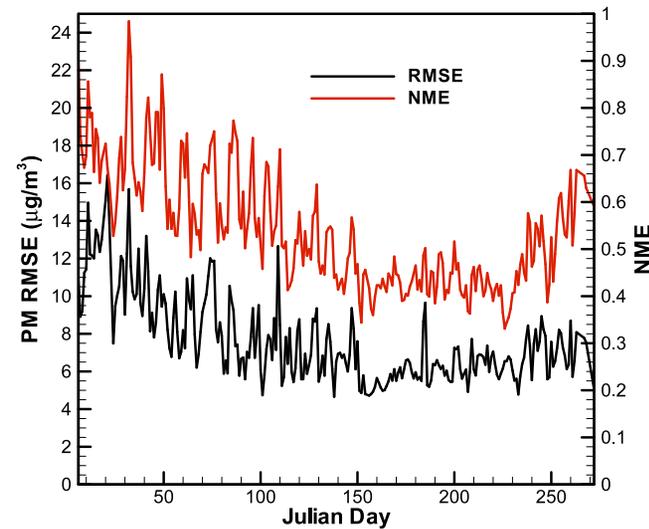
- Improves simulation of PM<sub>2.5</sub> (in particular for EC and OC)

# 2009 NOAA NAQF Performance –Developmental PM2.5, US (CB05/Aero4, Daily Max)

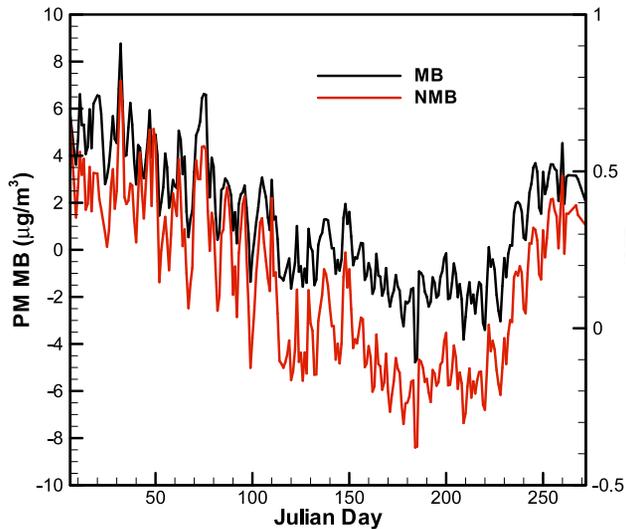
OBS mean  
CMAQ mean



RMSE  
Normalized  
Mean Error

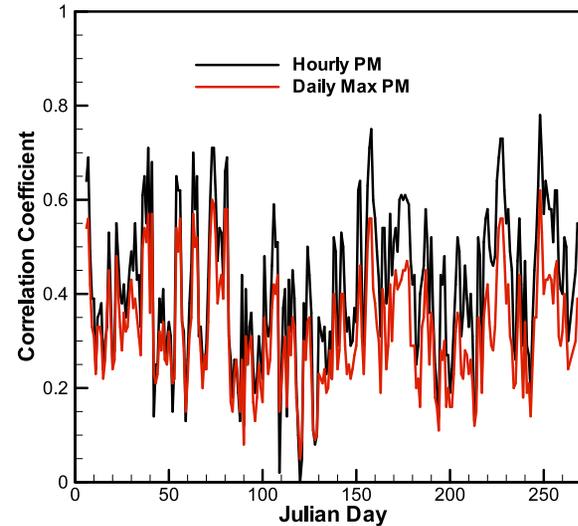


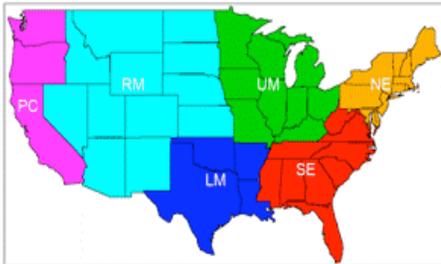
Mean Bias  
Normalized  
Mean Bias



Correlation  
(hourly)

Correlation  
(daily max)





Rock Mountain  
Region

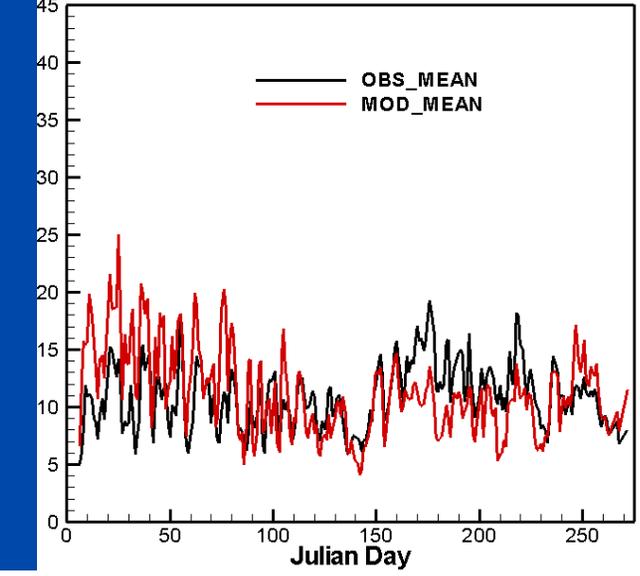
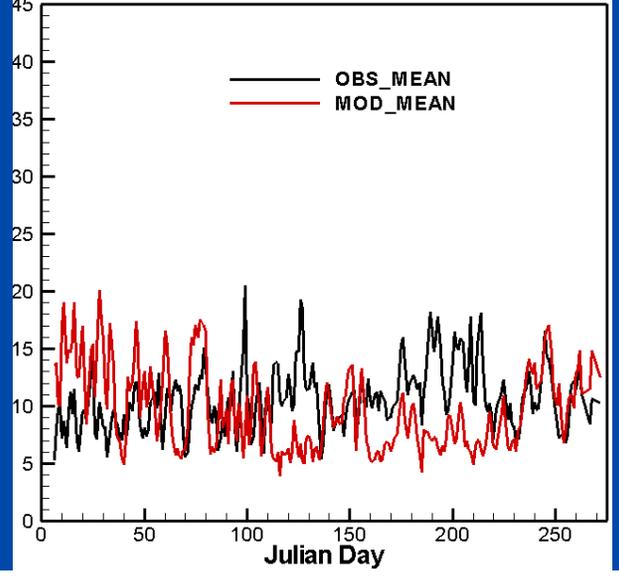
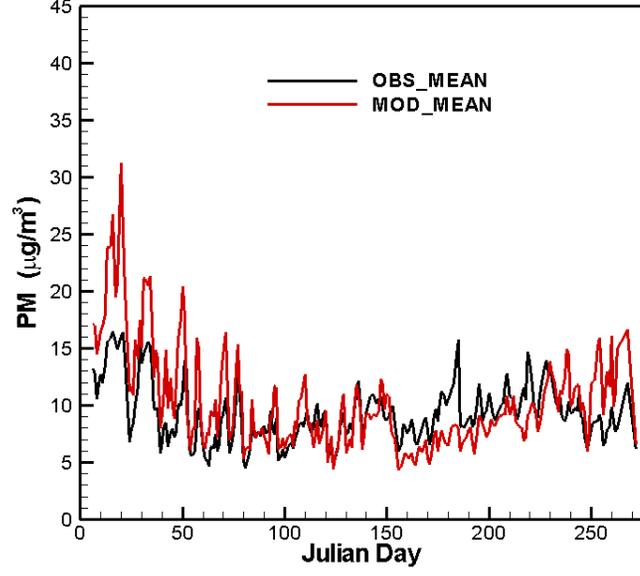
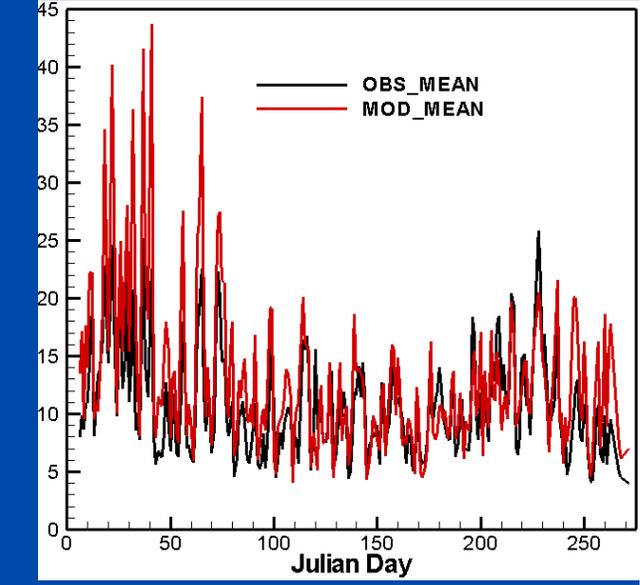
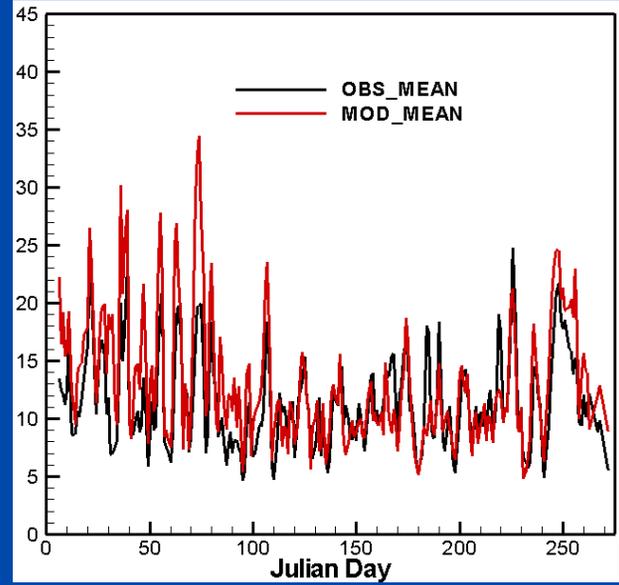
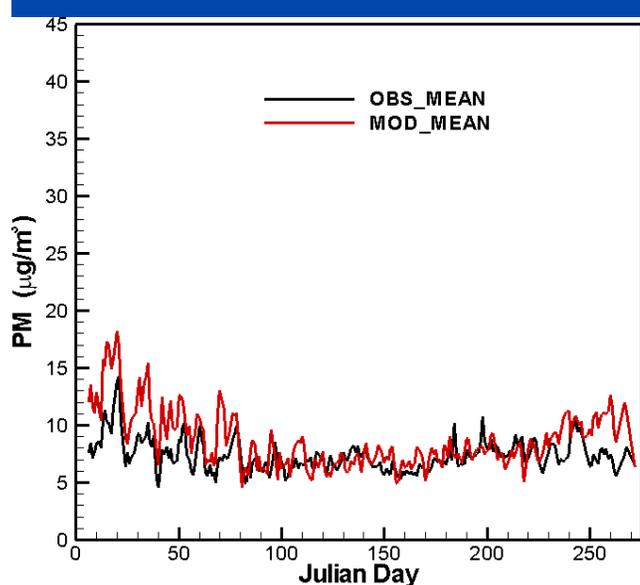
Upper Midwest

Northeast US

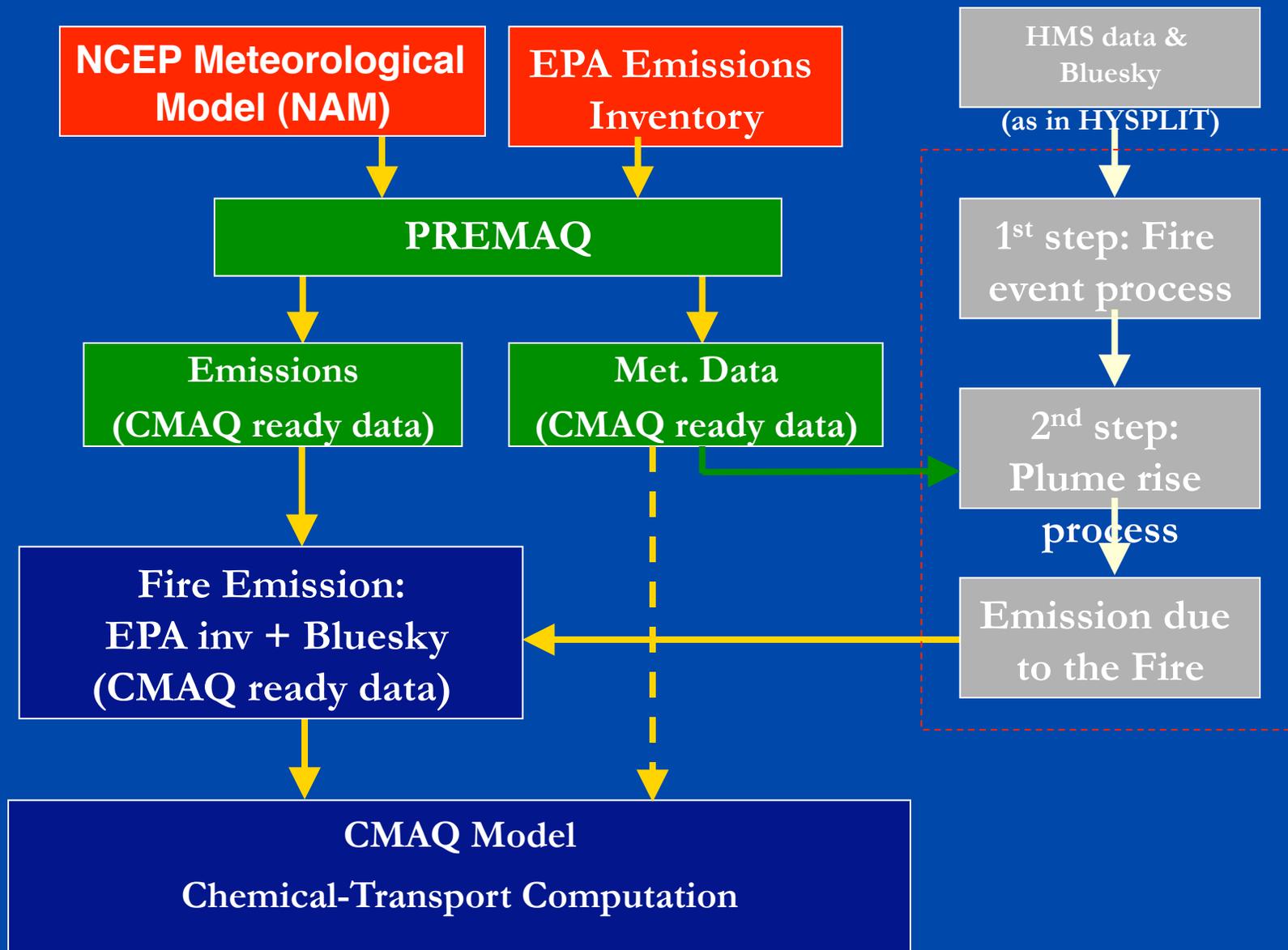
Pacific Coast Region

Lower Midwest

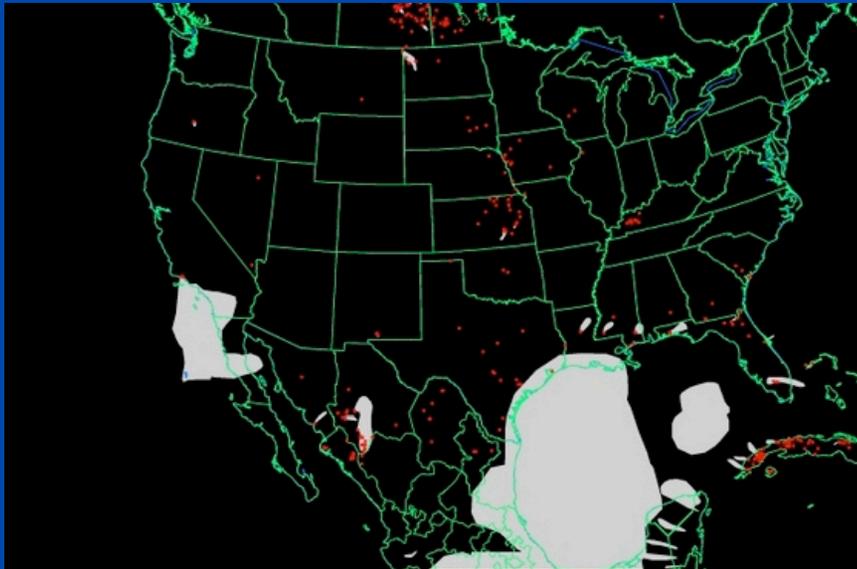
Southeast US



On-going work → Include Fire Emissions:  
Link NESDIS HMS/USDA BlueSky/ARL HYSPLIT input



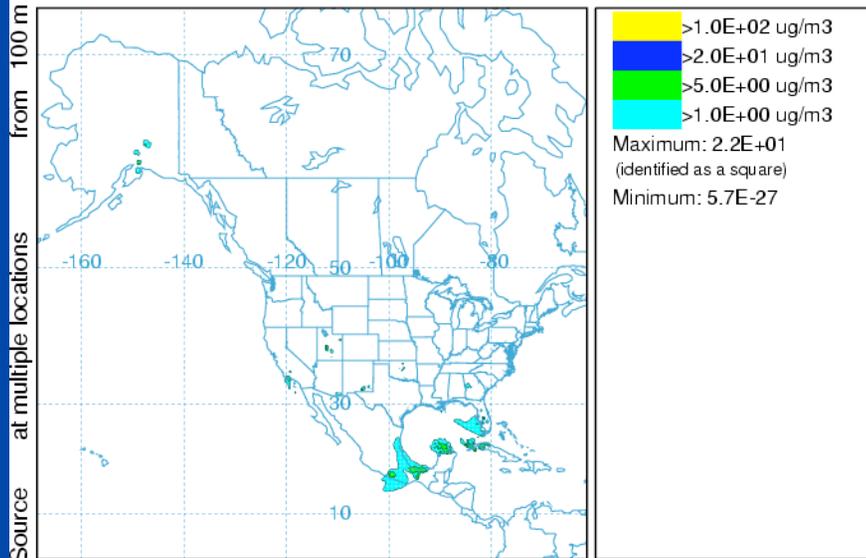
# HMS



**Case for 2009-05-09 1200Z run**  
 This plot show difference of PM2.5 between the CMAQ with and without Smoke Emissions  
 Comparison with the satellite fire detection and HYSPLIT PM product are also provided

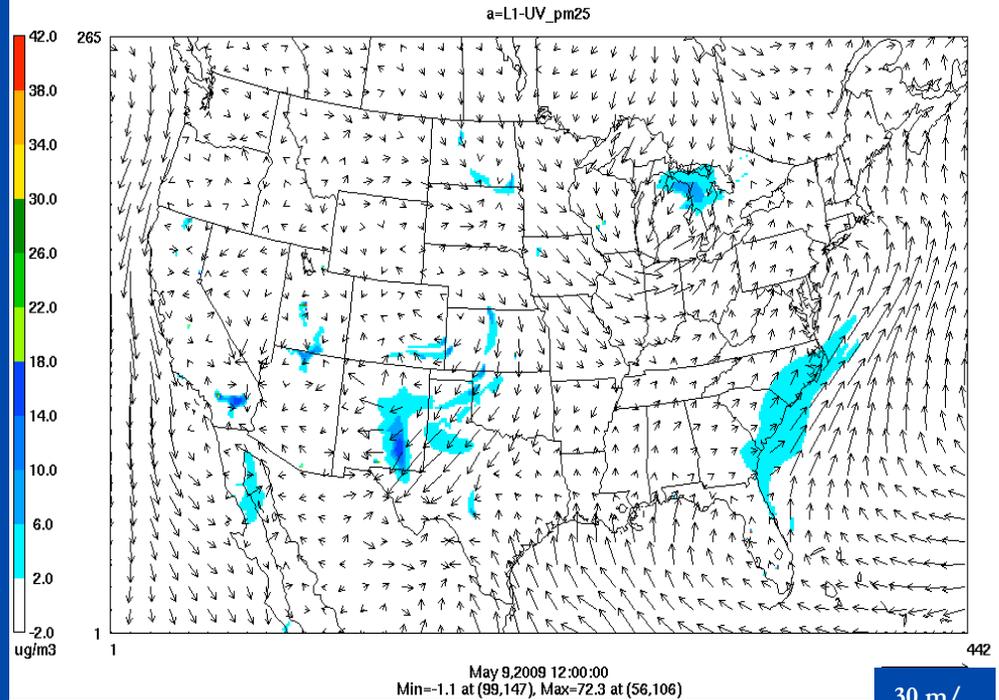
## ARL/NESDIS EXPERIMENTAL SMOKE FORECAST

Air Concentration (ug/m<sup>3</sup>) Layer Average 0 m and 5000 m  
 Integrated from 0600 09 May to 0700 09 May 09 (UTC)  
 PM25 Release started at 0600 09 May 09 (UTC)



NAMS METEOROLOGICAL DATA

## Layer 1 PM25\_firea-PM25a



30 m/  
 sec

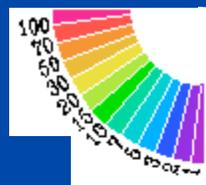
PM2.5 bias to observation (small circles) with & without fire emissions  
 (\* climatological fire emission was removed from the HMS/BlueSky/HYSPLIT emissions)

CMAQ PM2.5  
 Difference  
 (HMS - PARA)  
 in  $\text{mg}/\text{m}^3$

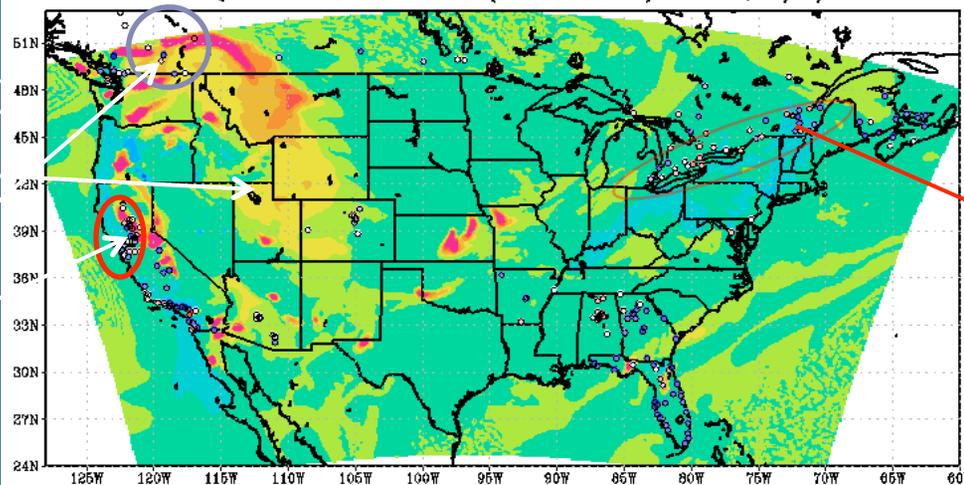


Improved with  
 fire emissions

Without fire  
 emissions:  
 CMAQ PM2.5  
 in  $\text{mg}/\text{m}^3$



FIRE 12Z 48H PM2.5 Bias (FIRE-OBS) ( $\mu\text{g}/\text{m}^3$ ) overlaid  
 on CMAQ PM2.5 Difference (FIRE-PARA) at OZ, 8/2/2009



Case for 2009-08-02

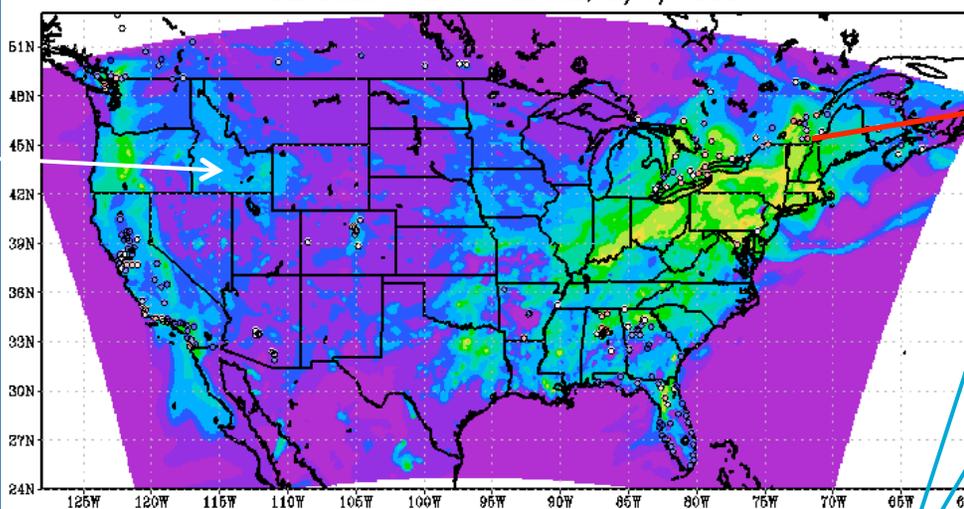
Circles:

Bias with episodic fire  
 (but no climatological) emissions  
 CMAQ (with fire E) - OBS

Bias here did not improve with the  
 addition of fire emissions

(good model prediction if color  
 between circles and background  
 cells are close)

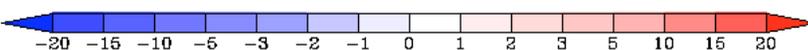
Para 12Z 48H PM2.5 Bias (Para-OBS) ( $\mu\text{g}/\text{m}^3$ ) overlaid  
 on PARA PM2.5 at OZ, 8/2/2009



Circles:

Bias without episodic  
 (but with climatological) fire  
 emissions  
 CMAQ - OBS  
 in  $\text{mg}/\text{m}^3$

(Colors for circles and background  
 Have no relations)



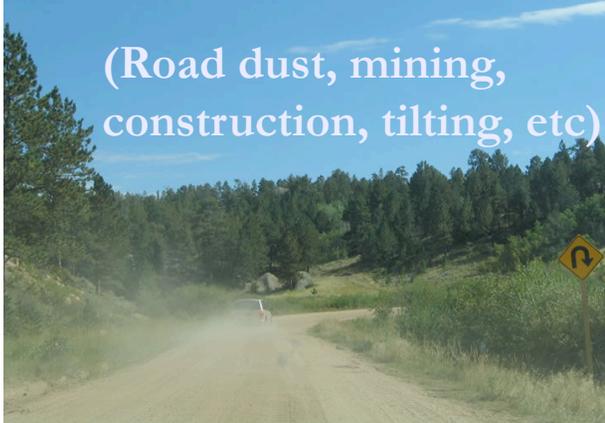
On-going work to include Wind-Blown Dust Emissions

Natural dust not accounted for yet in NAQFS

## Major Dust Emission Sources

Anthropogenic sources

(Road dust, mining, construction, tilting, etc)



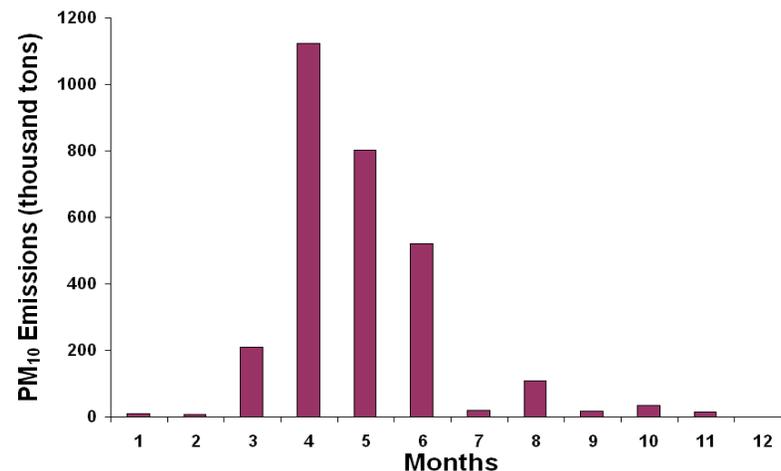
Cropland sources



**EPA's NEI includes anthropogenic dust sources**

Modeling Natural Dust requires

- **Owen's flux equation**
- **Threshold friction velocities from field and wind tunnel measurements;**
- **USGS land use and soil data;**
- **Vegetation growth and near source enhanced deposition;**



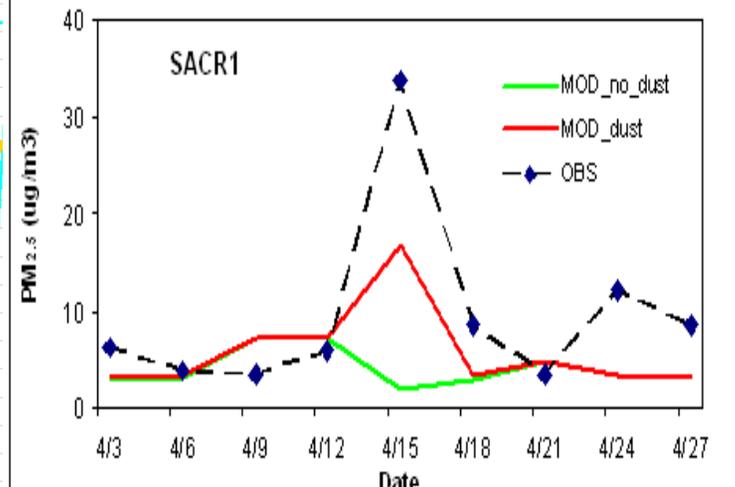
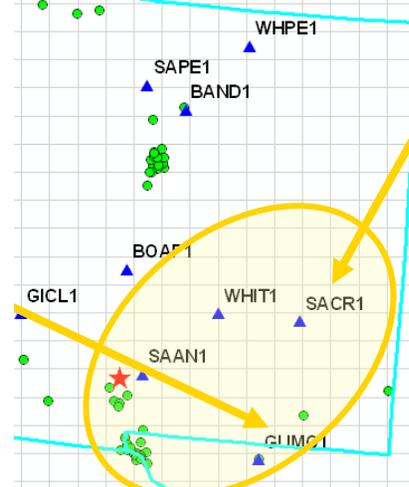
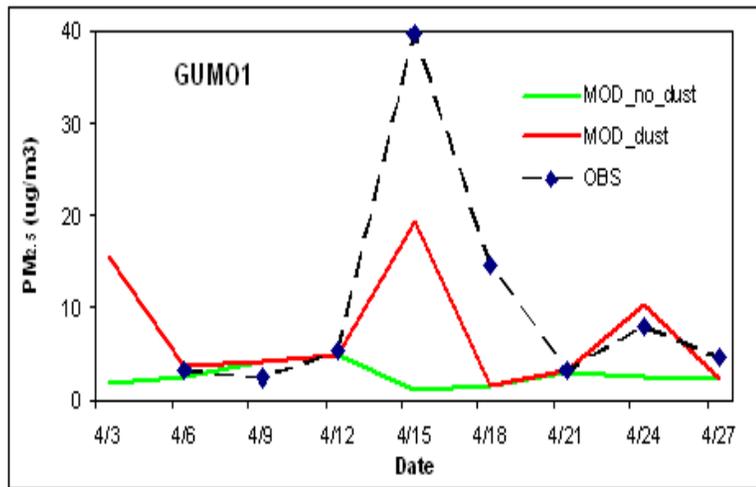
Natural desert sources



(Chihuahua desert)

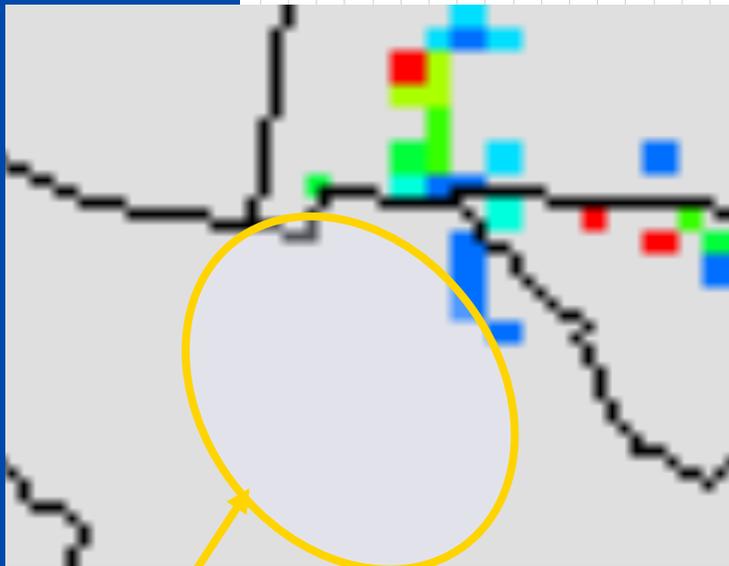
# Testing algorithms -- Comparison with IMPROVE & MODIS

(April 2003 Case Study)



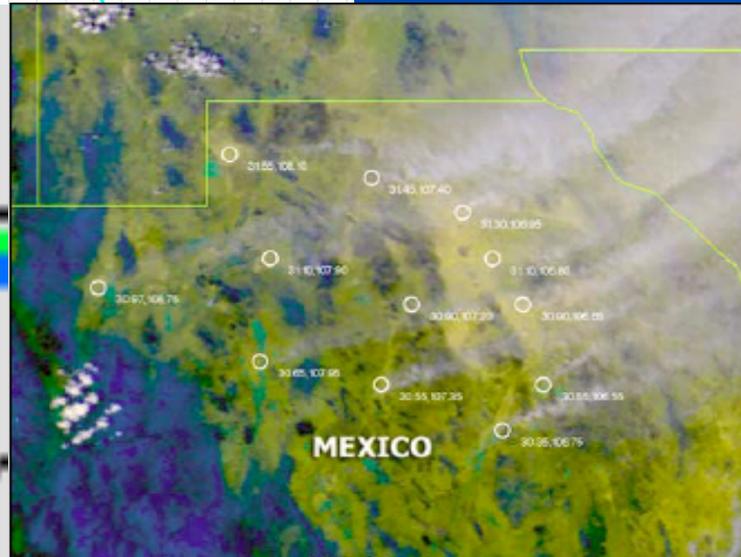
*Improved, but not enough.*

**MODEL**



*Missing Sources in Mexico*

**MODIS**



*(Source: Rivera et al., 2006)*



## Conclusive Remarks

### Investigating causes of bad forecasting may lead to future improvements

Previous talk .... For O3 at CMAS meeting

- First, look at the impact of meteorological forecasting (winds, clouds, precipitation, temperature, humidity ...)
- If met forecasting was quite wrong previous day, consider “reinitializing” before next forecasting (not easy!) by assimilating met. Inputs & rerun AQ modeling
- Improve BCs into the system using global scale models
- Reduce anthropogenic emission uncertainties

This talk .... For PM2.5

If no episodic emissions and/or long-range transport BCs in the system

-Could use satellite and surface obs to re-initialize ICs

Better to develop methods (data/algorithms) for including intermittent emissions from **forest fires, wind-driven dust events, (volcanic ashes, etc & BCs)**